

**Annual implementation report for the Investment for growth and jobs goal
PART A**

IDENTIFICATION OF THE ANNUAL IMPLEMENTATION REPORT

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2. OVERVIEW OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (ARTICLE 50(2) AND 111(3)(A) OF REGULATION (EU) NO 1303/2013)

2.1. Key information on the implementation of the operational programme for the year concerned, including on financial instruments, with relation to the financial and indicator data.

Key themes and generic issues impacted across all the priority themes are detailed below:

- **Compliance.** The complex and extensive compliance requirements of the Programme, particularly relevant when claiming payment/support for SME's, has resulted in a delay in claims both being submitted to the Managing Authority, then verified by the Managing Authority. A direct consequence of the complex compliance requirements is the delay in payments being approved by the Managing Authority.
- **Financial performance,** progress to meet financial targets is behind schedule in all priority areas with a clear delay between committed and delivered expenditure. The reasons for these delays are complex and are linked to the programme being delayed in becoming fully operational, clarification and correction to rules and guidance and difficulty across Lead Partners in fitting the programme rules to the approved applications and the Offers of Grant. However, Lead partners are working in closely with the Managing Authority to help resolve issues within the claims and verification systems to ensure the submission and approval of claims is more effective.
- **Generally,** there has been a slower start in the early years of the Programme, which, as detailed earlier, has impacted on the expected rate of expenditure. With the continued collaboration and active engagement between the Managing Authority and the Lead Partners/Delivery Agents, progress against the operations – particularly the financial targets – is expected to improve significantly during Phase 2.

3. IMPLEMENTATION OF THE PRIORITY AXIS (ARTICLE 50(2) OF REGULATION (EU) NO 1303/2013)

3.1. Overview of the implementation

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
1	Strengthening Research, technological development and innovation	<p>The two enterprise agencies, Scottish Enterprise (SE) and Highlands & Islands Enterprise (HIE), are working with SMEs to support innovation through research and technical development to strengthen economic development. After an initial delay due to Lead Partners being unwilling to commence activity in the absence of formal offer letters this activity is now well underway. However, this is not yet reflected in performance reports as Lead Partners have been slower in submitting claims. In general Lead Partners have under-estimated the resource required to meet the compliance requirements of the Programme both in terms of evidencing expenditure and outputs. This has led to Lead Partners taking longer to evidence the activity that has been delivered and therefore it has taken longer for claims to be submitted and longer for the Managing Authority to verify expenditure and outputs in submitted claims before these can be paid. Although commitment levels are slightly below what we would be expected at this stage there has been significant work undertaken with Lead Partners during 2018 and commitment levels are now much higher and full commitment of this Priority is expected. Due to the slowness of claims being submitted financial performance in general is lagging behind where it should be at this stage in the Programme but the Managing Authority is working with Lead Partners to ensure that the submission of claims can be speeded. Similarly the achievement of physical performance targets is also lagging behind and again this is due to the slowness of claims. Lead Partners are now much more confident with regard to the evidencing outputs and future reports should show significant improvements.</p>
2	Enhancing access to, and use and quality of ICT	<p>The Operational Programme originally envisaged two key elements under this priority - improved access to high speed fibre broadband and mobile broadband for areas within the Highlands & Islands that would not be serviced by the standard commercial and market driven roll out of services. This would be coupled with improving access to mobile broadband, particularly in the more remote and rural parts of the region, thereby creating and enhancing access to technology and digital services for communities, businesses and visitors.</p> <p>Through 2018, progress on mobile infill, providing an important element of connectivity through areas not currently serviced effectively, if at all, has been good with significant work carried out by Scottish Futures Trust and Scottish Government to develop a programme of work, with significant capital expenditure committed through 2019.</p> <p>With regard to the rollout of the high speed fibre network, the Scottish Government committed to addressing the connectivity issues throughout the region through a directly financed national programme. of fibre rollout. Since the National Programme will now address much of the basic connections that the ERDF Operational Programme</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		would have, we have been exploring with the lead partners potential alternative uses of the ERDF to help ensure that the Highlands & Islands as a region is able to benefit from, and be at the forefront of, progression in digital technology and services into the future.
3	Enhancing the Competitiveness of SMEs	<p>All areas of Scotland have operations delivering business growth, leadership and internationalisation support. This is being delivered through the Enterprise Agencies, Scottish Enterprise (SE) and Highlands & Islands Enterprise (HIE), and Local Authorities delivering additional Business Gateway activity. Although some Lead Partners commenced the business competitiveness delivery in 2015 this is not yet reflected in the performance reports.</p> <p>Lead Partners were initially cautious with regard to claiming support to enterprises and were not including all support delivered within submitted claims as they were not confident about the evidence they were required to demonstrate resulting in performance and spend being much lower than expected by this stage in the Programme. The Managing Authority has worked with Lead Partners to ensure that they are aware of the evidence requirements. This is now starting to have an impact as claims are starting to include more activity. However performance does still lag behind and this will take a while to improve due to the initial delays.</p> <p>Financial commitment levels for this Priority are as expected at this stage of the Programme and full commitment is expected. Expenditure targets are showing good progress for this stage in the Programme. Activity targets are lagging behind slightly for the More Developed region. However, now that Lead Partners are more confident with regard to the evidencing of outputs performance in this area should start to improve.</p>
4	Supporting a shift towards a low carbon economy in all sectors	<p>The ERDF Programme includes a number of Investment Priorities supporting the shift to a Low Carbon Economy. The Scottish Government lead on development, demonstrating and piloting of new technology, or innovative uses of existing technology, by public bodies and SMEs.</p> <p>Progress under this priority has been good in terms of the range of activity supported; for example, combining renewable energy generation and storage solutions with promoting and facilitating the promotion and uptake of low carbon (and active) travel options. Much of this is implemented through ‘Challenge Funds’ and Grant Schemes. The associated lead in time means reporting progress and outcomes in claims lags behind the actual expenditure and achievements. On a positive note, commitments to Low Carbon Transport Hubs have exceeded expectations – and the Phase 1 ERDF allocation – with interest in Phase 2 remaining strong.</p> <p>Progress on the Circular Economy aspects of the Programme has been steady. Projects under way include a range of highly innovative actions utilising both existing materials and technology and developing new ‘raw’ materials from what has previously been regarded as waste.</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		There remains a lag between commitment and delivery: spend and outputs reported tend to understate actual progress at the project level. On that basis, there are no significant concerns around either the absorption of the ERDF funds or delivery of the target outputs
5	Preserving and protecting the environment and promoting resource efficiency	<p>The ERDF Operational Programme contains three distinct elements delivering this Thematic Objective. The addition, in 2017, of support for the promotion and protection of Natural and Cultural Heritage assets in the Highlands & Islands region, led by Scottish Natural Heritage, successfully launched during 2018 against a background of keen interest. While the selection process is currently under way, the largely capital nature means the reporting of costs and outputs will be towards the end of 2019 and beyond.</p> <p>Scottish Natural Heritage also lead on Green Infrastructure, which is progressing well. Again the largely capital expenditure has resulted in a lag from commitment to claims, but 2018 saw significant advances in both expenditure and outputs achieved.</p> <p>The final element, resource efficiency, continues to perform well – though more slowly than ideal. Outputs reflect the significant commitment that Zero Waste Scotland, who lead on this element of the Programme, have made in engaging with business and communities throughout Scotland. This has resulted in a healthy uptake in the Highlands & Islands region.</p> <p>A slower start in the early years of the programme has resulted in a lower rate of expenditure than initially envisaged. However the range and number of outputs reported continues to suggest that, whilst the Managing Authority will continue to work closely with the organisations leading on delivery of this activity, there are no specific issues to address at this point.</p>
6	Technical Assistance	<p>The TA priority axis funds the functions of the MA and the AA. Within the MA, this supports three strands of activity:</p> <ul style="list-style-type: none"> • Three thematic teams (Smart, Sustainable and Inclusive) have day-to-day responsibility for management of approved operations. The teams work closely with the lead partners responsible for delivering operations under their area of the programme. This includes assessing and making proposals on applications for funding to the MA Approval Panel, comprised of team leaders across the MA; checking, verifying and paying claims for funding; and carrying out monitoring visits in line the Monitoring and Control System. • The Programme Performance Team is responsible for carrying out quality checks on approved operations

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		<p>and claims; managing the EUMIS IT System; and maintaining and reviewing the Monitoring and Control System.</p> <ul style="list-style-type: none"> • The Governance and Stakeholder Engagement Team supports the management of the programme, including as secretariat for the Programme Monitoring Committee and co-ordinating preparation and submission of the Implementation Reports; and delivery of the Communications strategy (see part 12.1) and Monitoring and Evaluation Strategy (see part 12.2). <p>The TA priority has three output indicators: FTE staff employed, which is currently lower than originally anticipated; the annual information activities detailed in part 12.1 and carried out in each year from 2015 to 2018; and the delivery of the evaluations, which has currently been through the two YEI evaluations required under the ESF regulation.</p>

3.2. Common and programme specific indicators (Article 50(2) of Regulation (EU) No 1303/2013)

Priority axes other than technical assistance

Priority axis	1 - Strengthening Research, technological development and innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 1 / 1b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	Transition	400.00			0.00			
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	Transition	400.00			48.00			
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	More developed	800.00			0.00			
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	More developed	800.00			46.00			
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	Transition	50.00			22.00			
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	Transition	50.00			72.00			Selected target noted as being significantly over OP target. Much of this activity is new and therefore baseline information available was limited and lead partners have subsequently identified that they have been able to exceed these targets.
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	More developed	175.00			34.00			
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	More developed	175.00			336.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	Transition	250.00			49.00			
S	CO29	Research, Innovation: Number of enterprises supported to introduce	Enterprises	Transition	250.00			133.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
		new to the firm products									
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	More developed	800.00			29.00			
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	More developed	800.00			1,180.00			
F	PO11	Innovative Services in Cities Developed	whole number	Transition	3.00			0.00			
S	PO11	Innovative Services in Cities Developed	whole number	Transition	3.00			7.00			Selected target noted as being significantly over OP target. Much of this activity is new and therefore baseline information available was limited and lead partners have subsequently identified that they have been able to exceed these targets. Activity co-ordinated by one lead partner has put in place processes to verify the outputs recorded across the partnership.
F	PO11	Innovative Services in Cities Developed	whole number	More developed	17.00			7.00			
S	PO11	Innovative Services in Cities Developed	whole number	More developed	17.00			47.00			Selected target noted as being significantly over OP target. Much of this activity is new and therefore baseline information available was limited and lead partners have subsequently identified that they have been able to exceed these targets. Activity co-ordinated by one lead partner has put in place processes to verify the outputs recorded across the partnership. Selected target noted as being significantly over OP target. Much of this activity is new and therefore baseline information available was limited and lead partners have subsequently identified that they have been able to exceed these targets. Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.
F	PO12	Data sets opened for innovation	data set (whole number)	Transition	15.00			0.00			
S	PO12	Data sets opened for innovation	data set (whole number)	Transition	15.00			38.00			Selected target noted as being significantly over OP target. Much of this activity is new and therefore baseline information available was limited and lead partners have subsequently identified that they have been able to exceed these targets. Activity co-ordinated by one lead partner has put in place processes to verify the outputs recorded across the partnership. Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.
F	PO12	Data sets opened for innovation	data set (whole number)	More developed	135.00			14.00			
S	PO12	Data sets opened for innovation	data set (whole number)	More developed	135.00			253.00			Selected target noted as being significantly over OP target. Much of this activity is new and therefore baseline information available was limited and lead partners have subsequently identified that they have been able to exceed these targets.

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
											Activity co-ordinated by one lead partner has put in place processes to verify the outputs recorded across the partnership.
F	PO14	Area of Innovation Services Created	Square Metres	More developed	10,541.00			0.00			
S	PO14	Area of Innovation Services Created	Square Metres	More developed	10,541.00			0.00			
F	PO15	Area of Innovation Services Created	Square Metres	Transition	1,282.00			0.00			
S	PO15	Area of Innovation Services Created	Square Metres	Transition	1,282.00			0.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00						0.00	0.00	0.00
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	48.00			152.00			0.00	0.00	0.00
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00						0.00	0.00	0.00
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00			0.00			0.00	0.00	0.00
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	1.00						0.00	0.00	0.00
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	72.00			42.00			0.00	0.00	0.00
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	26.00						0.00	0.00	0.00
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	166.00			166.00			0.00	0.00	0.00
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00						0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	133.00			73.00			0.00	0.00	0.00
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00						0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	500.00			500.00			0.00	0.00	0.00
F	PO11	Innovative Services in Cities Developed	0.00						0.00	0.00	0.00
S	PO11	Innovative Services in Cities Developed	9.00			1.00			0.00	0.00	0.00
F	PO11	Innovative Services in Cities Developed	0.00						0.00	0.00	0.00
S	PO11	Innovative Services in Cities Developed	47.00			36.00			0.00	0.00	0.00
F	PO12	Data sets opened for innovation	0.00						0.00	0.00	0.00
S	PO12	Data sets opened for innovation	53.00			19.00			0.00	0.00	0.00
F	PO12	Data sets opened for innovation	0.00						0.00	0.00	0.00
S	PO12	Data sets opened for innovation	253.00			222.00			0.00	0.00	0.00
F	PO14	Area of Innovation Services Created	0.00								
S	PO14	Area of Innovation Services Created	0.00								
F	PO15	Area of Innovation Services Created	0.00								
S	PO15	Area of Innovation Services Created	0.00								

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00	0.00	0.00
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00	0.00	0.00
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00	0.00	0.00
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00	0.00	0.00
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	0.00	0.00	0.00
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	0.00	0.00	0.00
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	0.00	0.00	0.00
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00
F	PO11	Innovative Services in Cities Developed	0.00	0.00	0.00
S	PO11	Innovative Services in Cities Developed	0.00	0.00	0.00
F	PO11	Innovative Services in Cities Developed	0.00	0.00	0.00
S	PO11	Innovative Services in Cities Developed	0.00	0.00	0.00
F	PO12	Data sets opened for innovation	0.00	0.00	0.00
S	PO12	Data sets opened for innovation	0.00	0.00	0.00
F	PO12	Data sets opened for innovation	0.00	0.00	0.00
S	PO12	Data sets opened for innovation	0.00	0.00	0.00
F	PO14	Area of Innovation Services Created			
S	PO14	Area of Innovation Services Created			
F	PO15	Area of Innovation Services Created			
S	PO15	Area of Innovation Services Created			

Priority axis	1 - Strengthening Research, technological development and innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies
Specific objective	SO1 - Increase business commercialisation and investment in RTDI, particularly in sectors identified through Smart Specialisation

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2018 Total	2018 Qualitative	Observations
PR11	Number of innovative active enterprises	enterprises		11,000.00	2013	12,600.00	11,406.00		2017 based on latest data available (2017)
PR12	BERD Expenditure	GBP		37,000,000.00	2013	363,000,000.00			Baseline reported for 2014, 2015, 2016, 2017 as no progress to report.

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
PR11	Number of innovative active enterprises	11,660.00		11,000.00		11,000.00		11,000.00	
PR12	BERD Expenditure	37,000,000.00		37,000,000.00		37,000,000.00		37,000,000.00	

Priority axis	2 - Enhancing access to, and use and quality of ICT
Investment Priority	2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 2 / 2a

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
F	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	Households	Transition	11,833.00			0.00			
S	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	Households	Transition	11,833.00			11,833.00			
F	PO21	additional businesses with broadband access of at least 30 Mbps	businesses	Transition	1,530.00			0.00			
S	PO21	additional businesses with broadband access of at least 30 Mbps	businesses	Transition	1,530.00			1,530.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	0.00						0.00	0.00	0.00
S	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	11,833.00			11,832.00			0.00	0.00	0.00
F	PO21	additional businesses with broadband access of at least 30 Mbps	0.00						0.00	0.00	0.00
S	PO21	additional businesses with broadband access of at least 30 Mbps	1,530.00			1,530.00			0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	0.00	0.00	0.00
S	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	0.00	0.00	0.00
F	PO21	additional businesses with broadband access of at least 30 Mbps	0.00	0.00	0.00
S	PO21	additional businesses with broadband access of at least 30 Mbps	0.00	0.00	0.00

Priority axis	2 - Enhancing access to, and use and quality of ICT
Investment Priority	2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy
Specific objective	SO2 - Enable individuals and businesses throughout Scotland to access digital services and business opportunities

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2018 Total	2018 Qualitative	Observations
PR21	No of high speed broadband residential and business subscriptions in the Highlands and Islands	subscriptions	Transition	24,499.00	2014	89,087.00			Baseline reported for 2014, 2015, 2016, 2017 as no progress to report.

