

Annual and final implementation reports for the Investment for growth and jobs goal

PART A

1. IDENTIFICATION OF THE ANNUAL/FINAL IMPLEMENTATION REPORT

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2. OVERVIEW OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (ARTICLE 50(2) AND 111(3)(A) OF REGULATION (EU) NO 1303/2013)

2.1. Key information on the implementation of the operational programme for the year concerned, including on financial instruments, with relation to the financial and indicator data.

The Operational Programme for the European Regional Development Fund was approved by the Commission in December 2014. The Operational Programme has a two-stage application process, with the first, Strategic Interventions (large scale proposals for work and funding aimed at a cohesive set of activity and results) setting the direction; and the second, operations providing the legal basis for grant awards and monitoring of activity.

The Managing Authority invited Strategic Intervention Applications across the ERDF programme in March 2015, with applications received and approved across all Priority Axes and Investment Priorities. In total, strategic interventions for €226.71 million (£180.65 million) have been approved for the first half of the programme as follows:

- For both innovation and competitiveness, the central idea is to identify and work with over 1,500 currently unsupported SME's who have significant regional or national growth potential to help them achieve it, including connecting 50 SME's to Horizon 2020 projects in the EU and supporting internationalisation. Between interventions led by the enterprise agencies, Scottish Funding Council and local authorities, the Funds are now committed to supporting over 2,000 SME's in this way. These interventions are supported with €106.54 million (£84.9 million) from ERDF.
- the Low Carbon Infrastructure Transition Fund (LCITF) will dedicate €45.7 million (£33 million) to support private, public and community projects across Scotland to bring low carbon proposals to a stage where they are 'investment ready', thereby boosting external investment in low carbon technology and development. Two of the first seven projects being assisted are community led.
- Alongside the LCITF sits the plans for Resource Efficiency and Circular Economy, which aims to address resource efficiency in target sectors including manufacturing and food and drink. This intervention is being supported with €42.6 million (£30.7 million) ERDF. These two interventions aim both at leveraging in much wider investment than is available within ESI Funds, and at creating jobs in sustainable growth sectors in Scotland.
- In addition, and focussed on encouraging a more active population whilst securing carbon reductions, Low Carbon and Active Travel with support of €19.3 million (£13.9 million) will help reduce greenhouse gas emissions from transport, improving air quality and support and encourage people to choose active travel for short journeys and develop and roll-out a National Smart Ticketing Scheme to enable simpler, more efficient public transport journeys.
- Complementing this, with €11.4 million (£8.2 million) of ERDF support, the Green Infrastructure programme of strategic improvements to the quantity,

quality and accessibility of green infrastructure in urban Scotland targets our most deprived communities through the improvement and creation of green space to help deliver successful places, address inequalities, provide opportunities for better health and support sustainable economic growth.

Despite this significant activity in 2015, results here are reported for approved operations (stage 2), as the legal vehicle. As no operations were approved during 2014 or 2015, there is little to report in the way of outcomes and results, and this is reflected in the main reporting tables. However, at the time of drafting, this is no longer a fair reflection of the European Regional Development Fund in Scotland. During March and April, operations worth €107 million (£83 m) were approved, with further approval rounds to follow in May and June. There is good reason for stating that ERDF programme will go on to deliver against targets. Early indications are that outputs and results may have been conservative in some areas for example, the operations approved in early 2016 will, if they deliver as intended, exceed targets on a number of objectives including the number of enterprises supported; and the number of businesses being supported to develop new products. With targets exceeded this early on, however, it will be important to track the quality of the outputs and results to ensure the right long-term impact on SME's and their willingness to invest in themselves.

A number of external factors will also play in across the programming period. Both the Smart and the Sustainable packages to some extent rely on co-investment with the private sector and this is likely to be significantly impacted by tougher global financial conditions, including slowdown in China and Sterling appreciation affecting export opportunities. The fall in global commodity prices has also impacted on steel and paper production in Scotland and the sustained fall in oil prices has had a material impact on the broader energy sector and beyond. In particular, the impact on the profitability of the sector has led to a substantial reduction in investment intentions, and a requirement to reduce operating expenditure within the Oil & Gas sector. This in turn impacts on the amounts available for investment in future technologies like low carbon or energy efficient production, and investment in growing SME's in these sectors; as well as having a knock on impact on the ESF programme through increased unemployment.

3. IMPLEMENTATION OF THE PRIORITY AXIS (ARTICLE 50(2) OF REGULATION (EU) NO 1303/2013)

3.1. Overview of the implementation

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
1	Strengthening Research, technological development and innovation	Scotland's two enterprise agencies will work with SMEs to support innovation through connecting SME's to existing research bases. This activity has not yet commenced, but is planned as a collaborative approach including Scottish Funding Council who are responsible for funding and setting the direction for Innovation Centres and academic-level research in Scotland's universities.
2	Enhancing access to, and use and quality of ICT	<p>ERDF delivery of broadband depends on an area not being included in the scope of the domestic delivery contract. Negotiations to de-scope have been difficult, and present a risk to some areas as once de-scoped an area cannot be 're-scoped', and there is considerable risk for some of the communities involved.</p> <p>Managing Authority continues to work with Lead Partners and local actors to identify suitable solutions for areas in advance of decision to de-scope; and to monitor progress against the 2017 milestone of contracts let.</p>
3	Enhancing the Competitiveness of SMEs	Local areas have been impacted by shocks to the steel industry and the closure of a major power station, as well as structural reorganisation of the existing business support services. However, all areas of Scotland are expected to have operations approved to deliver business leadership, growth and internationalisation support during early 2016, with procurement exercises and delivery to follow shortly thereafter. As reported in the additional document regarding Financial Instruments, this is also expected to start activity in 2016, and will be reported on more fully next year.

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
4	Supporting a shift towards a low carbon economy in all sectors	<p>This area of support commenced at risk in 2015, prior to formal approval and focused initially on early-stage project support. This has already led to two significant findings, one that this is a good candidate area of the programme to trial repayable assistance (this will be suggested top the Monitoring Committee as a programme change during 2016); the other that there is a risk that large demonstrator projects will not come forward, and that this intervention will therefore not have the full intended impact including changing market conditions for investment in low carbon technology for the long-term. This is mitigated by a very strong LCITP management board who meet and review progress quarterly. This has resulted in the SG issuing calls for certain types of technology to come forward in order to encourage the necessary demonstrator projects. Formal approval is expected in early 2016.</p> <p>For sustainable transport the activity has still to commence. The main risk is that due to time constraints this will impact on the scale of the work that can be completed by the programme mid-term review point.</p>
5	