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
PR21	No of high speed broadband residential and business subscriptions in the Highlands and Islands	24,499.00		24,499.00		24,499.00		24,499.00	

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3d

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises	Transition	2,600.00			263.00			
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises	Transition	2,600.00			2,714.00			Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises	More developed	9,200.00			3,400.00			
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises	More developed	9,200.00			10,426.00			Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises	Transition	250.00			203.00			
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises	Transition	250.00			582.00			Selected target noted as being significantly over OP target. Much of this activity is new and therefore baseline information available was limited and lead partners have subsequently identified that they have been able to exceed these targets.
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises	More developed	3,100.00			822.00			
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises	More developed	3,100.00			1,706.00			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises	Transition	15.00			12.00			
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises	Transition	15.00			4.00			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises	More developed	670.00			194.00			
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises	More developed	670.00			366.00			
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	Transition	2,350.00			439.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	Transition	2,350.00			3,931.00			Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	More developed	9,200.00			2,695.00			
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	More developed	9,200.00			9,420.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises	Transition	250.00			0.00			
S	CO05	Productive investment: Number of new enterprises supported	Enterprises	Transition	250.00			19.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises	More developed	800.00			522.00			
S	CO05	Productive investment: Number of new enterprises supported	Enterprises	More developed	800.00			1,670.00			Selected target noted as being significantly over OP target. Much of this activity is new and therefore baseline information available was limited and lead partners have subsequently identified that they have been able to exceed these targets.
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR	Transition	3,600,000.00			0.00			
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR	Transition	3,600,000.00			2,250,000.00			
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR	More developed	186,000,000.00			23,492,120.00			
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR	More developed	186,000,000.00			30,000,078.00			Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents	Transition	400.00			0.00			
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents	Transition	400.00			1,287.00			Selected target noted as being significantly over OP target. Much of this activity is new and therefore baseline information available was limited and lead partners have subsequently identified that they have been able to exceed these targets. Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.
F	CO08	Productive investment:	Full time	More	9,650.00			135.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
		Employment increase in supported enterprises	equivalents	developed							
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents	More developed	9,650.00			3,210.00			Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO01	Productive investment: Number of enterprises receiving support	168.00						0.00	0.00	0.00
S	CO01	Productive investment: Number of enterprises receiving support	2,843.00			2,913.00			0.00	0.00	0.00
F	CO01	Productive investment: Number of enterprises receiving support	1,155.00						0.00	0.00	0.00
S	CO01	Productive investment: Number of enterprises receiving support	12,021.00			11,890.00			0.00	0.00	0.00
F	CO02	Productive investment: Number of enterprises receiving grants	1.00						0.00	0.00	0.00
S	CO02	Productive investment: Number of enterprises receiving grants	520.00			864.00			0.00	0.00	0.00
F	CO02	Productive investment: Number of enterprises receiving grants	217.00						0.00	0.00	0.00
S	CO02	Productive investment: Number of enterprises receiving grants	1,539.00			1,641.00			0.00	0.00	0.00
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	10.00						0.00	0.00	0.00
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	4.00			6.00			0.00	0.00	0.00
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	166.00						0.00	0.00	0.00
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	300.00			1,935.00			0.00	0.00	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	162.00						0.00	0.00	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	4,185.00			4,215.00			0.00	0.00	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	691.00						0.00	0.00	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	8,646.00			9,536.00			0.00	0.00	0.00
F	CO05	Productive investment: Number of new enterprises supported	0.00						0.00	0.00	0.00
S	CO05	Productive investment: Number of new enterprises supported	16.00			16.00			0.00	0.00	0.00
F	CO05	Productive investment: Number of new enterprises supported	119.00						0.00	0.00	0.00
S	CO05	Productive investment: Number of new enterprises supported	1,456.00			1,677.00			0.00	0.00	0.00
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00						0.00	0.00	0.00
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	2,250,000.00			2,250,000.00			0.00	0.00	0.00
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	13,080,000.00						0.00	0.00	0.00
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	30,000,146.00			30,000,068.00			0.00	0.00	0.00
F	CO08	Productive investment: Employment increase in supported enterprises	0.00						0.00	0.00	0.00
S	CO08	Productive investment: Employment increase in supported enterprises	1,394.00			823.00			0.00	0.00	0.00
F	CO08	Productive investment: Employment increase in supported enterprises	329.00						0.00	0.00	0.00
S	CO08	Productive investment: Employment increase in supported enterprises	9,535.00			10,948.00			0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00	0.00	0.00
S	CO01	Productive investment: Number of enterprises receiving support	0.00	0.00	0.00
F	CO01	Productive investment: Number of enterprises receiving support	0.00	0.00	0.00
S	CO01	Productive investment: Number of enterprises receiving support	0.00	0.00	0.00
F	CO02	Productive investment: Number of enterprises receiving grants	0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
S	CO02	Productive investment: Number of enterprises receiving grants	0.00	0.00	0.00
F	CO02	Productive investment: Number of enterprises receiving grants	0.00	0.00	0.00
S	CO02	Productive investment: Number of enterprises receiving grants	0.00	0.00	0.00
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00	0.00	0.00
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00	0.00	0.00
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00	0.00	0.00
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00	0.00	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00	0.00	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00	0.00	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00	0.00	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00	0.00	0.00
F	CO05	Productive investment: Number of new enterprises supported	0.00	0.00	0.00
S	CO05	Productive investment: Number of new enterprises supported	0.00	0.00	0.00
F	CO05	Productive investment: Number of new enterprises supported	0.00	0.00	0.00
S	CO05	Productive investment: Number of new enterprises supported	0.00	0.00	0.00
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00	0.00	0.00
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00	0.00	0.00
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00	0.00	0.00
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00	0.00	0.00
F	CO08	Productive investment: Employment increase in supported enterprises	0.00	0.00	0.00
S	CO08	Productive investment: Employment increase in supported enterprises	0.00	0.00	0.00
F	CO08	Productive investment: Employment increase in supported enterprises	0.00	0.00	0.00
S	CO08	Productive investment: Employment increase in supported enterprises	0.00	0.00	0.00

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes
Specific objective	SO3 - support SME internationalisation as a route to growth and increasing employment, particularly in Smart Specialisation sectors and regionally important sectors in fragile areas

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2018 Total	2018 Qualitative	Observations
PR31	number of SMEs exporting	SMEs		44,064.00	2012	94,906.00	48,428.00		2017 based on latest data available (2016)
PR32	Employment in Smart Specialisation Sectors	FTE equivalents		317,250.00	2012	368,067.00	343,800.00		2017 based on latest data available (2015)

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
PR31	number of SMEs exporting	55,687.00		44,064.00		44,064.00		44,064.00	
PR32	Employment in Smart Specialisation Sectors	343,100.00		317,250.00		317,250.00		317,250.00	

Priority axis	4 - Supporting a shift towards a low carbon economy in all sectors
Investment Priority	4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4e

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
F	PO41	Smart Ticketing schemes created	scheme		1.00			0.00			
S	PO41	Smart Ticketing schemes created	scheme		1.00			1.00			
F	PO42	Low carbon travel and transport hubs supported	Hubs	Transition	5.00			0.00			
S	PO42	Low carbon travel and transport hubs supported	Hubs	Transition	5.00			3.00			
F	PO42	Low carbon travel and transport hubs supported	Hubs	More developed	15.00			0.00			
S	PO42	Low carbon travel and transport hubs supported	Hubs	More developed	15.00			10.00			
F	PO43	No of ULEV registrations in Scotland	registrations		100.00			0.00			
S	PO43	No of ULEV registrations in Scotland	registrations		100.00			50.00			
F	PO44	cycle networks or walking paths constructed	Km	Transition	20.00			0.00			
S	PO44	cycle networks or walking paths constructed	Km	Transition	20.00			13.00			
F	PO44	cycle networks or walking paths constructed	Km	More developed	80.00			2.00			
S	PO44	cycle networks or walking paths constructed	Km	More developed	80.00			29.00			Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	PO41	Smart Ticketing schemes created	0.00						0.00	0.00	0.00
S	PO41	Smart Ticketing schemes created	1.00			1.00			0.00	0.00	0.00
F	PO42	Low carbon travel and transport hubs supported	0.00						0.00	0.00	0.00
S	PO42	Low carbon travel and transport hubs supported	2.00			2.00			0.00	0.00	0.00
F	PO42	Low carbon travel and transport hubs supported	0.00						0.00	0.00	0.00
S	PO42	Low carbon travel and transport hubs supported	4.00			4.00			0.00	0.00	0.00
F	PO43	No of ULEV registrations in Scotland	0.00						0.00	0.00	0.00
S	PO43	No of ULEV registrations in Scotland	50.00			50.00			0.00	0.00	0.00
F	PO44	cycle networks or walking paths constructed	0.00						0.00	0.00	0.00
S	PO44	cycle networks or walking paths constructed	13.00			13.00			0.00	0.00	0.00
F	PO44	cycle networks or walking paths constructed	0.00						0.00	0.00	0.00
S	PO44	cycle networks or walking paths constructed	40.00			40.00			0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	PO41	Smart Ticketing schemes created	0.00	0.00	0.00
S	PO41	Smart Ticketing schemes created	0.00	0.00	0.00
F	PO42	Low carbon travel and transport hubs supported	0.00	0.00	
S	PO42	Low carbon travel and transport hubs supported	0.00	0.00	0.00
F	PO42	Low carbon travel and transport hubs supported	0.00	0.00	0.00
S	PO42	Low carbon travel and transport hubs supported	0.00	0.00	0.00
F	PO43	No of ULEV registrations in Scotland	0.00	0.00	0.00
S	PO43	No of ULEV registrations in Scotland	0.00	0.00	0.00
F	PO44	cycle networks or walking paths constructed	0.00	0.00	0.00
S	PO44	cycle networks or walking paths constructed	0.00	0.00	0.00
F	PO44	cycle networks or walking paths constructed	0.00	0.00	0.00
S	PO44	cycle networks or walking paths constructed	0.00	0.00	0.00

Priority axis	4 - Supporting a shift towards a low carbon economy in all sectors
Investment Priority	4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures
Specific objective	SO4e - Increase the number of journeys undertaken by public and active travel or low energy vehicles

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2018 Total	2018 Qualitative	Observations
PR41	Proportion of journeys to work undertaken by public or active travel	% of journeys		30.70	2013	32.20	30.10		2017 based on latest data available (2016)
PR42	Journeys undertaken using smart ticketing	journeys (whole number)		146,000,000.00	2014	276,800,000.00			Baseline reported for 2014, 2015, 2016, 2017 as no progress to report, in line with OP plan to report on 2018.

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
PR41	Proportion of journeys to work undertaken by public or active travel	30.60		30.70		30.70		30.70	
PR42	Journeys undertaken using smart ticketing	146,000,000.00		146,000,000.00		146,000,000.00		146,000,000.00	

Priority axis	4 - Supporting a shift towards a low carbon economy in all sectors
Investment Priority	4f - Promoting research and innovation in, and adoption of, low-carbon technologies

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4f

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes CO2eq	of Transition	10,667.00			0.00			
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes CO2eq	of Transition	10,667.00			4,428.00			an error in the previous reporting where the More Developed total and Transition total were reported against each priority by mistake. This has now been split between the Priority Axes.
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes CO2eq	of More developed	200,774.00			0.00			
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes CO2eq	of More developed	200,774.00			21,494.00			an error in the previous reporting where the More Developed total and Transition total were reported against each priority by mistake. This has now been split between the Priority Axes.
F	PO45	Low carbon projects receiving non- financial support	projects	Transition	112.00			10.00			
S	PO45	Low carbon projects receiving non- financial support	projects	Transition	112.00			74.00			
F	PO45	Low carbon projects receiving non- financial support	projects	More developed	586.00			17.00			
S	PO45	Low carbon projects receiving non- financial support	projects	More developed	586.00			320.00			Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.
F	PO46	Low carbon projects receiving financial support	projects	Transition	38.00			0.00			
S	PO46	Low carbon projects receiving financial support	projects	Transition	38.00			26.00			
F	PO46	Low carbon projects receiving financial support	projects	More developed	197.00			26.00			
S	PO46	Low carbon projects receiving financial support	projects	More developed	197.00			121.00			Fall from previous year reflects changes as lead partners have reviewed the original targets, which will continue until we have fully completed the funds. We are continuing to review the progress against targets as we consider further requests for funding.
F	PO47	Low carbon change leader/demonstration projects delivered	projects	Transition	5.00			1.00			
S	PO47	Low carbon change leader/demonstration projects delivered	projects	Transition	5.00			5.00			
F	PO47	Low carbon change leader/demonstration projects delivered	projects	More developed	20.00			2.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
		delivered									
S	PO47	Low carbon change leader/demonstration projects delivered	projects	More developed	20.00			14.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00						0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	6,427.00			4,428.00			0.00	0.00	0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00						0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	30,514.00			21,494.00			0.00	0.00	0.00
F	PO45	Low carbon projects receiving non- financial support	2.00						0.00	0.00	0.00
S	PO45	Low carbon projects receiving non- financial support	74.00			74.00			0.00	0.00	0.00
F	PO45	Low carbon projects receiving non- financial support	5.00						0.00	0.00	0.00
S	PO45	Low carbon projects receiving non- financial support	337.00			337.00			0.00	0.00	0.00
F	PO46	Low carbon projects receiving financial support	0.00						0.00	0.00	0.00
S	PO46	Low carbon projects receiving financial support	26.00			26.00			0.00	0.00	0.00
F	PO46	Low carbon projects receiving financial support	10.00						0.00	0.00	0.00
S	PO46	Low carbon projects receiving financial support	124.00			124.00			0.00	0.00	0.00
F	PO47	Low carbon change leader/demonstration projects delivered	0.00						0.00	0.00	0.00
S	PO47	Low carbon change leader/demonstration projects delivered	5.00			5.00			0.00	0.00	0.00
F	PO47	Low carbon change leader/demonstration projects delivered	1.00						0.00	0.00	0.00
S	PO47	Low carbon change leader/demonstration projects delivered	14.00			14.00			0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
F	PO45	Low carbon projects receiving non- financial support	0.00	0.00	0.00
S	PO45	Low carbon projects receiving non- financial support	0.00	0.00	0.00
F	PO45	Low carbon projects receiving non- financial support	0.00	0.00	0.00
S	PO45	Low carbon projects receiving non- financial support	0.00	0.00	0.00
F	PO46	Low carbon projects receiving financial support	0.00	0.00	0.00
S	PO46	Low carbon projects receiving financial support	0.00	0.00	0.00
F	PO46	Low carbon projects receiving financial support	0.00	0.00	0.00
S	PO46	Low carbon projects receiving financial support	0.00	0.00	0.00
F	PO47	Low carbon change leader/demonstration projects delivered	0.00	0.00	0.00
S	PO47	Low carbon change leader/demonstration projects delivered	0.00	0.00	0.00
F	PO47	Low carbon change leader/demonstration projects delivered	0.00	0.00	0.00
S	PO47	Low carbon change leader/demonstration projects delivered	0.00	0.00	0.00

Priority axis	4 - Supporting a shift towards a low carbon economy in all sectors
Investment Priority	4f - Promoting research and innovation in, and adoption of, low-carbon technologies
Specific objective	SO4f - Encourage investment in low carbon technology and development to exploit Scotland's vast potential

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2018 Total	2018 Qualitative	Observations
PR43	Low carbon investment levered into Scotland by private and institutional investors (EUR)	Euros per annum		28,500,000.00	2013	59,000,000.00			Baseline reported for 2014, 2015, 2016, 2017 as no progress to report.
SO44	Employment in low carbon sector in Scotland	FTEs		78,000.00	2012	81,900.00	21,500.00		Latest data available (2016) Information is currently heavily caveated and a revision to the baseline is likely to be required.

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
PR43	Low carbon investment levered into Scotland by private and institutional investors (EUR)	28,500,000.00		28,500,000.00		28,500,000.00		28,500,000.00	
SO44	Employment in low carbon sector in Scotland	49,000.00		78,000.00		78,000.00		78,000.00	

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6c - Conserving, protecting, promoting and developing natural and cultural heritage

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 5 / 6c

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
F	PO61	Projects Developing Natural and Cultural Assets	Projects	Transition	6.00			0.00			
S	PO61	Projects Developing Natural and Cultural Assets	Projects	Transition	6.00			0.00			
F	PO62	Projects Promoting Natural and Cultural Assets	Projects	Transition	4.00			0.00			
S	PO62	Projects Promoting Natural and Cultural Assets	Projects	Transition	4.00			0.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	PO61	Projects Developing Natural and Cultural Assets	0.00			0.00			0.00		
S	PO61	Projects Developing Natural and Cultural Assets	0.00			0.00			0.00		
F	PO62	Projects Promoting Natural and Cultural Assets	0.00			0.00			0.00		
S	PO62	Projects Promoting Natural and Cultural Assets	0.00			0.00			0.00		

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	PO61	Projects Developing Natural and Cultural Assets	0.00		
S	PO61	Projects Developing Natural and Cultural Assets	0.00		
F	PO62	Projects Promoting Natural and Cultural Assets	0.00		
S	PO62	Projects Promoting Natural and Cultural Assets	0.00		

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6c - Conserving, protecting, promoting and developing natural and cultural heritage
Specific objective	SO5d - To enhance the visitor experience to the Highlands and Islands through the sensitive promotion and enhancement of its natural and cultural heritage assets

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2018 Total	2018 Qualitative	Observations
PR63	Increase in employment in Sustainable Tourism	FTE	Transition	26,200.00	2015	27,510.00			Baseline reported for 2014, 2015, 2016, 2017 as activity supported.

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
PR63	Increase in employment in Sustainable Tourism	26,200.00		26,200.00		26,200.00		26,200.00	

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 5 / 6d

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
F	PO51	Projects delivering new/improved green infrastructure	projects	Transition	1.00			0.00			
S	PO51	Projects delivering new/improved green infrastructure	projects	Transition	1.00			1.00			
F	PO51	Projects delivering new/improved green infrastructure	projects	More developed	14.00			0.00			
S	PO51	Projects delivering new/improved green infrastructure	projects	More developed	14.00			15.00			
F	PO52	Greenspace created or enhanced in urban areas	Ha	Transition	5.10			0.00			
S	PO52	Greenspace created or enhanced in urban areas	Ha	Transition	5.10			5.00			
F	PO52	Greenspace created or enhanced in urban areas	Ha	More developed	137.50			0.00			
S	PO52	Greenspace created or enhanced in urban areas	Ha	More developed	137.50			315.00			Selected target noted as being significantly over OP target. Much of this activity is new and therefore baseline information available was limited and lead partners have subsequently identified that they have been able to exceed these targets.

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	PO51	Projects delivering new/improved green infrastructure	0.00						0.00	0.00	0.00
S	PO51	Projects delivering new/improved green infrastructure	1.00			1.00			0.00	0.00	0.00
F	PO51	Projects delivering new/improved green infrastructure	0.00						0.00	0.00	0.00
S	PO51	Projects delivering new/improved green infrastructure	7.00			7.00			0.00	0.00	0.00
F	PO52	Greenspace created or enhanced in urban areas	0.00						0.00	0.00	0.00
S	PO52	Greenspace created or enhanced in urban areas	5.00			5.00			0.00	0.00	0.00
F	PO52	Greenspace created or enhanced in urban areas	0.00						0.00	0.00	0.00
S	PO52	Greenspace created or enhanced in urban areas	65.00			65.00			0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
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(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	PO51	Projects delivering new/improved green infrastructure	0.00	0.00	0.00
S	PO51	Projects delivering new/improved green infrastructure	0.00	0.00	0.00
F	PO51	Projects delivering new/improved green infrastructure	0.00	0.00	0.00
S	PO51	Projects delivering new/improved green infrastructure	0.00	0.00	0.00
F	PO52	Greenspace created or enhanced in urban areas	0.00	0.00	0.00
S	PO52	Greenspace created or enhanced in urban areas	0.00	0.00	0.00
F	PO52	Greenspace created or enhanced in urban areas	0.00	0.00	0.00
S	PO52	Greenspace created or enhanced in urban areas	0.00	0.00	0.00

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure
Specific objective	SO5d - To improve the quality, accessibility and usage of greenspace in urban environments and exploit the opportunities consequently for positive environmental, economic and social benefit

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2018 Total	2018 Qualitative	Observations
PR51	Positive rating of satisfaction with the quality of green infrastructure in urban areas in Scotland	% of respondents		74.00	2013	80.00	73.00		2017 based on latest data available (2016)

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
PR51	Positive rating of satisfaction with the quality of green infrastructure in urban areas in Scotland	74.00		74.00		74.00		74.00	

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 5 / 6g

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	Transition	4,544.00			0.00			
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	Transition	4,544.00			1,999.00			an error in the previous reporting where the More Developed total and Transition total were reported against each priority by mistake. This has now been split between the Priority Axes.
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	More developed	15,364.00			0.00			
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	More developed	15,364.00			9,020.00			an error in the previous reporting where the More Developed total and Transition total were reported against each priority by mistake. This has now been split between the Priority Axes.
F	PO53	Organisations and enterprises receiving non-financial support	organisations	Transition	655.00			471.00			
S	PO53	Organisations and enterprises receiving non-financial support	organisations	Transition	655.00			416.00			
F	PO53	Organisations and enterprises receiving non-financial support	organisations	More developed	3,420.00			1,709.00			
S	PO53	Organisations and enterprises receiving non-financial support	organisations	More developed	3,420.00			1,888.00			
F	PO54	Organisations and enterprises receiving financial support	organisations	Transition	66.00			16.00			
S	PO54	Organisations and enterprises receiving financial support	organisations	Transition	66.00			46.00			
F	PO54	Organisations and enterprises receiving financial support	organisations	More developed	345.00			36.00			
S	PO54	Organisations and enterprises receiving financial support	organisations	More developed	345.00			188.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00						0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	6,427.00			1,999.00			0.00	0.00	0.00

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00						0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	30,514.00			9,020.00			0.00	0.00	0.00
F	PO53	Organisations and enterprises receiving non-financial support	272.00						0.00	0.00	0.00
S	PO53	Organisations and enterprises receiving non-financial support	416.00			416.00			0.00	0.00	0.00
F	PO53	Organisations and enterprises receiving non-financial support	1,044.00						0.00	0.00	0.00
S	PO53	Organisations and enterprises receiving non-financial support	1,888.00			1,888.00			0.00	0.00	0.00
F	PO54	Organisations and enterprises receiving financial support	15.00						0.00	0.00	0.00
S	PO54	Organisations and enterprises receiving financial support	44.00			22.00			0.00	0.00	0.00
F	PO54	Organisations and enterprises receiving financial support	34.00						0.00	0.00	0.00
S	PO54	Organisations and enterprises receiving financial support	188.00			188.00			0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
F	PO53	Organisations and enterprises receiving non-financial support	0.00	0.00	0.00
S	PO53	Organisations and enterprises receiving non-financial support	0.00	0.00	0.00
F	PO53	Organisations and enterprises receiving non-financial support	0.00	0.00	0.00
S	PO53	Organisations and enterprises receiving non-financial support	0.00	0.00	0.00
F	PO54	Organisations and enterprises receiving financial support	0.00	0.00	0.00
S	PO54	Organisations and enterprises receiving financial support	0.00	0.00	0.00
F	PO54	Organisations and enterprises receiving financial support	0.00	0.00	0.00
S	PO54	Organisations and enterprises receiving financial support	0.00	0.00	0.00

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors
Specific objective	SO5f1 - Making businesses in supported sectors more competitive through increased resource efficiency

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2018 Total	2018 Qualitative	Observations
PR61	Savings from resource efficiency investments in supported sectors	GBP, per annum		6,000,000.00	2013	232,152,000.00	12,860,000.00		Data provided from Lead Partner for Apr 15- Mar 17

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
PR61	Savings from resource efficiency investments in supported sectors	8,460,000.00		6,000,000.00		6,000,000.00		6,000,000.00	

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors
Specific objective	SO5f2 - Grow re-processing and re-manufacturing industries through the reuse of waste products.

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2018 Total	2018 Qualitative	Observations
PR62	employment in circular economy	FTEs		7,200.00	2013	8,280.00	7,300.00		2017 based on latest data available (2015)

ID	Indicator	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
PR62	employment in circular economy	7,500.00		7,200.00		7,200.00		7,200.00	

Priority axes for technical assistance

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 6

Priority axis			6 - Technical Assistance								
(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2018 Total	2018 Men	2018 Women	Observations
F	61	Number of FTE's whose salaries are co-financed by TA	person		90.00	40.00	50.00	58.00			Data on TA outputs not recorded through IT system at end 2017
S	61	Number of FTE's whose salaries are co-financed by TA	person		90.00	40.00	50.00	4.00			Data on TA outputs not recorded through IT system at end 2017
F	62	communications activities to be undertaken and co-financed by TA	whole number		7.00	0.00	0.00	1.00			Data on TA outputs not recorded through IT system at end 2017
S	62	communications activities to be undertaken and co-financed by TA	whole number		7.00	0.00	0.00	58.00			Data on TA outputs not recorded through IT system at end 2017
F	63	Thematic evaluations to be co-financed by TA	whole number		5.00	0.00	0.00	4.00			Data on TA outputs not recorded through IT system at end 2017
S	63	Thematic evaluations to be co-financed by TA	whole number		5.00	0.00	0.00	0.00			Data on TA outputs not recorded through IT system at end 2017

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2017 Total	2017 Men	2017 Women	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women
F	61	Number of FTE's whose salaries are co-financed by TA	0.00	0.00	0.00				0.00	0.00	0.00
S	61	Number of FTE's whose salaries are co-financed by TA	0.00	0.00	0.00				0.00	0.00	0.00
F	62	communications activities to be undertaken and co-financed by TA	0.00						0.00	0.00	0.00
S	62	communications activities to be undertaken and co-financed by TA	0.00						0.00	0.00	0.00
F	63	Thematic evaluations to be co-financed by TA	0.00						0.00	0.00	0.00
S	63	Thematic evaluations to be co-financed by TA	0.00						0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	61	Number of FTE's whose salaries are co-financed by TA	0.00	0.00	0.00
S	61	Number of FTE's whose salaries are co-financed by TA	0.00	0.00	0.00
F	62	communications activities to be undertaken and co-financed by TA	0.00	0.00	0.00
S	62	communications activities to be undertaken and co-financed by TA	0.00	0.00	0.00
F	63	Thematic evaluations to be co-financed by TA	0.00	0.00	0.00
S	63	Thematic evaluations to be co-financed by TA	0.00	0.00	0.00

Table 3B: Number of enterprises supported by the operational programme net of multiple support to the same enterprises

Indicator	Number of enterprises supported by OP net of multiple support
CO01 - Productive investment: Number of enterprises receiving support	3,347
CO02 - Productive investment: Number of enterprises receiving grants	846
CO03 - Productive investment: Number of enterprises receiving financial support other than grants	192
CO04 - Productive investment: Number of enterprises receiving non-financial support	2,881
CO05 - Productive investment: Number of new enterprises supported	516

Table 5: Information on the milestones and targets defined in the performance framework

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2018 Cum total	2018 Cum men	2018 Cum women	2018 Annual total	2018 Annual total men	2018 Annual total women
1	O	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	ERDF	Transition	22.00					
1	O	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	ERDF	Transition	49.00					
1	O	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	ERDF	More developed	34.00					
1	O	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	ERDF	More developed	29.00					
1	F	100	expenditure	Euro	ERDF	Transition	1,639,941.00					
1	F	100	expenditure	Euro	ERDF	More developed	22,606,472.00					
1	I	1	Enterprises receiving support to introduce new to the firm or new to the market products	Number	ERDF	Transition	71.00					
1	I	1	Enterprises receiving support to introduce new to the firm or new to the market products	Number	ERDF	More developed	63.00					
2	O	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	Households	ERDF	Transition	0.00					
2	F	100	expenditure	Euro	ERDF	Transition	0.00					
2	I	3	ICT infrastructure contract let and implementation commenced	Date	ERDF	Transition	1.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	612.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	3,403.00					
3	F	100	expenditure	Euro	ERDF	Transition	8,472,317.00					
3	F	100	expenditure	Euro	ERDF	More developed	33,561,245.00					
3	I	4	Enterprises receiving support	Number	ERDF	Transition	612.00					
3	I	4	Enterprises receiving support	Number	ERDF	More developed	3,403.00					
4	F	100	expenditure	Euro	ERDF	Transition	2,830,930.00					
4	F	100	expenditure	Euro	ERDF	More developed	19,199,144.00					
4	I	2	Low carbon projects receiving non-financial or financial support	Number	ERDF	Transition	10.00					
4	I	7	Transport hubs with contracts let or construction commenced	Number	ERDF	Transition	0.00					
4	I	2	Low carbon projects receiving non-financial or financial support	Number	ERDF	More developed	43.00					
4	I	7	Transport hubs with contracts let or construction commenced	Number	ERDF	More developed	4.00					
4	O	PO45	Low carbon projects receiving non- financial support	projects	ERDF	Transition	10.00					
4	O	PO46	Low carbon projects receiving financial support	projects	ERDF	Transition	0.00					
4	O	PO45	Low carbon projects receiving non- financial support	projects	ERDF	More developed	17.00					
4	O	PO46	Low carbon projects receiving financial support	projects	ERDF	More developed	26.00					
5	F	100	expenditure	Euro	ERDF	Transition	2,408,587.00					
5	F	100	expenditure	Euro	ERDF	More developed	11,995,680.00					
5	I	5	Organisations receiving non-financial or financial support	Number	ERDF	Transition	487.00					
5	I	5	Organisations receiving non-financial or financial support	Number	ERDF	More developed	1,745.00					
5	I	8	Projects delivering new/improved green infrastructure which have commenced (main contract let or construction started)	Number	ERDF	More developed	5.00					
5	O	PO53	Organisations and enterprises receiving non-financial support	organisations	ERDF	Transition	471.00					
5	O	PO54	Organisations and enterprises receiving financial support	organisations	ERDF	Transition	16.00					
5	O	PO53	Organisations and enterprises receiving non-financial support	organisations	ERDF	More developed	1,709.00					
5	O	PO54	Organisations and enterprises receiving financial support	organisations	ERDF	More developed	36.00					

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2017 Cum total	2016 Cum total	2015 Cum total	Observations
1	O	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	ERDF	Transition	1.00	0.00	0.00	
1	O	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	ERDF	Transition	0.00	0.00	0.00	
1	O	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	ERDF	More developed	26.00	0.00	0.00	
1	O	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	ERDF	More developed	0.00	0.00	0.00	
1	F	100	expenditure	Euro	ERDF	Transition	1,527,232.83	0.00	0.00	
1	F	100	expenditure	Euro	ERDF	More developed	9,333,082.43	0.00	0.00	
1	I	1	Enterprises receiving support to introduce new to the firm or new to the market products	Number	ERDF	Transition	1.00	0.00	0.00	
1	I	1	Enterprises receiving support to introduce new to the firm or new to the market products	Number	ERDF	More developed	26.00	0.00	0.00	
2	O	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	Households	ERDF	Transition	0.00	0.00	0.00	
2	F	100	expenditure	Euro	ERDF	Transition	0.00	0.00	0.00	
2	I	3	ICT infrastructure contract let and implementation commenced	Date	ERDF	Transition	0.00	0.00	0.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	129.00	0.00	0.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	1,210.00	0.00	0.00	
3	F	100	expenditure	Euro	ERDF	Transition	1,696,813.18	0.00	0.00	
3	F	100	expenditure	Euro	ERDF	More developed	22,510,694.76	0.00	0.00	
3	I	4	Enterprises receiving support	Number	ERDF	Transition	129.00	0.00	0.00	
3	I	4	Enterprises receiving support	Number	ERDF	More developed	1,210.00	0.00	0.00	
4	F	100	expenditure	Euro	ERDF	Transition	165,904.95	0.00	0.00	
4	F	100	expenditure	Euro	ERDF	More developed	1,060,202.25	0.00	0.00	
4	I	2	Low carbon projects receiving non-financial or financial support	Number	ERDF	Transition	2.00	0.00	0.00	
4	I	7	Transport hubs with contracts let or construction commenced	Number	ERDF	Transition	0.00	0.00	0.00	

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2017 Cum total	2016 Cum total	2015 Cum total	Observations
4	I	2	Low carbon projects receiving non-financial or financial support	Number	ERDF	More developed	15.00	0.00	0.00	
4	I	7	Transport hubs with contracts let or construction commenced	Number	ERDF	More developed	0.00	0.00	0.00	
4	O	PO45	Low carbon projects receiving non- financial support	projects	ERDF	Transition	2.00	0.00	0.00	
4	O	PO46	Low carbon projects receiving financial support	projects	ERDF	Transition	17.00	0.00	0.00	
4	O	PO45	Low carbon projects receiving non- financial support	projects	ERDF	More developed	5.00	0.00	0.00	
4	O	PO46	Low carbon projects receiving financial support	projects	ERDF	More developed	10.00	0.00	0.00	
5	F	100	expenditure	Euro	ERDF	Transition	1,481,628.49	0.00	0.00	
5	F	100	expenditure	Euro	ERDF	More developed	7,435,621.82	0.00	0.00	
5	I	5	Organisations receiving non-financial or financial support	Number	ERDF	Transition	287.00	0.00	0.00	
5	I	5	Organisations receiving non-financial or financial support	Number	ERDF	More developed	1,078.00	0.00	0.00	
5	I	8	Projects delivering new/improved green infrastructure which have commenced (main contract let or construction started)	Number	ERDF	More developed	0.00	0.00	0.00	
5	O	PO53	Organisations and enterprises receiving non-financial support	organisations	ERDF	Transition	272.00	0.00	0.00	
5	O	PO54	Organisations and enterprises receiving financial support	organisations	ERDF	Transition	15.00	0.00	0.00	
5	O	PO53	Organisations and enterprises receiving non-financial support	organisations	ERDF	More developed	1,044.00	0.00	0.00	
5	O	PO54	Organisations and enterprises receiving financial support	organisations	ERDF	More developed	34.00	0.00	0.00	

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2014 Cum total
1	O	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	ERDF	Transition	0.00
1	O	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	ERDF	Transition	0.00
1	O	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	ERDF	More developed	0.00
1	O	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	ERDF	More developed	0.00
1	F	100	expenditure	Euro	ERDF	Transition	0.00
1	F	100	expenditure	Euro	ERDF	More developed	0.00
1	I	1	Enterprises receiving support to introduce new to the firm or new to the market products	Number	ERDF	Transition	0.00
1	I	1	Enterprises receiving support to introduce new to the firm or new to the market products	Number	ERDF	More developed	0.00
2	O	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	Households	ERDF	Transition	0.00
2	F	100	expenditure	Euro	ERDF	Transition	0.00
2	I	3	ICT infrastructure contract let and implementation commenced	Date	ERDF	Transition	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0.00
3	F	100	expenditure	Euro	ERDF	Transition	0.00
3	F	100	expenditure	Euro	ERDF	More developed	0.00
3	I	4	Enterprises receiving support	Number	ERDF	Transition	0.00
3	I	4	Enterprises receiving support	Number	ERDF	More developed	0.00
4	F	100	expenditure	Euro	ERDF	Transition	0.00
4	F	100	expenditure	Euro	ERDF	More developed	0.00
4	I	2	Low carbon projects receiving non-financial or financial support	Number	ERDF	Transition	0.00
4	I	7	Transport hubs with contracts let or construction commenced	Number	ERDF	Transition	0.00
4	I	2	Low carbon projects receiving non-financial or financial support	Number	ERDF	More developed	0.00
4	I	7	Transport hubs with contracts let or construction commenced	Number	ERDF	More developed	0.00
4	O	PO45	Low carbon projects receiving non- financial support	projects	ERDF	Transition	0.00
4	O	PO46	Low carbon projects receiving financial support	projects	ERDF	Transition	0.00
4	O	PO45	Low carbon projects receiving non- financial support	projects	ERDF	More developed	0.00
4	O	PO46	Low carbon projects receiving financial support	projects	ERDF	More developed	0.00
5	F	100	expenditure	Euro	ERDF	Transition	0.00
5	F	100	expenditure	Euro	ERDF	More developed	0.00
5	I	5	Organisations receiving non-financial or financial support	Number	ERDF	Transition	0.00
5	I	5	Organisations receiving non-financial or financial support	Number	ERDF	More developed	0.00
5	I	8	Projects delivering new/improved green infrastructure which have commenced (main contract let or construction started)	Number	ERDF	More developed	0.00
5	O	PO53	Organisations and enterprises receiving non-financial support	organisations	ERDF	Transition	0.00
5	O	PO54	Organisations and enterprises receiving financial support	organisations	ERDF	Transition	0.00
5	O	PO53	Organisations and enterprises receiving non-financial support	organisations	ERDF	More developed	0.00
5	O	PO54	Organisations and enterprises receiving financial support	organisations	ERDF	More developed	0.00

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	Milestone for 2018 total	Milestone for 2018 men	Milestone for 2018 women	Final target (2023) total	Final target (2023) men	Final target (2023) women
1	O	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	ERDF	Transition	0			50.00		
1	O	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	ERDF	Transition	0			250.00		
1	O	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	ERDF	More developed	0			175.00		
1	O	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	ERDF	More developed	0			800.00		
1	F	100	expenditure	Euro	ERDF	Transition	5,297,183			31,221,377.00		
1	F	100	expenditure	Euro	ERDF	More developed	34,432,682			204,795,680.00		
1	I	1	Enterprises receiving support to introduce new to the firm or new to the market products	Number	ERDF	Transition	60			300.00		

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	Milestone for 2018 total	Milestone for 2018 men	Milestone for 2018 women	Final target (2023) total	Final target (2023) men	Final target (2023) women
1	I	1	Enterprises receiving support to introduce new to the firm or new to the market products	Number	ERDF	More developed	195			975.00		
2	O	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	Households	ERDF	Transition	0			11,833.00		
2	F	100	expenditure	Euro	ERDF	Transition	7,052,979			44,341,291.00		
2	I	3	ICT infrastructure contract let and implementation commenced	Date	ERDF	Transition	1			3.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			2,600.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			9,200.00		
3	F	100	expenditure	Euro	ERDF	Transition	8,213,429			48,409,605.00		
3	F	100	expenditure	Euro	ERDF	More developed	46,560,689			276,929,573.00		
3	I	4	Enterprises receiving support	Number	ERDF	Transition	520			2,600.00		
3	I	4	Enterprises receiving support	Number	ERDF	More developed	1800			9,200.00		
4	F	100	expenditure	Euro	ERDF	Transition	6,690,220			42,060,672.00		
4	F	100	expenditure	Euro	ERDF	More developed	34,618,602			219,628,238.00		
4	I	2	Low carbon projects receiving non-financial or financial support	Number	ERDF	Transition	23			150.00		
4	I	7	Transport hubs with contracts let or construction commenced	Number	ERDF	Transition	2			5.00		
4	I	2	Low carbon projects receiving non-financial or financial support	Number	ERDF	More developed	118			783.00		
4	I	7	Transport hubs with contracts let or construction commenced	Number	ERDF	More developed	4			15.00		
4	O	PO45	Low carbon projects receiving non- financial support	projects	ERDF	Transition	0			112.00		
4	O	PO46	Low carbon projects receiving financial support	projects	ERDF	Transition	0			38.00		
4	O	PO45	Low carbon projects receiving non- financial support	projects	ERDF	More developed	0			586.00		
4	O	PO46	Low carbon projects receiving financial support	projects	ERDF	More developed	0			197.00		
5	F	100	expenditure	Euro	ERDF	Transition	3,064,719			19,267,547.00		
5	F	100	expenditure	Euro	ERDF	More developed	14,547,767			92,294,325.00		
5	I	5	Organisations receiving non-financial or financial support	Number	ERDF	Transition	157			786.00		
5	I	5	Organisations receiving non-financial or financial support	Number	ERDF	More developed	752			3,765.00		
5	I	8	Projects delivering new/improved green infrastructure which have commenced (main contract let or construction started)	Number	ERDF	More developed	4			14.00		
5	O	PO53	Organisations and enterprises receiving non-financial support	organisations	ERDF	Transition	0			714.00		
5	O	PO54	Organisations and enterprises receiving financial support	organisations	ERDF	Transition	0			72.00		
5	O	PO53	Organisations and enterprises receiving non-financial support	organisations	ERDF	More developed	0			3,420.00		
5	O	PO54	Organisations and enterprises receiving financial support	organisations	ERDF	More developed	0			345.00		

3.4 Financial data (Article 50(2) of Regulation (EU) No 1303/2013)

Table 6: Financial information at priority axis and programme level

(as set out in Table 1 of Annex II to Commission Implementing Regulation (EU) No 1011/2014 (Model for the transmission of financial data))

Priority axis	Fund	Category of region	Calculation basis	Total fund	Co-financing rate	Total eligible cost of operations selected for support	Proportion of the total allocation covered with selected operations	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Proportion of the total allocation covered by eligible expenditure declared by beneficiaries	Number of operations selected	Total eligible expenditure incurred by beneficiaries and paid by 31/12/2018 and certified to the Commission
1	ERDF	Transition	Public	31,221,377.00	60.00%	16,446,170.56	52.68%	16,446,170.56	1,639,941.00	5.25%	6	1,639,941.00
1	ERDF	More developed	Public	204,795,680.00	45.00%	117,100,438.13	57.18%	117,100,438.13	22,566,487.47	11.02%	8	22,606,472.00
2	ERDF	Transition	Public	44,341,291.00	55.00%	50,863,500.00	114.71%	50,863,500.00	0.00	0.00%	2	0.00
3	ERDF	Transition	Public	48,409,605.00	60.00%	41,182,446.41	85.07%	41,182,446.41	2,144,665.80	4.43%	15	8,472,317.00
3	ERDF	More developed	Public	276,929,573.00	45.00%	242,129,346.75	87.43%	242,129,346.75	32,155,402.69	11.61%	26	33,561,245.00
4	ERDF	Transition	Public	42,060,672.00	60.00%	29,984,461.56	71.29%	29,984,461.56	2,830,915.87	6.73%	7	2,830,930.00
4	ERDF	More developed	Public	219,628,238.00	45.00%	157,258,836.30	71.60%	157,258,836.30	19,198,616.91	8.74%	11	19,199,144.00
5	ERDF	Transition	Public	19,267,547.00	60.00%	18,032,255.94	93.59%	18,032,255.94	2,286,831.62	11.87%	5	2,408,587.00
5	ERDF	More developed	Public	92,294,325.00	45.00%	88,578,815.26	95.97%	88,578,815.26	11,420,845.37	12.37%	6	11,995,680.00
6	ERDF	Transition	Public	4,651,110.00	50.00%	4,487,623.47	96.48%	4,487,623.47	1,213,836.03	26.10%	1	
6	ERDF	More developed	Public	14,282,142.00	50.00%	13,829,923.77	96.83%	13,829,923.77	3,737,819.26	26.17%	1	
Total	ERDF	Transition		189,951,602.00	58.59%	160,996,457.94	84.76%	160,996,457.94	10,116,190.32	5.33%	36	15,351,775.00
Total	ERDF	More developed		807,929,958.00	45.09%	618,897,360.21	76.60%	618,897,360.21	89,079,171.70	11.03%	52	87,362,541.00
Grand total				997,881,560.00	47.66%	779,893,818.15	78.15%	779,893,818.15	99,195,362.02	9.94%	88	102,714,316.00

Table 7: Breakdown of the cumulative financial data by category of intervention for the ERDF, the ESF and the Cohesion Fund (Article 112(1) and (2) of Regulation (EU) No 1303/2013 and Article 5 of Regulation (EU) No 1304/2013)

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	Transition	001	03	01	07	01		16	UKM	1,942,630.13	1,942,630.13	560,789.57	1
1	ERDF	Transition	001	03	02	07	01		16	UKM	2,205,248.26	2,205,248.26	636,639.67	1
1	ERDF	Transition	001	03	03	07	01		16	UKM	1,160,575.55	1,160,575.55	335,029.63	1
1	ERDF	Transition	062	01	02	07	01		24	UKM6	2,213,991.28	2,213,991.28	0.00	3
1	ERDF	Transition	062	01	03	07	01		24	UKM6	3,742,649.04	3,742,649.04	0.00	3
1	ERDF	Transition	064	01	02	07	01		12	UKM62	1,202,459.35	1,202,459.35	65,283.36	1
1	ERDF	Transition	064	01	02	07	01		13	UKM6	1,189,894.04	1,189,894.04	0.00	1
1	ERDF	Transition	064	01	03	07	01		12	UKM62	777,262.51	777,262.51	42,198.77	1
1	ERDF	Transition	064	01	03	07	01		13	UKM6	2,011,460.40	2,011,460.40	0.00	1
1	ERDF	More developed	001	03	01	07	01		16	UKM	10,880,011.01	10,880,011.01	6,584,395.22	1
1	ERDF	More developed	001	03	02	07	01		16	UKM	12,350,845.89	12,350,845.89	7,474,555.37	1
1	ERDF	More developed	001	03	03	07	01		16	UKM	7,442,254.83	7,442,254.83	3,933,542.63	1
1	ERDF	More developed	064	01	01	07	01		13	UKM	20,831,584.04	20,831,584.04	1,121,780.33	2
1	ERDF	More developed	064	01	01	07	01		24	UKM	10,827,252.83	10,827,252.83	552,074.08	5
1	ERDF	More developed	064	01	02	07	01		13	UKM	23,690,201.81	23,690,201.81	1,273,430.32	2
1	ERDF	More developed	064	01	02	07	01		24	UKM	12,290,955.50	12,290,955.50	626,707.27	5
1	ERDF	More developed	064	01	03	07	01		13	UKM	12,318,862.12	12,318,862.12	670,179.46	2
1	ERDF	More developed	064	01	03	07	01		24	UKM	6,468,470.10	6,468,470.10	329,822.79	5
2	ERDF	Transition	046	01	02	07	02		13	UKM6	18,905,178.03	18,905,178.03	0.00	2
2	ERDF	Transition	046	01	03	07	02		13	UKM6	31,958,321.97	31,958,321.97	0.00	2
3	ERDF	Transition	001	01	02	07	03		24	UKM6	3,695,935.00	3,695,935.00	27,416.14	5
3	ERDF	Transition	001	01	02	07	03		24	UKM61	1,317,091.70	1,317,091.70	0.00	1
3	ERDF	Transition	001	01	02	07	03		24	UKM62	2,402,857.39	2,402,857.39	0.00	1
3	ERDF	Transition	001	01	02	07	03		24	UKM63	565,524.70	565,524.70	37,097.96	1
3	ERDF	Transition	001	01	02	07	03		24	UKM65	1,196,707.57	1,196,707.57	0.00	1
3	ERDF	Transition	001	01	03	07	03		24	UKM6	6,247,805.78	6,247,805.78	46,345.71	5
3	ERDF	Transition	001	01	03	07	03		24	UKM61	5,182,133.30	5,182,133.30	0.00	1
3	ERDF	Transition	001	01	03	07	03		24	UKM62	1,553,192.61	1,553,192.61	0.00	1
3	ERDF	Transition	001	01	03	07	03		24	UKM63	2,417,342.65	2,417,342.65	158,575.68	1
3	ERDF	Transition	001	01	03	07	03		24	UKM65	2,985,402.43	2,985,402.43	0.00	1
3	ERDF	Transition	001	04	01	07	03		16	UKM	3,291,431.76	3,291,431.76	616,022.11	1
3	ERDF	Transition	001	04	02	07	03		16	UKM	3,736,390.19	3,736,390.19	699,350.84	1
3	ERDF	Transition	001	04	03	07	03		16	UKM	1,966,383.19	1,966,383.19	368,026.93	1
3	ERDF	Transition	003	01	02	07	03		24	UKM6	629,585.28	629,585.28	0.00	1
3	ERDF	Transition	003	01	03	07	03		24	UKM6	1,064,284.56	1,064,284.56	0.00	1
3	ERDF	Transition	066	01	02	07	03		24	UKM6	994,650.50	994,650.50	35,445.30	3
3	ERDF	Transition	066	01	02	07	03		24	UKM64	44,921.71	44,921.71	17,039.49	1
3	ERDF	Transition	066	01	03	07	03		24	UKM6	1,681,410.30	1,681,410.30	59,918.62	3
3	ERDF	Transition	066	01	03	07	03		24	UKM64	209,395.79	209,395.79	79,427.02	1
3	ERDF	More developed	001	01	01	07	03		16	UKM	364,960.08	364,960.08	0.00	1
3	ERDF	More developed	001	01	01	07	03		24	UKM21	1,004,863.19	1,004,863.19	511,069.50	2

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
3	ERDF	More developed	001	01	01	07	03		24	UKM36	5,075,074.76	5,075,074.76	46,478.82	3
3	ERDF	More developed	001	01	01	07	03		24	UKM50	854,639.65	854,639.65	125,084.10	1
3	ERDF	More developed	001	01	02	07	03		16	UKM	414,297.90	414,297.90	0.00	1
3	ERDF	More developed	001	01	02	07	03		18	UKM28	184,783.99	184,783.99	86,340.09	1
3	ERDF	More developed	001	01	02	07	03		24	UKM21	396,683.09	396,683.09	201,751.47	2
3	ERDF	More developed	001	01	02	07	03		24	UKM24	166,449.48	166,449.48	62,532.74	1
3	ERDF	More developed	001	01	02	07	03		24	UKM28	2,151,227.00	2,151,227.00	728,182.56	1
3	ERDF	More developed	001	01	02	07	03		24	UKM31	346,634.15	346,634.15	92,332.50	1
3	ERDF	More developed	001	01	02	07	03		24	UKM35	972,045.63	972,045.63	397,890.59	2
3	ERDF	More developed	001	01	02	07	03		24	UKM50	301,557.65	301,557.65	44,135.64	1
3	ERDF	More developed	001	01	03	07	03		18	UKM28	23,292.42	23,292.42	10,883.36	1
3	ERDF	More developed	001	01	03	07	03		24	UKM	218,036.23	218,036.23	0.00	1
3	ERDF	More developed	001	01	03	07	03		24	UKM21	394,677.03	394,677.03	200,731.19	2
3	ERDF	More developed	001	01	03	07	03		24	UKM24	451,173.12	451,173.12	169,499.41	1
3	ERDF	More developed	001	01	03	07	03		24	UKM28	271,166.79	271,166.79	91,788.98	1
3	ERDF	More developed	001	01	03	07	03		24	UKM31	41,962.99	41,962.99	11,177.63	1
3	ERDF	More developed	001	01	03	07	03		24	UKM35	115,003.16	115,003.16	47,074.61	2
3	ERDF	More developed	001	01	03	07	03		24	UKM50	668,853.26	668,853.26	97,892.60	1
3	ERDF	More developed	001	04	01	07	03		16	UKM	64,386,332.93	64,386,332.93	7,622,703.97	2
3	ERDF	More developed	001	04	02	07	03		16	UKM	73,090,521.24	73,090,521.24	8,653,186.59	2
3	ERDF	More developed	001	04	03	07	03		16	UKM	38,465,996.50	38,465,996.50	4,553,778.70	2
3	ERDF	More developed	066	01	01	07	03		24	UKM	8,568,750.21	8,568,750.21	696,434.40	2
3	ERDF	More developed	066	01	01	07	03		24	UKM21	225,423.85	225,423.85	136,285.19	1
3	ERDF	More developed	066	01	01	07	03		24	UKM26	7,368,143.13	7,368,143.13	1,399,737.76	2
3	ERDF	More developed	066	01	01	07	03		24	UKM34	2,547,319.31	2,547,319.31	616,997.75	1
3	ERDF	More developed	066	01	01	07	03		24	UKM50	1,702,691.83	1,702,691.83	321,092.48	1
3	ERDF	More developed	066	01	02	07	03		18	UKM28	184,783.99	184,783.99	86,340.09	1
3	ERDF	More developed	066	01	02	07	03		24	UKM	9,727,132.93	9,727,132.93	790,583.20	2
3	ERDF	More developed	066	01	02	07	03		24	UKM21	88,989.06	88,989.06	53,800.39	1
3	ERDF	More developed	066	01	02	07	03		24	UKM22	1,834,872.51	1,834,872.51	838,506.50	2
3	ERDF	More developed	066	01	02	07	03		24	UKM24	249,674.22	249,674.22	93,799.10	1
3	ERDF	More developed	066	01	02	07	03		24	UKM28	2,151,227.00	2,151,227.00	728,182.56	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
3	ERDF	More developed	066	01	02	07	03		24	UKM32	1,041,192.44	1,041,192.44	320,607.27	1
3	ERDF	More developed	066	01	02	07	03		24	UKM33	4,201,533.78	4,201,533.78	249,469.96	1
3	ERDF	More developed	066	01	02	07	03		24	UKM35	328,910.28	328,910.28	71,184.91	1
3	ERDF	More developed	066	01	02	07	03		24	UKM50	600,790.91	600,790.91	113,296.74	1
3	ERDF	More developed	066	01	03	07	03		18	UKM28	23,292.42	23,292.42	10,883.36	1
3	ERDF	More developed	066	01	03	07	03		24	UKM	5,119,184.47	5,119,184.47	416,067.23	2
3	ERDF	More developed	066	01	03	07	03		24	UKM21	88,539.03	88,539.03	53,528.32	1
3	ERDF	More developed	066	01	03	07	03		24	UKM22	397,815.43	397,815.43	181,795.10	2
3	ERDF	More developed	066	01	03	07	03		24	UKM24	676,759.67	676,759.67	254,249.11	1
3	ERDF	More developed	066	01	03	07	03		24	UKM28	271,166.79	271,166.79	91,788.98	1
3	ERDF	More developed	066	01	03	07	03		24	UKM32	1,543,071.51	1,543,071.51	475,147.46	1
3	ERDF	More developed	066	01	03	07	03		24	UKM33	1,355,647.16	1,355,647.16	80,492.81	1
3	ERDF	More developed	066	01	03	07	03		24	UKM35	38,913.52	38,913.52	8,421.92	1
3	ERDF	More developed	066	01	03	07	03		24	UKM50	1,332,551.07	1,332,551.07	251,291.58	1
3	ERDF	More developed	069	01	01	07	03		24	UKM21	56,355.96	56,355.96	34,071.30	1
3	ERDF	More developed	069	01	02	07	03		24	UKM21	22,247.27	22,247.27	13,450.09	1
3	ERDF	More developed	069	01	03	07	03		24	UKM21	22,134.76	22,134.76	13,382.08	1
4	ERDF	Transition	013	01	02	07	04		22	UKM6	3,803,637.80	3,803,637.80	526,512.78	2
4	ERDF	Transition	013	01	03	07	04		22	UKM6	6,429,872.34	6,429,872.34	890,112.08	2
4	ERDF	Transition	036	01	02	07	04		12	UKM6	3,750,794.51	3,750,794.51	70,174.93	4
4	ERDF	Transition	036	01	03	07	04		12	UKM6	6,340,543.24	6,340,543.24	118,627.44	4
4	ERDF	Transition	065	01	02	07	04		22	UKM6	1,991,184.55	1,991,184.55	250,732.30	3
4	ERDF	Transition	065	01	03	07	04		22	UKM6	3,366,004.65	3,366,004.65	423,851.25	3
4	ERDF	Transition	071	01	02	07	04		22	UKM6	1,599,144.78	1,599,144.78	204,762.92	3
4	ERDF	Transition	071	01	03	07	04		22	UKM6	2,703,279.69	2,703,279.69	346,142.17	3
4	ERDF	More developed	013	01	01	07	04		22	UKM	2,459,143.99	2,459,143.99	363,421.93	2
4	ERDF	More developed	013	01	02	07	04		22	UKM	2,791,588.02	2,791,588.02	412,551.82	2
4	ERDF	More developed	013	01	03	07	04		22	UKM	1,469,153.77	1,469,153.77	217,117.31	2
4	ERDF	More developed	013	02	01	07	04		22	UKM	16,338,792.78	16,338,792.78	2,759,564.70	2
4	ERDF	More developed	013	02	02	07	04		22	UKM	18,547,583.41	18,547,583.41	3,132,448.10	2
4	ERDF	More developed	013	02	03	07	04		22	UKM	9,761,201.13	9,761,201.13	1,648,541.22	2
4	ERDF	More developed	036	01	01	07	04		12	UKM	21,271,985.56	21,271,985.56	1,420,859.74	6
4	ERDF	More developed	036	01	02	07	04		12	UKM	24,147,679.14	24,147,679.14	1,613,241.35	6
4	ERDF	More developed	036	01	03	07	04		12	UKM	12,708,413.18	12,708,413.18	848,856.95	6
4	ERDF	More developed	065	01	01	07	04		22	UKM	6,180,598.62	6,180,598.62	763,989.60	3

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
4	ERDF	More developed	065	01	02	07	04		22	UKM	7,016,134.53	7,016,134.53	867,270.98	3
4	ERDF	More developed	065	01	03	07	04		22	UKM	3,692,443.32	3,692,443.32	456,426.38	3
4	ERDF	More developed	065	02	01	07	04		22	UKM	3,501,169.88	3,501,169.88	591,302.52	2
4	ERDF	More developed	065	02	02	07	04		22	UKM	3,974,482.16	3,974,482.16	671,238.88	2
4	ERDF	More developed	065	02	03	07	04		22	UKM	2,091,685.96	2,091,685.96	353,258.83	2
4	ERDF	More developed	071	01	01	07	04		22	UKM	4,296,052.22	4,296,052.22	535,285.11	3
4	ERDF	More developed	071	01	02	07	04		22	UKM	4,876,822.17	4,876,822.17	607,648.63	3
4	ERDF	More developed	071	01	03	07	04		22	UKM	2,566,568.44	2,566,568.44	319,792.63	3
4	ERDF	More developed	071	02	01	07	04		22	UKM	3,501,169.88	3,501,169.88	591,302.52	2
4	ERDF	More developed	071	02	02	07	04		22	UKM	3,974,482.18	3,974,482.18	671,238.88	2
4	ERDF	More developed	071	02	03	07	04		22	UKM	2,091,685.96	2,091,685.96	353,258.83	2
5	ERDF	Transition	068	01	02	07	06		22	UKM6	1,852,994.24	1,852,994.24	388,267.15	2
5	ERDF	Transition	068	01	03	07	06		22	UKM6	3,130,709.91	3,130,709.91	656,401.46	2
5	ERDF	Transition	069	01	02	07	06		22	UKM6	1,095,787.12	1,095,787.12	377,748.67	1
5	ERDF	Transition	069	01	03	07	06		22	UKM6	1,852,377.03	1,852,377.03	638,566.52	1
5	ERDF	Transition	070	01	02	07	06		22	UKM6	243,508.25	243,508.25	83,944.15	1
5	ERDF	Transition	070	01	03	07	06		22	UKM6	411,639.34	411,639.34	141,903.67	1
5	ERDF	Transition	085	01	02	07	06		22	UKM6	300,082.19	300,082.19	0.00	1
5	ERDF	Transition	085	01	03	07	06		22	UKM6	507,274.96	507,274.96	0.00	1
5	ERDF	Transition	095	01	02	07	06		23	UKM6	3,210,939.46	3,210,939.46	0.00	2
5	ERDF	Transition	095	01	03	07	06		23	UKM6	5,426,943.44	5,426,943.44	0.00	2
5	ERDF	More developed	068	01	01	07	06		22	UKM	9,068,455.96	9,068,455.96	1,711,460.21	3
5	ERDF	More developed	068	01	02	07	06		22	UKM	10,294,392.35	10,294,392.35	1,942,818.08	3
5	ERDF	More developed	068	01	03	07	06		22	UKM	5,417,721.11	5,417,721.11	1,022,428.64	3
5	ERDF	More developed	069	01	01	07	06		22	UKM	5,676,660.56	5,676,660.56	1,702,235.50	2
5	ERDF	More developed	069	01	02	07	06		22	UKM	6,444,070.66	6,444,070.66	1,932,346.33	2
5	ERDF	More developed	069	01	03	07	06		22	UKM	3,391,378.19	3,391,378.19	1,016,917.58	2
5	ERDF	More developed	070	01	01	07	06		22	UKM	1,261,480.12	1,261,480.12	378,259.59	2
5	ERDF	More developed	070	01	02	07	06		22	UKM	1,432,015.70	1,432,015.70	429,395.33	2
5	ERDF	More developed	070	01	03	07	06		22	UKM	753,639.60	753,639.60	225,981.68	2
5	ERDF	More developed	085	01	01	07	06		22	UKM	16,408,844.33	16,408,844.33	387,542.22	3
5	ERDF	More developed	085	01	02	07	06		22	UKM	18,627,105.01	18,627,105.01	439,932.85	3
5	ERDF	More developed	085	01	03	07	06		22	UKM	9,803,051.67	9,803,051.67	231,527.36	3
6	ERDF	Transition	121	01	02	07			18	UKM6	1,667,980.39	1,667,980.39	451,167.11	1
6	ERDF	Transition	121	01	03	07			18	UKM6	2,819,643.08	2,819,643.08	762,668.92	1
6	ERDF	More developed	121	01	01	07			18	UKM	5,061,064.28	5,061,064.28	1,367,863.68	1
6	ERDF	More	121	01	02	07			18	UKM	5,745,253.83	5,745,253.83	1,552,775.52	1

Priority axis	Characteristics of expenditure		Categorisation dimensions							Financial data				
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
6	ERDF	More developed	121	01	03	07			18	UKM	3,023,605.66	3,023,605.66	817,180.06	1

Table 8: The use made of cross-financing

1	2	3	4	5	6
Use of cross-financing	Priority axis	The amount of EU support envisaged to be used for cross financing based on selected operations (EUR)	As a share of the EU support to the priority axis (%) (3/EU support to priority axis*100)	The amount of EU support used under cross financing based on eligible expenditure declared by the beneficiary to the managing authority (EUR)	As a share of the EU support to the priority axis (%) (5/EU support to priority axis*100)
Costs eligible for support under the ESF, but supported from the ERDF	1	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	2	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	3	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	4	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	5	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	6	0.00		0.00	

Table 9: Cost of operations implemented outside the programme area (the ERDF and the Cohesion Fund under the Investment for growth and jobs goal)

1	2	3	4	5
Priority axis	The amount of EU support envisaged to be used for operations implemented outside the programme area based on selected operations (EUR)	As a share of the EU support to the priority axis at the time of adoption of the programme (%) (2/EU support to priority axis at the time of adoption of the programme*100)	The amount of EU support in operations implemented outside the programme area based on eligible expenditure declared by the beneficiary to the managing authority (EUR)	As a share of the EU support to the priority axis at the time of adoption of the programme (%) (4/EU support to priority axis at the time of adoption of the programme*100)
1	0.00		0.00	
2	0.00		0.00	
3	0.00		0.00	
4	0.00		0.00	
5	0.00		0.00	
6	0.00		0.00	

Table 10: Expenditure incurred outside the Union (ESF)

The amount of expenditure envisaged to be incurred outside the Union under thematic objectives 8 and 10 based on selected operations (EUR)	Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (1/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100)	Eligible expenditure incurred outside the Union declared by the beneficiary to the managing authority (EUR)	Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (3/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100)

4. SYNTHESIS OF THE EVALUATIONS

Synthesis of the findings of all evaluations of the programme that have become available during the previous financial year, with reference of name and reference period of the evaluation reports used

The current Monitoring and Evaluation strategy was approved in 2015 and is published online (<https://www.gov.scot/publications/esif-monitoring-evaluation-strategy-2014-2020/>)

Evaluation to Date

To date, three evaluations have been carried out on the programme:

- Ex-ante Evaluation
- Both Youth Employment Initiative Evaluations
- Early mid-term review

Ex-ante Evaluation

The formal ex-ante evaluation was completed in November 2014 (<https://www.gov.scot/publications/esif-formal-evaluations/>) ahead of the approval of the programmes. The work included the Equalities Impact Assessment, Strategic Environmental Assessment and Ex-ante Assessment of Financial Instruments. This has informed the development and implementation of the OPs and the selection of operations within each Programme.

Youth Employment Initiative

Youth Employment Initiative

The first evaluation was completed in 2015 and the second in December 2017 (<https://www.gov.scot/publications/yei-evaluation-report-2014-2020/>). The most recent evaluation did not seek to provide recommendations, but identified a number of lessons learned or wider points for consideration, outlined in part 6.2

The report was considered by the Youth Employment Initiative Territorial Committee when it met on 9 March 2019. The committee agreed with the report and noted five points in particular:

- The need for clear guidance on compliance from the outset, noted in the evaluation at points 5, 6 and 8.
- Difficulty in gathering evidence of long-term results.
- The need to upskill small employers with regards to the compliance regime.
- Issues with online banking and the information that is presented/accepted.
- Different compliance regimes with other programmes (e.g. Scottish Employer Recruitment

Incentive), noted in the evaluation at points 1 and 2.

Based on the evaluation, and ongoing stakeholder engagement work, the MA:

- Will note the need to be aware of the challenges of timing and aligning European and national policy initiatives in designing future programmes.
- Have taken into account the need to minimise the time from application submission to formal offer of grant to recipients in the 2018 MCS review.
- Have reviewed and revised processes for reviewing, revising and publishing guidance to lead partners, and revisions and support for the EUMIS IT system.
- Have introduced regular 'lead partner events' to increase dialogue with lead partners.
- Are engaging with lead partners to ensure guidance and rules on evidence requirements are clear and appropriate.

Alongside this, we will engage with lead partners to confirm the final outcomes of the programme and identify good examples of the support provided and publicise these in line with Communications Strategy.

Early Mid-term Evaluation

In November 2016, the Joint Programme Monitoring Committee agreed to bring forward the mid-term review and the recommendations were agreed by the JPMC at the June 2017 meeting (<https://www.gov.scot/publications/jpmc-minutes-june-2017/>).

The recommendations agreed were to

- Request changes to both the ERDF and ESF programmes
- Allocate the additional H&I allocations for ERDF to Priority 5 (Protecting the Environment) and ESF to Priority 3 (Investing in Education, Training and Vocational training)
- Request an increase in the Programme co-finance rates
- Change to National Rules to allow apportioned staff costs following confirmation with Audit and EC
- Consider eligible opportunities for digital skills, employability, mental health and financial engineering for social enterprises and, where appropriate, seek new interventions thereafter.

Based on this, revised Operational Programmes were submitted and agreed by both DG Emploi and DG Regio in late 2017.

Forthcoming Evaluations

The Monitoring and Evaluation Strategy was not reviewed as part of the mid-term review and it has been identified during 2018 that the strategy was due to be refreshed. This was not completed during 2018 but a review of the strategy is a priority for the MA and an update will be provided to the next JPMC, with a

revised strategy and initial work to implement this anticipated by the end of the year.

Name	Fund	From month	From year	To month	To year	Type of evaluation	Thematic objective	Topic	Findings
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6 ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN (ARTICLE 50(2) OF REGULATION (EU) No 1303/2013)

(a) Issues which affect the performance of the programme and the measures taken

The 2017 AIR explained that an early review of the programmes was undertaken with the purpose of: identifying issues affecting performance; identifying new opportunities; and recommending measures to be taken to improve programme performance and absorption of funding. The review also reflected on changes to the economic climate and delivery and performance of the programmes to date.

The review sought to “sense-check” the underlying analysis and context on which the Structural Funds Programmes were designed – and should continue, particularly the socio-economic and policy environment. The analysis concluded that the original intervention logic for both programmes remained sound. However, the emergence of new strategic and key labour market changes meant that, to assist in optimising commitment and help meet performance targets, some adjustments to both the scope and allocations were required. These were secured and 2018 saw these changes given effect as the managing authority worked with lead partners to support their respective responses.

There were no major changes in the environment in which the programmes were implemented. However, commitment and absorption of the Youth Employment Initiative remained an issue, as did the UK's decision to leave the EU. This became increasingly apparent through 2018 as we approached the intended date of the UK exiting the EU of 29 March 2019. Arguably, this should not have impacted directly on lead partners due to the UK Treasury guarantee to replace ESIF funding in the event that ERDF and ESF were withdrawn; however, business and wider third party confidence remained weak, leading to lower uptake of support and more generally, lead partners did pause to reflect on potential wider implications of Brexit.

Relatively disappointing levels of absorption continue to challenge. Despite programme changes - including programme-wide increases in intervention rates in the ESF and ERDF programmes in the Highlands and Islands and two new ERDF priorities to allow support for innovation infrastructure across Scotland and for culture and heritage assets in the Highlands and Islands region – lead partners have found it difficult to fund and develop actions in sufficient volume to fully absorb programme funds. We remain hopeful however that plans for the remaining period of the programmes will ensure full use of the ERDF and ESF balances.

That said, finding match funding remains a challenge across programmes, particularly where third parties and the third sector are integral to delivery. Changes to the national rules to extend eligibility of staff engaged on operations has proved beneficial. Lead partners now have scope to employ greater resource to implementation. This has helped address lead partner capacity to check

information and provide evidence before claims are paid.

In common with previous years, the MA has taken steps to update the EUMIS IT system, expand and clarify guidance and run information / training events for lead partners. In addition, and more directly, the MA has endeavoured to maintain regular bi-lateral contact with lead partners; other pressures have, on occasion, meant that direct contact has not been as frequent or detailed as we would have wished.

In the main the issues affecting performance of the ERDF Programme are related to the slowness of claims being submitted by Lead Partners. This has meant that the achievement of expenditure and physical performance targets is below the expected level at this stage of the Programme. The Managing Authority has been working with Lead Partners to ensure that they are clear about the compliance requirements. In particular Lead Partners have struggled to meet the evidence requirements for outputs. This is a relatively new requirement for this Programme and the Managing Authority has worked extensively with Lead Partners to ensure that they understand and can meet these evidencing requirements. This work is starting to have an effect and more regular claims are being submitted with the appropriate evidence of outputs. The Managing Authority will continue to work with Lead Partners to ensure that the expected standards of evidence continue to be met.

(b) An assessment of whether progress made towards targets is sufficient to ensure their fulfilment, indicating any remedial actions taken or planned, where appropriate.

There is mixed performance across the ERDF Programme. For some priorities there has been significant performance e.g. Priority 5 : Preserving and protecting the environment and promoting resource efficiency. In addition good progress has also been noted for ERDF Priority 3 : Enhancing the competitiveness of SMEs for the Transition region. However, for other priorities performance has been generally lower than expected and this is because of the slowness of claims as noted above. The Managing Authority will continue to work with Lead Partners to ensure that claims can be submitted quicker and that outputs are recorded on the EUMIS system. The Managing Authority has encouraged Lead Partners to report outputs on EUMIS as these can be reported outwith the normal claims submission process (provided that sufficient checks have been undertaken to ensure the eligibility of the outputs and the existence of suitable evidence). Lead Partner events have also focussed on the submission of claims to explore what barriers exist which are preventing Lead Partners from submitting regular claims. Specific workshops have also been held to clarify what is required with regard to reporting and evidencing of outputs and this is also regularly discussed at the Lead Partner meetings. In addition Portfolio Managers have worked extensively with individual Lead Partners to explain exactly what is required and to work with them to develop appropriate evidence to support outputs. This work will continue until improvements are visible.

7. CITIZEN'S SUMMARY

A citizen's summary of the contents of the annual and the final implementation reports shall be made public and uploaded as a separate file in the form of annex to the annual and the final implementation report

You can upload/find the Citizen's summary under General > Documents in the SFC2014 application

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Strengthening Research, technological development and innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	13,705,653.65
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	01-Feb-2015
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	SME Holding Fund (1)
6. Official address/place of business of the financial instrument (name of the country and city)	5 Atlantic Quay 150 Broomielaw Glasgow Scotland G2 8LU
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a

	contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Separate block of finance
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Scottish Government
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Atlantic Quay 150 Broomielaw Glasgow Scotland G2 8LU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	In-house award
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	13-Jan-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	34,264,134.11
14.1. out of which ESI Funds contributions (in EUR)	13,705,653.65
14.1.1. out of which ERDF (in EUR) (optional)	13,705,653.65
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in	19,701,877.12

EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	7,880,750.85
15.1.1. out of which ERDF (in EUR)	7,880,750.85
15.1.2. out of which Cohesion Fund (in EUR)	0.00
15.1.3. out of which ESF (in EUR)	0.00
15.1.4. out of which EAFRD (in EUR)	0.00
15.1.5. out of which EMFF (in EUR)	0.00
15.2. out of which total amount of national co-financing (in EUR)	11,821,126.27
15.2.1. out of which total amount of national public funding (in EUR)	11,821,126.27
15.2.2. out of which total amount of national private funding (in EUR)	0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	0.00
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	0.00
17.2. out of which performance-based remuneration (in EUR)	0.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	0.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	0.00
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	0.00
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	0.00
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument	

(EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	20,558,480.47
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	20,558,480.47
38.2.1. out of which public contributions (EUR)	20,558,480.47
38.2.2. out of which private contributions (EUR)	0.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	SME Holding Fund Innovation - Scottish Coinvestment Fund
6. Official address/place of business of the financial instrument (name of the country and city)	Scottish Enterprise, Atrium Court, 50 Waterloo Street, Glasgow, G2 6HQ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	SME Holding Fund (1)
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No	

1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Separate block of finance
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Scottish Enterprise
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Scottish Enterprise, Atrium Court, 50 Waterloo Street, Glasgow, G2 6HQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	10,279,240.23
14.1. out of which ESI Funds contributions (in EUR)	4,111,696.09
14.1.1. out of which ERDF (in EUR) (optional)	4,111,696.09
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00

15. Total amount of programme contributions paid to the financial instrument (in EUR)	7,709,430.18
15.1. out of which amount of ESI Funds contributions (in EUR)	3,083,772.07
15.1.1. out of which ERDF (in EUR)	3,083,772.07
15.1.2. out of which Cohesion Fund (in EUR)	0.00
15.1.3. out of which ESF (in EUR)	0.00
15.1.4. out of which EAFRD (in EUR)	0.00
15.1.5. out of which EMFF (in EUR)	0.00
15.2. out of which total amount of national co-financing (in EUR)	4,625,658.11
15.2.1. out of which total amount of national public funding (in EUR)	4,625,658.11
15.2.2. out of which total amount of national private funding (in EUR)	0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	0.00
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	0.00
17.2. out of which performance-based remuneration (in EUR)	0.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Scottish Coinvestment Fund - Innovation
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,279,240.23
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,111,696.09
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	7,709,430.18
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	4,625,658.11

25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	20
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	20
29. Number of financial recipients supported by the financial product	20
29.1. out of which large enterprises	0
29.2. out of which SMEs	20
29.2.1. out of which microenterprises	0
29.3. out of which individuals/natural persons	0
29.4. out of which other type of final recipients supported	0
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	16,769,580.45
38.3.1. out of which public contributions (EUR)	4,625,658.11
38.3.2. out of which private contributions (EUR)	12,143,922.34
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	31,413,637.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	0.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	0.00
36.1. out of which capital repayments (in EUR)	0.00
36.2. out of which gains, other earnings and yields (in EUR)	0.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	0.00
37.1. out of which amounts paid for differentiated treatment of investors operating	0.00

under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	0.00
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,167,544.14
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	6,167,544.14
38.2.1. out of which public contributions (EUR)	6,167,544.14
38.2.2. out of which private contributions (EUR)	0.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	SME Holding Fund Innovation - Scottish Venture Fund

6. Official address/place of business of the financial instrument (name of the country and city)	Scottish Enterprise, Atrium Court, 50 Waterloo Street, Glasgow, G2 6HQ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	SME Holding Fund (1)
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Separate block of finance
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Scottish Enterprise
11.1.2. Official address/place of business (country and town name) of the body	Scottish

implementing the financial instrument	Enterprise, Atrium Court, 50 Waterloo Street, Glasgow, G2 6HQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	23,984,893.88
14.1. out of which ESI Funds contributions (in EUR)	9,593,957.55
14.1.1. out of which ERDF (in EUR) (optional)	9,593,957.55
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,992,446.94
15.1. out of which amount of ESI Funds contributions (in EUR)	4,796,978.78
15.1.1. out of which ERDF (in EUR)	4,796,978.78
15.1.2. out of which Cohesion Fund (in EUR)	0.00
15.1.3. out of which ESF (in EUR)	0.00
15.1.4. out of which EAFRD (in EUR)	0.00
15.1.5. out of which EMFF (in EUR)	0.00
15.2. out of which total amount of national co-financing (in EUR)	7,195,468.16
15.2.1. out of which total amount of national public funding (in EUR)	7,195,468.16
15.2.2. out of which total amount of national private funding (in EUR)	0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	0.00
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	0.00
17.2. out of which performance-based remuneration (in EUR)	0.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	

20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Scottish Venture Fund - Innovation
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	23,984,893.88
24.1. out of which total amount of ESI Funds contributions (in EUR)	9,593,957.55
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	11,992,446.94
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	7,195,468.16
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	65
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	65
29. Number of financial recipients supported by the financial product	65
29.1. out of which large enterprises	0
29.2. out of which SMEs	65
29.2.1. out of which microenterprises	0
29.3. out of which individuals/natural persons	0
29.4. out of which other type of final recipients supported	0
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	31,504,921.93
38.3.1. out of which public contributions (EUR)	8,669,178.46
38.3.2. out of which private contributions (EUR)	22,835,743.47
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	

39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	4,421,178.60
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	0.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	0.00
36.1. out of which capital repayments (in EUR)	0.00
36.2. out of which gains, other earnings and yields (in EUR)	0.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	0.00
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	0.00
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	0.00
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	14,705,072.70
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	14,390,936.33

38.2.1. out of which public contributions (EUR)	14,390,936.33
38.2.2. out of which private contributions (EUR)	0.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products</i>
41.1. Target value of the output indicator	41.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	35,047,173.71
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	01-Feb-2015
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	SME Holding Fund

	(3)
6. Official address/place of business of the financial instrument (name of the country and city)	5 Atlantic Quay 150 Broomielaw Glasgow Scotland G2 8LU
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Separate block of finance
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Scottish Government
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5 Atlantic Quay 150 Broomielaw Glasgow Scotland G2 8LU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	In-house award
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	13-Jan-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	

14. Total amount of programme contributions committed in the funding agreement (in EUR)	87,617,934.85
14.1. out of which ESI Funds contributions (in EUR)	35,047,173.71
14.1.1. out of which ERDF (in EUR) (optional)	35,047,173.71
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	18,591,479.50
15.1. out of which amount of ESI Funds contributions (in EUR)	7,436,592.27
15.1.1. out of which ERDF (in EUR)	7,436,592.27
15.1.2. out of which Cohesion Fund (in EUR)	0.00
15.1.3. out of which ESF (in EUR)	0.00
15.1.4. out of which EAFRD (in EUR)	0.00
15.1.5. out of which EMFF (in EUR)	0.00
15.2. out of which total amount of national co-financing (in EUR)	11,154,887.23
15.2.1. out of which total amount of national public funding (in EUR)	11,154,887.23
15.2.2. out of which total amount of national private funding (in EUR)	0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	0.00
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	0.00
17.2. out of which performance-based remuneration (in EUR)	0.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	0.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	0.00
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	0.00
37.2. out of which amounts paid for the reimbursement of management costs	0.00

incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	52,570,761.14
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	52,570,761.14
38.2.1. out of which public contributions (EUR)	52,570,761.14
38.2.2. out of which private contributions (EUR)	0.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	SME Holding Fund Competitiveness - Business Loans Scotland
6. Official address/place of business of the financial instrument (name of the country and city)	Business Loans Scotland , London Road Centre, London Road, Kilmarnock, KA3 7BU
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	SME Holding Fund (3)
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to	No

SEC/2011/1134 final	
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Separate block of finance
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Business Loans Scotland
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	London Road Centre, London Road, Kilmarnock, KA3 7BU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement	9,462,485.66

(in EUR)	
14.1. out of which ESI Funds contributions (in EUR)	3,784,994.26
14.1.1. out of which ERDF (in EUR) (optional)	3,784,994.26
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,365,621.42
15.1. out of which amount of ESI Funds contributions (in EUR)	946,248.57
15.1.1. out of which ERDF (in EUR)	946,248.57
15.1.2. out of which Cohesion Fund (in EUR)	0.00
15.1.3. out of which ESF (in EUR)	0.00
15.1.4. out of which EAFRD (in EUR)	0.00
15.1.5. out of which EMFF (in EUR)	0.00
15.2. out of which total amount of national co-financing (in EUR)	1,419,372.85
15.2.1. out of which total amount of national public funding (in EUR)	1,419,372.85
15.2.2. out of which total amount of national private funding (in EUR)	0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	0.00
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	363,583.77
17.1. out of which base remuneration (in EUR)	363,583.77
17.2. out of which performance-based remuneration (in EUR)	0.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Business Loans Scotland (BLS)
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	9,462,485.66
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,784,994.26
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,365,621.42
25.1. out of which total amount of ESI Funds contributions (in EUR)	

25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,419,372.85
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	22
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	22
29. Number of financial recipients supported by the financial product	22
29.1. out of which large enterprises	0
29.2. out of which SMEs	22
29.2.1. out of which microenterprises	0
29.3. out of which individuals/natural persons	0
29.4. out of which other type of final recipients supported	0
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	0
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	0.00
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	5,850,371.12
38.3.1. out of which public contributions (EUR)	1,988,332.00
38.3.2. out of which private contributions (EUR)	3,862,039.12
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	24,984,312.94
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	24.14
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in	

Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	0.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	0.00
36.1. out of which capital repayments (in EUR)	0.00
36.2. out of which gains, other earnings and yields (in EUR)	0.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	0.00
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	0.00
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	0.00
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	5,677,491.40
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,677,491.40
38.2.1. out of which public contributions (EUR)	5,677,491.40
38.2.2. out of which private contributions (EUR)	0.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	179.00
41.2. Value achieved by the financial instrument in relation to the target value of	0.00

the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	179.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	24,272,062.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	537.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	SME Holding Fund Competitiveness - DSL Business Finance Ltd
6. Official address/place of business of the financial instrument (name of the country and city)	DSL Business Finance Ltd, Moorepark Court, 5 DAVA Street, Glasgow, G51 2JA
8. Type of the financial instrument	Fund of funds specific fund

8.2. Related Fund of Funds	SME Holding Fund (3)
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	Yes
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Separate block of finance
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	DSL Business Finance Ltd
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Moorepark Court, 5 DAVA Street, Glasgow, G51 2JA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive

12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	16-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	8,135,233.89
14.1. out of which ESI Funds contributions (in EUR)	3,254,093.32
14.1.1. out of which ERDF (in EUR) (optional)	3,254,093.32
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,067,616.94
15.1. out of which amount of ESI Funds contributions (in EUR)	1,627,047.24
15.1.1. out of which ERDF (in EUR)	1,627,047.24
15.1.2. out of which Cohesion Fund (in EUR)	0.00
15.1.3. out of which ESF (in EUR)	0.00
15.1.4. out of which EAFRD (in EUR)	0.00
15.1.5. out of which EMFF (in EUR)	0.00
15.2. out of which total amount of national co-financing (in EUR)	2,440,569.70
15.2.1. out of which total amount of national public funding (in EUR)	2,440,569.70
15.2.2. out of which total amount of national private funding (in EUR)	0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	0.00
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	230,885.58
17.1. out of which base remuneration (in EUR)	230,885.58
17.2. out of which performance-based remuneration (in EUR)	0.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>DSL Business Finance</i>
22.1. Type of financial product offered by the financial instrument	Loan

24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	8,135,233.89
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,254,093.32
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,067,616.94
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,440,569.70
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	196
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	196
29. Number of financial recipients supported by the financial product	196
29.1. out of which large enterprises	0
29.2. out of which SMEs	196
29.2.1. out of which microenterprises	72
29.3. out of which individuals/natural persons	0
29.4. out of which other type of final recipients supported	0
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	0
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	0.00
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	5,156,994.18
38.3.1. out of which public contributions (EUR)	2,440,569.70
38.3.2. out of which private contributions (EUR)	2,716,424.48
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	11,401,986.90
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	55.84
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of	

funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	0.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	0.00
36.1. out of which capital repayments (in EUR)	0.00
36.2. out of which gains, other earnings and yields (in EUR)	0.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	0.00
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	0.00
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	0.00
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,881,140.57
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	4,881,140.57
38.2.1. out of which public contributions (EUR)	4,881,140.57
38.2.2. out of which private contributions (EUR)	0.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment:

	<i>Number of new enterprises supported</i>
41.1. Target value of the output indicator	234.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	56.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	11,076,940.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	740,482.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	720.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	62.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	720.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	62.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	540.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	98.50
41. Output indicator (code number and name) to which the financial instrument	CO03 -

<i>contributes</i>	<i>Productive investment: Number of enterprises receiving financial support other than grants</i>
41.1. Target value of the output indicator	720.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	62.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	SME Holding Fund Competitiveness - Holding Fund Management
6. Official address/place of business of the financial instrument (name of the country and city)	Scottish Government, 150 Atlantic Quay, Broomielaw, Glasgow, G2 8LU
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	SME Holding Fund (3)
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	Yes
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	Management Fees
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the	Separate block of finance

implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Scottish Government
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5 Atlantic Quay 150 Broomielaw Glasgow Scotland G2 8LU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Competitive bidding process to Managing Authority
13. Date of signature of the funding agreement with the body implementing the financial instrument	13-Jan-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,026,559.86
14.1. out of which ESI Funds contributions (in EUR)	410,623.94
14.1.1. out of which ERDF (in EUR) (optional)	410,623.94
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	0.00
15.1. out of which amount of ESI Funds contributions (in EUR)	0.00
15.1.1. out of which ERDF (in EUR)	0.00
15.1.2. out of which Cohesion Fund (in EUR)	0.00
15.1.3. out of which ESF (in EUR)	0.00
15.1.4. out of which EAFRD (in EUR)	0.00
15.1.5. out of which EMFF (in EUR)	0.00
15.2. out of which total amount of national co-financing (in EUR)	0.00

15.2.1. out of which total amount of national public funding (in EUR)	0.00
15.2.2. out of which total amount of national private funding (in EUR)	0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	0.00
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	0.00
17.2. out of which performance-based remuneration (in EUR)	0.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Management Costs
22.1. Type of financial product offered by the financial instrument	Other support combined within the financial instrument
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	0.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
29. Number of financial recipients supported by the financial product	0
29.1. out of which large enterprises	0
29.2. out of which SMEs	0
29.2.1. out of which microenterprises	0
29.3. out of which individuals/natural persons	0
29.4. out of which other type of final recipients supported	0
29.4.1. description of other type of final recipients supported	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	

32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	0.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	0.00
36.1. out of which capital repayments (in EUR)	0.00
36.2. out of which gains, other earnings and yields (in EUR)	0.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	0.00
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	0.00
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	0.00
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	615,935.92
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	615,935.92
38.2.1. out of which public contributions (EUR)	615,935.92
38.2.2. out of which private contributions (EUR)	0.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of

	<i>enterprises receiving financial support other than grants</i>
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	SME Holding Fund Competitiveness - Scottish Co-Investment Fund Competitiveness
6. Official address/place of business of the financial instrument (name of the country and city)	Scottish Enterprise, Atrium Court, 50 Waterloo Street, Glasgow, G2 6HQ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	SME Holding Fund (3)
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of	Separate block of finance

finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Scottish Enterprise
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Scottish Enterprise, Atrium Court, 50 Waterloo Street, Glasgow, G2 6HQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	34,950,580.26
14.1. out of which ESI Funds contributions (in EUR)	13,980,232.11
14.1.1. out of which ERDF (in EUR) (optional)	13,980,232.11
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,647,472.34
15.1. out of which amount of ESI Funds contributions (in EUR)	1,458,988.94
15.1.1. out of which ERDF (in EUR)	1,458,988.94
15.1.2. out of which Cohesion Fund (in EUR)	0.00
15.1.3. out of which ESF (in EUR)	0.00

15.1.4. out of which EAFRD (in EUR)	0.00
15.1.5. out of which EMFF (in EUR)	0.00
15.2. out of which total amount of national co-financing (in EUR)	2,188,483.40
15.2.1. out of which total amount of national public funding (in EUR)	2,188,483.40
15.2.2. out of which total amount of national private funding (in EUR)	0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	0.00
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	0.00
17.2. out of which performance-based remuneration (in EUR)	0.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Scottish Co-Investment Fund Competitiveness
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	34,950,580.26
24.1. out of which total amount of ESI Funds contributions (in EUR)	13,980,232.11
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,647,472.34
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,188,483.40
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	62
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	62

29. Number of financial recipients supported by the financial product	62
29.1. out of which large enterprises	0
29.2. out of which SMEs	62
29.2.1. out of which microenterprises	0
29.3. out of which individuals/natural persons	0
29.4. out of which other type of final recipients supported	0
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	24,091,498.97
38.3.1. out of which public contributions (EUR)	4,494,679.53
38.3.2. out of which private contributions (EUR)	19,596,819.44
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	169,866,335.50
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	0.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	0.00
36.1. out of which capital repayments (in EUR)	0.00
36.2. out of which gains, other earnings and yields (in EUR)	0.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	0.00
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	0.00

37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	0.00
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	20,970,348.16
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	20,970,348.16
38.2.1. out of which public contributions (EUR)	20,970,348.16
38.2.2. out of which private contributions (EUR)	0.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	31.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	31.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private

	<i>investment matching public support to enterprises (non-grants)</i>
41.1. Target value of the output indicator	42,951,400.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	246.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	SME Holding Fund Competitiveness - Scottish Venture Fund Competitiveness
6. Official address/place of business of the financial instrument (name of the country and city)	Scottish Enterprise, Atrium Court, 50 Waterloo Street, Glasgow, G2 6HQ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	SME Holding Fund (3)
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans (\geq EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No

9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Separate block of finance
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Scottish Enterprise
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Scottish Enterprise, Atrium Court, 50 Waterloo Street, Glasgow, G2 6HQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	34,043,075.18
14.1. out of which ESI Funds contributions (in EUR)	13,617,230.07
14.1.1. out of which ERDF (in EUR) (optional)	13,617,230.07
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00

14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	8,510,768.80
15.1. out of which amount of ESI Funds contributions (in EUR)	3,404,307.52
15.1.1. out of which ERDF (in EUR)	3,404,307.52
15.1.2. out of which Cohesion Fund (in EUR)	0.00
15.1.3. out of which ESF (in EUR)	0.00
15.1.4. out of which EAFRD (in EUR)	0.00
15.1.5. out of which EMFF (in EUR)	0.00
15.2. out of which total amount of national co-financing (in EUR)	5,106,461.28
15.2.1. out of which total amount of national public funding (in EUR)	5,106,461.28
15.2.2. out of which total amount of national private funding (in EUR)	0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	0.00
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	0.00
17.2. out of which performance-based remuneration (in EUR)	0.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Scottish Venture Fund Competitiveness
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	34,043,075.18
24.1. out of which total amount of ESI Funds contributions (in EUR)	13,617,230.07
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	8,510,768.80
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	

25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	5,106,461.28
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	58
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	58
29. Number of financial recipients supported by the financial product	58
29.1. out of which large enterprises	0
29.2. out of which SMEs	58
29.2.1. out of which microenterprises	0
29.3. out of which individuals/natural persons	0
29.4. out of which other type of final recipients supported	0
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	53,021,675.41
38.3.1. out of which public contributions (EUR)	11,695,531.06
38.3.2. out of which private contributions (EUR)	41,326,144.35
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	101,221,720.47
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	0.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	0.00
36.1. out of which capital repayments (in EUR)	0.00

36.2. out of which gains, other earnings and yields (in EUR)	0.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	0.00
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	0.00
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	0.00
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	20,425,845.11
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	20,425,845.11
38.2.1. out of which public contributions (EUR)	20,425,845.11
38.2.2. out of which private contributions (EUR)	0.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	574.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants

41.1. Target value of the output indicator	74.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO01 - Productive investment: Number of enterprises receiving support</i>
41.1. Target value of the output indicator	74.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</i>
41.1. Target value of the output indicator	98,336,100.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

9. OPTIONAL FOR THE REPORT TO BE SUBMITTED IN 2016, NOT APPLICABLE TO OTHER LIGHT REPORTS: ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES

Table 14: Actions taken to fulfil applicable general ex-ante conditionalities

General ex-ante conditionality	Criteria not fulfilled	Actions taken	Deadline	Bodies responsible	Action completed by the deadline	Criteria fulfilled	Expected date for full implementation of remaining actions	Commentary
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Table 15: Actions taken to fulfil applicable thematic ex-ante conditionalities

General ex-ante conditionality	Criteria not fulfilled	Actions taken	Deadline	Bodies responsible	Action completed by the deadline	Criteria fulfilled	Expected date for full implementation of remaining actions	Commentary
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10. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS (ARTICLE 101(H) AND 111(3) OF REGULATION (EU) No 1303/2013)

10.1. Major projects

Table 12: Major projects

Project	CCI	Status of MP	Total investments	Total eligible costs	Planned notification submission date	Date of tacit agreement approval by Commission	Planned start of implementation (year, quarter)	Planned completion date	Priority Axis / Investment priorities	Current state of realisation — financial progress (% of expenditure certified to Commission compared to total eligible cost)	Current state of realisation — physical progress Main implementation stage of the project	Main outputs	Date of signature of first works contract	Observations
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Significant problems encountered in implementing major projects and measures taken to overcome them

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Any change planned in the list of major projects in the operational programme

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10.2. Joint action plans

Progress in the implementation of different stages of joint action plans

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Table 13: Joint action plans (JAP)

Title of the JAP	CCI	Stage of implementation of JAP	Total eligible costs	Total public support	OP contribution to JAP	Priority axis	Type of JAP	[Planned] submission to the Commission	[Planned] start of implementation	[Planned] completion	Main outputs and results	Total eligible expenditure certified to the Commission	Observations
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Significant problems encountered and measures taken to overcome them

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PART B
REPORTING SUBMITTED IN YEARS 2017, 2019 AND FINAL IMPLEMENTATION REPORT
(Article 50(4), 111(3) and (4) of regulation (EU) No 1303/2013)

11. ASSESSMENT OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME
(ARTICLES 50(4) AND 111(4) OF REGULATION (EU) NO 1303/2013)

11.1. Information in Part A and achieving objectives of the programme (Article 50(4) of Regulation (EU) No 1303/2013)

Priority axis	1 - Strengthening Research, technological development and innovation
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The two enterprise agencies, Scottish Enterprise (SE) and Highlands & Islands Enterprise (HIE), are working with SMEs to support innovation through research and technical development to strengthen economic development. After an initial delay due to Lead Partners being unwilling to commence activity in the absence of formal offer letters this activity is now well underway. However, this is not yet reflected in performance reports as Lead Partners have been slower in submitting claims. In general Lead Partners have under-estimated the resource required to meet the compliance requirements of the Programme both in terms of evidencing expenditure and outputs. This has led to Lead Partners taking longer to evidence the activity that has been delivered and therefore it has taken longer for claims to be submitted and longer for the Managing Authority to verify expenditure and outputs in submitted claims before these can be paid.

At the end of 2018 financial commitment levels for this priority were lower than anticipated i.e. 51.1% of the overall allocation has been committed to operations. Some of the expected activity under this priority has not commenced i.e. Scottish Funding Council did not submit a competent application to support activity to increase the capacity of innovation centres to engage with SMEs. However, during 2018 the Managing Authority worked extensively with Lead Partners to identify new activity within this priority. In December 2017, the First Minister announced a National Manufacturing Institute for Scotland (NMIS) to support and grow the diverse manufacturing base. An Advanced Manufacturing Challenge Fund was designed in 2018 to ensure that NMIS is accessible to all manufacturing businesses in Scotland. Scottish Enterprise submitted the ERDF application in December 2018 requesting £20.9m ERDF. In addition Highlands and Islands Enterprise have also been active in developing new applications. In particular a capital application was submitted in 2018 requesting £3.7m ERDF to support the significant growth of research strength in the Health and Life Sciences sector across the transition region and encourage greater interaction between micro and small businesses in the sector. The application was initially rejected due to technical issues but the MA has worked with HIE to resolve these issues and a more suitable application was developed and ready for submission in early 2019. These approvals will be reflected in the commitment levels in future reports.

Looking more closely at the achievement of outputs for this priority axis against approved applications there is mixed performance (see Table 3a) but in most cases performance is slower than expected for this stage in the Programme. For some indicators there has not been any progress to date. For example, 'Number of enterprises cooperating with research institutes' has not had any reported performance in More Developed or Transition regions. The bulk of this activity should have been delivered through the Scottish Funding Council intervention to build capacity in Innovation Centres but this was not approved. However, the new Scottish Enterprise and Highlands & Islands Enterprise applications will deliver activity against these output indicators so some performance will be reported in future reports. However, there is progress to note for some output indicators. For example, 'number of enterprises supported to introduce new to the market products' has achieved 44% of the 2018 target for the Transition region.

Although this is still short of the expected performance based on approved operation applications. Also, performance in the More Developed region lags behind significantly as only 19% of the 2018 target has been met. 'Number of enterprises supported to introduce new to the firm products' in the Transition region has achieved only 20% of the 2018 target. Again the target is based on approved applications and therefore performance should be higher at this stage. Performance against this indicator for the More Developed region is lagging behind even further as only 4% of the 2018 target has been achieved. Performance against both of these output indicator should continue to improve as more claims are submitted and the recently approved operation applications should also assist with meeting these targets once activity commences. However, again due to the slow pace of submitted claims this is not evident in the performance data.

Table 5 shows progress towards the Performance Framework targets. As this shows performance against Programme targets one of the 2018 activity targets has actually been exceeded i.e. 'Enterprises receiving support to introduce new to the firm or new to the market products'. For the Transition region achievement against the 2018 target is 118.22%. However performance for this indicator in the more developed region lags behind significantly i.e. only 32.32% of the 2018 target has been achieved. Conversely, the PF expenditure targets show a different picture as achievement towards the 2018 target for the Transition region is only 30.96% but for the more developed region achievement is 65.53%. This needs some further investigation as it would appear that more activity is being achieved for less funds in the Transition region. Performance in the more developed region is more in line with expectations as the financial progress is further ahead but physical progress lags behind as Lead Partners have not been fully reporting this in claims submitted to date for the compliance reasons outlined above.

The two tables appear to present slightly different perspectives on physical performance for the priority. This suggests that Lead Partners in the Transition region have been very ambitious when setting targets for operation applications in comparison with Programme targets. Some work with Lead Partners will be required to ensure that targets are realistic.

In terms of progress towards results for this Priority (see table 1) again there is mixed performance. However, the data used to measure performance against these indicators is gathered from UK/Scottish sources e.g. surveys etc. and not specifically from the approved operations (albeit that operations will contribute). For 2018 there has actually been a fall in the number of innovative active enterprises. However, this is noted in the UK Innovation Survey text: "Between 2012-14 and 2014-16, the innovation active share in Scotland fell by 5.4 percentage points - this compares to a decrease of 4.0 percentage points for the UK as a whole." With regard to BERD expenditure there is no data available however the Managing Authority is currently discussing a change to the BERD target with DG Regio as the original target was incorrectly calculated.

In the course of 2018 the Smart Cities ERDF allocation increased to some £24 million. Most of the initial £10 million allocated has been committed and the additional £14 million anticipates demand for the remaining period of the programme; on that basis, further work is underway to bring forward additional applications for approval during 2019 and beyond. Full commitment remains difficult in H&I due to lack of match funding as well as a more general difficulty in finding suitably innovative projects and technologies in a limited geographical area.

Priority axis	2 - Enhancing access to, and use and quality of ICT
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The Digital Strategic Intervention for H&I was originally approved in 2016 at £20 million with the associated Operations following in the December. This operation has faced some difficulties in identifying suitable locations; however, the main contract was let during 2018 and work is now underway on infill with an expectation of completion by early 2020.

The Scottish Government commitment to addressing connectivity issues throughout the region through a directly financed national programme of fibre rollout meant that the rollout of the high speed fibre network has been re-appraised and is unlikely to proceed as previously intended.

Priority axis	3 - Enhancing the Competitiveness of SMEs
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All areas of Scotland have operations delivering business growth, leadership and internationalisation support. This is being delivered through the Enterprise Agencies, Scottish Enterprise (SE) and Highlands & Islands Enterprise (HIE), and Local Authorities delivering additional Business Gateway activity. Although some Lead Partners commenced the business competitiveness delivery in 2015 this is not yet reflected in the performance reports.

Lead Partners were initially cautious with regard to claiming support to enterprises and were not including all support delivered within submitted claims as they were not confident about the evidence they were required to demonstrate resulting in performance and spend being much lower than expected by this stage in the Programme. The Managing Authority has worked with Lead Partners to ensure that they are aware of the evidence requirements. This is now starting to have an impact as claims are starting to include more activity. However performance does still lag behind and this will take a while to improve due to the initial delays.

At the end of 2018 financial commitment levels for this priority are as expected for this stage in the Programme i.e. 83.19% of the overall allocation has been committed to operations. The SME Holding Fund has demonstrated strong demand for funds in the first phase of the Programme and many of the targets had been achieved with 9 months remaining. Therefore, a request for an additional £30m was submitted and approved in June 2018. The additional £30m grant will provide substantial new and additional capital to Scotland's SMEs with growth/and or export potential addressing market failures in the supply of micro, debt and equity finance to approximately 300 SMEs. Demand for the funds has been lower in the transition region so the additional funding is for the more developed region only.

In 2018 further requests for funding were also received from many of the Local Authorities to deliver additional Business Gateway activities. However, with the reduction in public sector budgets many Local Authorities do not have sufficient match funding available and many of the requests have been lower than anticipated. However, it is expected that full commitment of this priority should be achievable.

Looking more closely at the achievement of outputs for this priority there is mixed performance (see table 3a). For the Transition region the indicator 'number of enterprises receiving grants' has achieved 81% of the target based on approved applications. However, progress has been much lower for the More

Developed region with only 27% of the target achieved. The SME Holding Fund has also been delivering investment support to SMEs with growth and internationalisation potential. The various funds supported through the Holding Fund have also been very active and this is demonstrated in the performance reports i.e. the output indicator ‘number of enterprises receiving financial support other than grants’ is showing good progress to date for the Transition region i.e. 80%. However, this is based on approved applications and the targets set were fairly low demonstrating the lower levels of demand for investment finance in this region. For the More Developed area this indicator has only been 29% achieved. However there has been a delay in claims being submitted and this is why performance appears lower. Progress reports for the SME Holding Fund demonstrate a higher achievement of targets than is reflected in the performance reports. For the more developed region the output indicator ‘number of enterprises supported’ is showing good progress against approved operation targets i.e. 65% achieved. However, for the Transition region performance against this output indicator is lagging behind i.e. only 10% achieved.

Table 5 shows progress towards the Performance Framework targets. Expenditure targets for the Transition region have been exceeded i.e. 103.15% achieved against the 2018 target. Activity targets for this region have also exceeded the 2018 target. For the More Developed region expenditure is showing good progress with 72% achieved against the 2018 target. However the activity target has been exceeded i.e. 188.89% of ‘enterprises receiving support’ against the 2018 target.

The two tables appear to present slightly different perspectives on physical performance for the priority. Some work with Lead Partners may be required to ensure that they are setting realistic targets for operation applications in comparison with Programme targets.

In terms of progress towards results for this Priority (see table 1) again there is mixed performance. However, the data used to measure performance against these indicators is gathered from UK/Scottish sources e.g. surveys and databases etc. and not specifically from the approved operations (albeit that operations will contribute). The latest data available shows that the number of firms exporting and the rate of exporting have both fallen. Uncertainty caused by Brexit is a major contributing factor to this fall. There has been a slight increase in the numbers employed in smart specialisation areas i.e. an increase of 700 in 2018.

Priority axis	4 - Supporting a shift towards a low carbon economy in all sectors
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Progress is more limited than expected. While activity towards Programme Objectives under Priority Axis 4 is well underway with momentum building, the relatively long ‘supply chain’ of Grant Schemes and Challenge Funds means that reporting of progress and expenditure lags behind actual achievements with approvals through Grant Schemes and Challenge Funds potentially committing expenditure across a number of calendar years. Nevertheless, activity has continued across a number of Interventions; progress is good with allocated funds committed through Phase 1 approvals broadly consistent with initial projections.

Low Carbon Infrastructure Transition Fund; The eligible costs under this SI predominantly include procured project support and development costs and grants towards capital infrastructure. Significant progress in commitment under the grant scheme element has been made. However, slippage in project delivery has contributed to slower spend rates than anticipated, this is not unexpected in large capital

projects. Support in the H&I area is being provided to a number of organisations, this is at the development support stage and projects are expected to progress to the capital grant stages, with more significant grant awards, under the Phase 2 timescales; currently to the end of 2021.

Circular economy operations; The proportionate support across the two Programme areas has shifted towards higher spend rates in the LUPS area, this is predominantly a result of high value grant awards in this area through the Circular Economy Investment Fund. We do have some grants in the pipeline for H&I but this is not going to redress the imbalance for 2018 (or 2019). We are therefore looking to re-profile activity to focus spend in H&I. The ERDF investment has enabled a move beyond advice and analysis to making changes happen at scale and this is helping cement Scotland's international reputation as a leading nation on the circular economy and tackling climate change.

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
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The Green Infrastructure Strategic Intervention has made steady progress with the majority of funds fully committed. However, reporting progress and expenditure has been slower than ideal due to the lead-in times of this type of capital expenditure, not least in the H&I where additional engagement work was required to help bring project proposals forward.

Given the range of supported actions under way, and nearing completion, there are no anticipated problems in terms of meeting the targets for this Intervention, although H&I continues to prove challenging. The Green Infrastructure Strategic Intervention also includes the Community Engagement Challenge Fund which has helped ensure that community engagement and promotion of the ethos around the Green Infrastructure investments is shared beyond those directly involved.

Similarly, the resource efficiency operations have been running throughout 2018, and ERDF funding substantially expanding existing support to SMEs to reduce resource consumption and related costs through targeted investment and expert support. 2018 has seen good progress with several hundred SMEs supported through the Resource Efficient Scotland Operations. In addition, extending the reach beyond SMEs, support through the Climate Challenge Fund targets the third sector and supports measures to implement and promote energy efficiency at a community level. The value allocated to the Grant Scheme is in the region of £10 million.

In common with Interventions under Priority Axis 4, the targets associated with Carbon Dioxide savings and reductions are subject to a lag from approval and reporting of financial investment and subsequent reporting against the Carbon-related targets.

Priority axis	6 - Technical Assistance
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The TA priority axis funds the functions of the MA and the AA. Within the MA, this supports three strands of activity:

· Three thematic teams (Smart, Sustainable and Inclusive) have day-to-day responsibility for management of approved operations. The teams work closely with the lead partners responsible for delivering operations under their area of the programme. This includes assessing and making proposals on applications for funding to the MA Approval Panel, comprised of team leaders across the MA; checking, verifying and paying claims for funding; and carrying out monitoring visits in line the Monitoring and Control System.

· The Programme Performance Team is responsible for carrying out quality checks on approved operations and claims; managing the EUMIS IT System; and maintaining and reviewing the Monitoring and Control System.

· The Governance and Stakeholder Engagement Team supports the management of the programme, including as secretariat for the Programme Monitoring Committee and co-ordinating preparation and submission of the Implementation Reports; and delivery of the Communications strategy (see part 12.1) and Monitoring and Evaluation Strategy (see part 12.2).

The TA priority has three output indicators: FTE staff employed, which is currently lower than originally anticipated; the annual information activities detailed in part 12.1 and carried out in each year from 2015 to 2018; and the delivery of the evaluations, which has currently been through the two YEI evaluations required under the ESF regulation.

11.2. Specific actions taken to promote equality between men and women and to prevent discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations (Articles 50(4) and 111(4), second subparagraph, (e) of Regulation (EU) No 1303/2013)

The Operational Programme (OP) sets out the context in which the ESIF programmes in Scotland were designed and under which they operate, including the UK-wide Equality Act (2010) and Scotland's Equality Outcomes for the nine protected characteristics. There has been strong Ministerial interest in, and a commitment to taking forward activity in a number of equality areas relevant to the ESIF.

Scotland's approach to mainstreaming equality was further developed on the OP, as it built on the 2007-13 programmes and the ex-ante evaluation in its arrangements for the operations' integration of the gender perspective, prevention of discrimination and accessibility for people with disabilities. The promotion of equality between men and women forms an integral part of all operations, as gender mainstreaming is incorporated not only throughout the lifetime of the project but also the planning, preparation, implementation, monitoring and evaluation stages. Specific actions for these are enacted through the application process for SIs and Operations, and verification by the MA. The SI Application Guidance emphasises the importance of demonstrating that the horizontal themes have been considered and integrated into all strategic intervention and operation structures. The guidance explains that the aim of the Equalities horizontal theme is to increase the opportunities within the Programme for all groups, and to prioritise and adapt support for groups facing particular disadvantages to participation. This includes outlining any potential barriers to access to participation, and how the strategic intervention intends to overcome these barriers. Applicants are expected not only to consider what Equal Opportunities policies,

procedures and resources will be in place, but also to explain how these will make a positive difference.

Lead Partner Application forms include descriptions of the SI's focus on horizontal themes (including equalities), how they are to be embedded in the SI and each operation, identification of the person responsible for ensuring that horizontal themes are addressed in the SI and operations, and proposals for monitoring and evaluation of horizontal themes.

Operation application forms require details of how equalities issues and barriers will be identified, monitored and addressed. The guidance includes further prompts, such as to consider how possible discrimination (including unconscious discrimination) will be addressed. In addition, how will the activity planned, and the way it is to be delivered, contribute to eliminating discrimination. Both operation and SI applications must state how they will comply with regulatory requirements including the requirement for an Equality Impact Assessment (EQIA).

The procedures for assessing applications and verifying compliance with EU policies including equalities, and horizontal themes, are set out in the Management and Control System (MCS). These occur in three stages: verification during project selection; administrative verification during project implementation; and on-the-spot verification before, during and after project implementation.

11.3. Sustainable development (Articles 50(4) and 111(4), second subparagraph, (f) of Regulation (EU) No 1303/2013)

The Operational Programme describes the context of sustainable development in the ESIF programmes, in terms of the requirements of Article 8 of the Common Provision Regulations and Part 4 of The Climate Change (Scotland) Act 2009. These apply to all public sector organisations participating in the 2014-20 Programmes and were key to the development of the OP, as were the findings of the ex-ante evaluation. This contributed strongly to the design process for mainstreaming environmental sustainability in the OP, leading to the adoption a two-fold approach to sustainable development.

Because sustainable development is one of the three horizontal themes, the promotion of sustainable development will be a priority for all projects. Our specific actions for ensuring this are embodied in the application and selection process. The SI Application Guidance emphasises the importance of demonstrating that the horizontal themes have been considered and integrated into all SI and operation structures. Lead Partner application forms therefore include descriptions of the SI's focus on horizontal themes, how they are to be embedded in the SI and each operation, identification of the person responsible for ensuring that horizontal themes are addressed in the SI and operations, and proposals for monitoring and evaluation of horizontal themes.

The guidance for operation and SI applications explains that the aim of this horizontal theme is to ensure that ESF programmes promote the sustainable use and conservation of Scottish environmental assets, by enhancing the role of environmental sustainability in economic and social development policy-making. It

prompts applications to address the following:

- resource efficiency;
- environmental impact;
- local sourcing.

The guidance also observes that applicants should not only consider what Environmental Sustainability policies, procedures and resources will be in place, but also explain how these will make a positive difference; and that, where applicable, delivery partners will be required to demonstrate how their proposed projects will fully comply with the Public Bodies Duty, outlined in Part 4 of the Climate Change (Scotland) Act 2009. Operation application forms require details of how environmental sustainability issues and barriers will be identified, monitored and addressed. Both operation and SI applications must state how they will comply with regulatory requirements including the requirement for an Environmental Impact Assessment (EIA).

The procedures for assessing applications and verifying compliance with EU policies and horizontal themes are set out in the MCS. These occur in three stages: verification during project selection; administrative verification during project implementation; and on-the-spot verification before, during and after project implementation.

The JPMC membership includes organisations with an interest in this area, to ensure sustainable development interests are taken into consideration at a higher level.

11.4. Reporting on support used for climate change objectives (Article 50(4) of Regulation (EU) No 1303/2013)

Priority axis	Amount of support to be used for climate change objectives (EUR)	Proportion of total allocation to the operational programme (%)
3	424,661.60	0.28%
4	69,429,690.48	55.96%
5	27,850,302.99	52.46%
Total	97,704,655.08	20.54%

11.5. Role of partners in the implementation of the programme

Implementation and monitoring of the Scottish ESF and ERDF programmes, along with the Scottish EAFRD programme and Scottish elements of the EMFF programme, are overseen by a single, Joint Programme Monitoring Committee. The committee includes representatives from local authorities, enterprise and skills agencies, and voluntary and community sector engaged with the ESF and ERDF as well as representatives of the Rural and Marine programmes, the respective Managing Authorities and the

EC. The committee meets on a bi-annual basis and considers the delivery of each programme, through updates from the MA, including the annual implementation reports and progress against the performance framework targets, and any proposed changes to the programmes. The committee also considers the communications and monitoring and evaluation strategies for each programme and the delivery of each and contributes towards any changes required.

During 2018, the committee met on 30 May and 14 November and papers from each meeting have been published on the Scottish Government website and uploaded to SFC. As part of the meeting on 30 May, the committee discussed a proposal to separate the functions to two specialist committees for ESF/ERDF and EAFRD, while maintaining a co-ordinating function between the programmes; this is still under consideration.

In addition, there is an ERDF Lead Partner group who meet each quarter. The group was originally Business Competitiveness and Innovation Lead Partners but was augmented during 2018 to include all ERDF Lead Partners (previously there were separate meetings). The main purpose of the group is to discuss progress in meeting physical and financial Programme targets and common issues affecting the delivery of operations. The group met four times during 2018.

12. OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO ARTICLE 111(4), FIRST SUBPARAGRAPH, (A) AND (B), OF REGULATION (EU) No 1303/2013

12.1. Progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations

The current Monitoring and Evaluation strategy was approved in 2015 and is published online (<https://www.gov.scot/publications/esif-monitoring-evaluation-strategy-2014-2020/>)

Evaluation to Date

To date, three evaluations have been carried out on the programme:

- Ex-ante Evaluation
- Both Youth Employment Initiative Evaluations
- Early mid-term review

Ex-ante Evaluation

The formal ex-ante evaluation was completed in November 2014 (<https://www.gov.scot/publications/esif-formal-evaluations/>) ahead of the approval of the programmes. The work included the Equalities Impact Assessment, Strategic Environmental Assessment and Ex-ante Assessment of Financial Instruments. This has informed the development and implementation of the OPs and the selection of operations within each Programme.

Youth Employment Initiative

Youth Employment Initiative

The first evaluation was completed in 2015 and the second in December 2017 (<https://www.gov.scot/publications/yei-evaluation-report-2014-2020/>). The most recent evaluation did not seek to provide recommendations, but identified a number of lessons learned or wider points for consideration, outlined in part 6.2

The report was considered by the Youth Employment Initiative Territorial Committee when it met on 9 March 2019. The committee agreed with the report and noted five points in particular:

- The need for clear guidance on compliance from the outset, noted in the evaluation at points 5, 6 and 8.
- Difficulty in gathering evidence of long-term results.
- The need to upskill small employers with regards to the compliance regime.
- Issues with online banking and the information that is presented/accepted.
- Different compliance regimes with other programmes (e.g. Scottish Employer Recruitment

Incentive), noted in the evaluation at points 1 and 2.

Based on the evaluation, and ongoing stakeholder engagement work, the MA:

- Will note the need to be aware of the challenges of timing and aligning European and national policy initiatives in designing future programmes.
- Have taken into account the need to minimise the time from application submission to formal offer of grant to recipients in the 2018 MCS review.
- Have reviewed and revised processes for reviewing, revising and publishing guidance to lead partners, and revisions and support for the EUMIS IT system.
- Have introduced regular 'lead partner events' to increase dialogue with lead partners.
- Are engaging with lead partners to ensure guidance and rules on evidence requirements are clear and appropriate.

Alongside this, we will engage with lead partners to confirm the final outcomes of the programme and identify good examples of the support provided and publicise these in line with Communications Strategy.

Early Mid-term Evaluation

In November 2016, the Joint Programme Monitoring Committee agreed to bring forward the mid-term review and the recommendations were agreed by the JPMC at the June 2017 meeting (<https://www.gov.scot/publications/jpmc-minutes-june-2017/>).

The recommendations agreed were to

- Request changes to both the ERDF and ESF programmes
- Allocate the additional H&I allocations for ERDF to Priority 5 (Protecting the Environment) and ESF to Priority 3 (Investing in Education, Training and Vocational training)
- Request an increase in the Programme co-finance rates
- Change to National Rules to allow apportioned staff costs following confirmation with Audit and EC
- Consider eligible opportunities for digital skills, employability, mental health and financial engineering for social enterprises and, where appropriate, seek new interventions thereafter.

Based on this, revised Operational Programmes were submitted and agreed by both DG Emploi and DG Regio in late 2017.

Forthcoming Evaluations

The Monitoring and Evaluation Strategy was not reviewed as part of the mid-term review and it has been identified during 2018 that the strategy was due to be refreshed. This was not completed during 2018 but a review of the strategy is a priority for the MA and an update will be provided to the next JPMC, with a

revised strategy and initial work to implement this anticipated by the end of the year.

Status	Name	Fund	Year of finalizing evaluation	Type of evaluation	Thematic objective	Topic	Findings (in case of executed)	Follow up (in case of executed)
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12.2. The results of the information and publicity measures of the Funds carried out under the communication strategy

Scottish Communication Strategy

The Scottish Managing Authority (MA) has a Communication Strategy that sets out how information about ESIF in Scotland for 2014-2020 will be communicated. It describes the communications obligations of lead partners and key stakeholders, the key messages about ERDF and ESF activity and the communication channels used. It sets out how the Scottish Government (SG) will provide information that is timely, clear, reliable and in a form compatible with the following EC Regulations:

- 1303/2013, articles 115-117 and Annex XII
- 1304/2013, article 20 Youth Employment Initiative
- Commission Implementing Regulation 821/2014, chapter II and Annex II

Publicity and Information

Print, online and broadcast media are the most frequently used channels to promote ESIF activity to a wide audience. The Communications Officer for the MA collaborates with communications teams in the SG and lead partners to raise awareness of the achievements of ESIF via media releases. The Officer provides written Ministerial quotes to assist the publicity of announcements and makes sure that the contribution of ESIF is included in the release. For major announcements national broadcasters and newspapers, like BBC Scotland and the Herald, are targeted. For announcements whose impact is experienced at a local level, local and regional newspapers, like the Press and Journal, and local broadcasters are targeted. The Officer monitors media coverage and shares it with the MA in order to inform our approach to communicating the successes of ESIF via the media. Online communications tools used by the MA include blogging, Twitter and the SG website.

ESIF Division Website and Social Media

The MA's website has been monitored regularly to ensure its published information is accurate and up to date, including information for stakeholders, like guidance forms, and information for the public, like case studies booklets. A newsletter, known as an 'e-bulletin', is delivered on an approximately monthly basis to more than two hundred stakeholders, specifically lead partners who manage ESIF projects and have access to the MA's claims and document retention IT system. Topics include news that is relevant to lead partners, like calls for applications, news coverage and updates from the EC. Since late 2018, measures have been taken to make the content more engaging and improve the layout of the newsletters, including using dynamic software like MailChimp. A different quarterly newsletter for heads of lead partners had been issued between 2017 and 2018, however due to the resources required to produce the newsletter and the low engagement rate, this was discontinued in August 2018. The Communications Officer updates a Wordpress-based blog, which forms part of the wider SG blogs network. Topics include reviews of recent stakeholder events, setting out the MA's priorities and guest blogs, and in future it will be used as the MA's portal for hosting case studies. The Communications Officer has been working closely with the SG web team to ensure web analysis is carried out on a monthly basis, identifying any increased traffic to

particular webpages after announcements, events, news releases, social media posts and blog posts, and using this data to inform our future approach. The MA's use of social media continues to increase, specifically Twitter. Posts are made on an almost daily basis to promote the success of ESIF by lead partners and to highlight the contribution of ESIF when external announcements are made. Hootsuite software is used to create a plan for our social media, and analytics on the MA's activity is included in the regular media monitoring. Twitter is increasingly used as a channel in and of itself, such as announcing the list of approved projects via Twitter. All efforts continue to be made to coordinate digital promotional activity, directing visitors towards the MA's website, blog and Twitter account.

Annual Publicity Event

The SG's ESIF division is required by EC regulations to carry out an annual communications activity for each year of the ERDF and ESF programming period:

- In March 2015, an online booklet was launched by the SG Minister responsible for ESIF at the time, Keith Brown. The booklet promoted and raised awareness of the achievements of the funds over the previous 12-month period via the use of case studies.
- In May 2016, the MA promoted ESIF at Glasgow's Europe Day event. The MA hosted a stall at the well-attended event and distributed promotional material highlighting the achievements of the ESIF.
- In November 2017, the MA held an event in Edinburgh at which lead partners promoted their successes over the past year. Keith Brown met participants in projects funded by ESF and praised their achievements, and leading stakeholders held a panel discussion on how to make the most of the current programmes.
- In November 2018, the MA hosted events in Inverness to publicise successful projects funded by ESIF in the past year and the historical relationship that ESIF and the Highlands and Islands shared. Speakers included Ivan McKee, SG Minister responsible for ESIF, representatives from the European Commission (EC) and ESIF stakeholders. A further public engagement event was held at the nearby University of the Highlands and Islands campus to promote the importance of ESIF to an audience of academics and students.

Once annual publicity events are completed, evaluation surveys have been used extensively to analyse activities to continuously improve how we publicise the achievements of ESIF in Scotland.

Publicity Requirements

The MA has published the ESIF 2014-2020 Programme Publicity Guidance in line with requirements set out in EC Regulation 1303/2013. The publicity requirements, available on the MA's website, set out the minimum requirements which lead partners must adhere to when developing and delivering ESIF operations. These requirements are set by both the EC and the SG. It is a condition of grant that any assistance received by a project from the European Union through ESIF is clearly and appropriately acknowledged in all publicity materials. The guidance covers how and when to acknowledge the European Union's Structural Funds contribution, information about the correct use of logos and the technical

specification relating to their use. The publicity requirements underpin a partnership approach to communications activity between the MA and lead partners which is designed to maximise publicity opportunities, and confirming compliance with them is included in the Article 125 checks carried out by the MA

Announcement of List of Operations

A full list of approved operations is available from the MA's at: <https://www.gov.scot/publications/esif-operations-funding/>. This list is updated approximately every six weeks, following Ministerial endorsement of the MA's approval of operations.

13. ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES (ARTICLE 50(4) OF REGULATION (EU) No 1303/2013) (MAY BE INCLUDED IN REPORT TO BE SUBMITTED IN 2016 (SEE POINT 9 ABOVE). REQUIRED IN REPORT SUBMITTED IN 2017) OPTION: PROGRESS REPORT

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME (ARTICLE 111(4), SECOND SUBPARAGRAPH, (A), (B), (C), (D), (G) AND (H), OF REGULATION (EU) NO 1303/2013)

14.1. Progress in the implementation of the integrated approach to territorial development, including development of regions facing demographic challenges and permanent or natural handicaps, integrated territorial investments, sustainable urban development, and community led local development under the operational programme

14.2. Progress in the implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds

14.3. Progress in the implementation of any interregional and transnational actions

14.4. Where appropriate, the contribution to macro-regional and sea basin strategies

As stipulated by the Regulation (EU) No 1303/2013, article 27(3) on the "content of programmes", article 96(3)(e) on the "content, adoption and amendment of operational programmes under the Investment for growth and jobs goal", article 111(3), article 111(4)(d) on "implementation reports for the Investment for growth and jobs goal", and Annex 1, section 7.3 on "contribution of mainstream programmes to macro-regional and sea-basin strategies, this programme contributes to MRS(s) and/or SBS:

- EU Strategy for the Baltic Sea Region (EUSBSR)
- EU Strategy for the Danube Region (EUSDR)
- EU Strategy for the Adriatic and Ionian Region (EUSAIR)
- EU Strategy for the Alpine Region (EUSALP)
- Atlantic Sea Basin Strategy (ATLSBS)

14.5. Progress in the implementation of actions in the field of social innovation, where appropriate

14.6. Progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of poverty discrimination or social exclusion, with special regard to marginalised communities and persons with disabilities, long term unemployment and young people not in employment including, where appropriate, the financial resources used

**PART C REPORTING SUBMITTED IN YEAR 2019 AND FINAL IMPLEMENTATION
REPORT (Article 50(5) of Regulation (EU) No 1303/2013)**

**15. FINANCIAL INFORMATION AT PRIORITY AXIS AND PROGRAMME LEVEL
(ARTICLES 21(2) AND 22(7) OF REGULATION (EU) NO 1303/2013)**

16. SMART, SUSTAINABLE AND INCLUSIVE GROWTH (OPTION PROGRESS REPORT)

Information on and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.

Scotland shares the vision set out in the Europe 2020 strategy of smart, sustainable and inclusive growth. We strongly support reducing youth employment; increasing innovation and R&D; meeting our climate change ambitions; improving access to and quality of education and reducing poverty and promoting social inclusion. The National Reform Programme (NRP) report we published earlier this year demonstrates that Scotland is both making progress in supporting Europe 2020 targets and contributing to European growth as part of the wider European economy.

The NRP shows that -

- Scotland's labour market continues to perform strongly with 77.3% of people in Scotland aged 20-64 employed, which represents an increase of 1% over the previous year and is above the Europe 2020 target of 75%.
- Scotland's Gross Expenditure on R&D as a share of GDP was 1.63%, which although below the EU target, has increased since the previous year and is also well above the 2006 baseline level.
- The share of early school leavers in Scotland is 10.3%, which is 2.8% down from the previous year and is only slightly above the EU target of 10%. The percentage of the younger generation (aged 30-34) in Scotland to have a tertiary degree is 57.%, which is considerably above the EU target of 40%.
- The percentage of people in relative poverty in Scotland is 17% and the Scottish Government continues to take strong action to reduce this further.

Scotland continues to take world leading action to combat climate change and is on track to meet our targets - we have already seen emissions reduce by 49% since the 1990 baseline and 70.1% of gross electricity consumption came from renewable sources

17. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN — PERFORMANCE FRAMEWORK (ARTICLE 50(2) OF REGULATION (EU) No 1303/2013)

Where the assessment of progress made with regard to the milestones and targets set out in the performance framework demonstrates that certain milestones and targets have not been achieved, Member States should outline the underlying reasons for failure to achieve these milestones in the report of 2019 (for milestones) and in the final implementation report (for targets).

There is mixed performance across the ERDF Programme. For some priorities there has been significant progress towards the performance framework milestones e.g. Priority 5 : Preserving and protecting the environment and promoting resource efficiency. In addition good progress has also been noted for ERDF Priority 3 : Enhancing the competitiveness of SMEs for the Transition region. However, for other priorities performance has been generally lower than expected and this is because of the slowness of claims as noted above. The Managing Authority will continue to work with Lead Partners to ensure that claims can be submitted quicker and that outputs are recorded on the EUMIS system. The Managing Authority has encouraged Lead Partners to report outputs on EUMIS as these can be reported outwith the normal claims submission process (provided that sufficient checks have been undertaken to ensure the eligibility of the outputs and the existence of suitable evidence). Lead Partner events have also focussed on the submission of claims to explore what barriers exist which are preventing Lead Partners from submitting regular claims. Specific workshops have also been held to clarify what is required with regard to reporting and evidencing of outputs and this is also regularly discussed at the Lead Partner meetings. In addition Portfolio Managers have worked extensively with individual Lead Partners to explain exactly what is required and to work with them to develop appropriate evidence to support outputs. This work will continue until improvements are visible.

DOCUMENTS

Document title	Document type	Document date	Local reference	Commission reference	Files	Sent date	Sent By
Citizens Summary ERDF 2018	Citizens' summary	28-Jun-2019		Ares(2019)4122191	Citizens Summary ERDF 2018	28-Jun-2019	nrokenet

LATEST VALIDATION RESULTS

Severity	Code	Message
Info		Implementation report version has been validated
Warning	2.28	Financial Instruments SME Holding Fund Competitiveness - Business Loans Scotland , [Business Loans Scotland (BLS)] : 25 should be equal to 25.1 + 25.2 + 25.3
Warning	2.28	Financial Instruments SME Holding Fund Competitiveness - DSL Business Finance Ltd, [DSL Business Finance] : 25 should be equal to 25.1 + 25.2 + 25.3
Warning	2.28	Financial Instruments SME Holding Fund Competitiveness - Scottish Co-Investment Fund Competitiveness, [Scottish Co-Investment Fund Competitiveness] : 25 should be equal to 25.1 + 25.2 + 25.3
Warning	2.28	Financial Instruments SME Holding Fund Competitiveness - Scottish Venture Fund Competitiveness, [Scottish Venture Fund Competitiveness] : 25 should be equal to 25.1 + 25.2 + 25.3
Warning	2.28	Financial Instruments SME Holding Fund Innovation - Scottish Coinvestment Fund, [Scottish Coinvestment Fund - Innovation] : 25 should be equal to 25.1 + 25.2 + 25.3
Warning	2.28	Financial Instruments SME Holding Fund Innovation - Scottish Venture Fund, [Scottish Venture Fund - Innovation] : 25 should be equal to 25.1 + 25.2 + 25.3
Warning	2.48	Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 4, investment priority: 4e, specific objective: SO4e, indicator: PR41, region category: , year: 2017 (30.60 < 30.70). Please check.
Warning	2.48	Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 4, investment priority: 4e, specific objective: SO4e, indicator: PR41, region category: , year: 2018 (30.10 < 30.70). Please check.
Warning	2.48	Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 4, investment priority: 4f, specific objective: SO4f, indicator: SO44, region category: , year: 2017 (49,000.00 < 78,000.00). Please check.
Warning	2.48	Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 4, investment priority: 4f, specific objective: SO4f, indicator: SO44, region category: , year: 2018 (21,500.00 < 78,000.00). Please check.
Warning	2.48	Annual value entered in table 1 is below the baseline value and moving away from the target for priority axis: 5, investment priority: 6d, specific objective: SO5d, indicator: PR51, region category: , year: 2018 (73.00 < 74.00). Please check.
Warning	2.52	In table 3A, the annual total value entered is 102.39% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO04, region category: M, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 103.65% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO04, region category: M, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 104.38% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO01, region category: T, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 107.14% of the total target value for "S", priority axis: 5, investment priority: 6d, indicator: PO51, region category: M, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 109.35% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO01, region category: T, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 112.04% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO01, region category: T, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 113.33% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO01, region category: M, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 113.45% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO08, region category: M, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 126.67% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO12, region category: T, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 129.24% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO01, region category: M, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 130.66% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO01, region category: M, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 141.44% of the total target value for "S", priority axis: 5, investment priority: 6g, indicator: CO34, region category: T, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 144.00% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: CO28, region category: T, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 144.00% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: CO28, region category: T, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 147.50% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: CO29, region category: M, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 164.44% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO12, region category: M, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 167.28% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO04, region category: T, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 178.09% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO04, region category: T, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 179.36% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO04, region category: T, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 182.00% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO05, region category: M, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 187.41% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO12, region category: M, year: 2017. Please check.

Severity	Code	Message
Warning	2.52	In table 3A, the annual total value entered is 187.41% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO12, region category: M, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 192.00% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: CO28, region category: M, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 198.61% of the total target value for "S", priority axis: 5, investment priority: 6g, indicator: CO34, region category: M, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 205.75% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO08, region category: T, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 208.00% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO02, region category: T, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 208.75% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO05, region category: M, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 209.63% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO05, region category: M, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 211.76% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO11, region category: M, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 229.09% of the total target value for "S", priority axis: 5, investment priority: 6d, indicator: PO52, region category: M, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 232.80% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO02, region category: T, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 233.33% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO11, region category: T, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 253.33% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO12, region category: T, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 276.47% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO11, region category: M, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 276.47% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO11, region category: M, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 288.81% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO03, region category: M, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 300.00% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO11, region category: T, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 321.75% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO08, region category: T, year: 2018. Please check.
Warning	2.52	In table 3A, the annual total value entered is 345.60% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO02, region category: T, year: 2016. Please check.
Warning	2.52	In table 3A, the annual total value entered is 348.50% of the total target value for "S", priority axis: 3, investment priority: 3d, indicator: CO08, region category: T, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 353.33% of the total target value for "S", priority axis: 1, investment priority: 1b, indicator: PO12, region category: T, year: 2017. Please check.
Warning	2.52	In table 3A, the annual total value entered is 828.57% of the total target value for "S", priority axis: 6, investment priority: -, indicator: 62, region category: , year: 2018. Please check.
Warning	2.54	In table 3A, the annual total value entered for "F" (implemented) is % of the annual total value entered for "S" (forecast from selected) for priority axis: 6, investment priority: -, indicator: 63, region category: , year: 2018. Please check.
Warning	2.54	In table 3A, the annual total value entered for "F" (implemented) is 1,450.00% of the annual total value entered for "S" (forecast from selected) for priority axis: 6, investment priority: -, indicator: 61, region category: , year: 2018. Please check.
Warning	2.54	In table 3A, the annual total value entered for "F" (implemented) is 113.22% of the annual total value entered for "S" (forecast from selected) for priority axis: 5, investment priority: 6g, indicator: PO53, region category: T, year: 2018. Please check.
Warning	2.54	In table 3A, the annual total value entered for "F" (implemented) is 250.00% of the annual total value entered for "S" (forecast from selected) for priority axis: 3, investment priority: 3d, indicator: CO03, region category: T, year: 2017. Please check.
Warning	2.54	In table 3A, the annual total value entered for "F" (implemented) is 300.00% of the annual total value entered for "S" (forecast from selected) for priority axis: 3, investment priority: 3d, indicator: CO03, region category: T, year: 2018. Please check.
Warning	2.131	The sum of elements 15 in FoF and SF should be >= sum of "Total amount of programme contributions paid to financial instruments" in Appendix 1 of the last submitted Payment Application in the Reporting Year, for the Priority Axis as specified in element 1.1, and for the relevant funds specified in element 2. Priority Axis: 3, Sum Elements 15: 18,591,479.50, Total amount paid to financial instruments in last PA: 22,512,791.05
Warning	2.175	Unusual value for this type of instrument (>10 for loans, >20 for guarantees or equity). Please verify 39.1 for Financial Instrument SME Holding Fund Competitiveness - Business Loans Scotland , Product Business Loans Scotland (BLS)
Warning	2.175	Unusual value for this type of instrument (>10 for loans, >20 for guarantees or equity). Please verify 39.1 for Financial Instrument SME Holding Fund Competitiveness - DSL Business Finance Ltd, Product DSL Business Finance
Warning	2.175	Unusual value for this type of instrument (>10 for loans, >20 for guarantees or equity). Please verify 39.1 for Financial Instrument SME Holding Fund Competitiveness - Scottish Co-Investment Fund Competitiveness, Product Scottish Co-Investment Fund Competitiveness

Severity	Code	Message
Warning	2.175	Unusual value for this type of instrument (>10 for loans, >20 for guarantees or equity). Please verify 39.1 for Financial Instrument SME Holding Fund Competitiveness - Scottish Venture Fund Competitiveness, Product Scottish Venture Fund Competitiveness
Warning	2.175	Unusual value for this type of instrument (>10 for loans, >20 for guarantees or equity). Please verify 39.1 for Financial Instrument SME Holding Fund Innovation - Scottish Coinvestment Fund, Product Scottish Coinvestment Fund - Innovation
Warning	2.175	Unusual value for this type of instrument (>10 for loans, >20 for guarantees or equity). Please verify 39.1 for Financial Instrument SME Holding Fund Innovation - Scottish Venture Fund, Product Scottish Venture Fund - Innovation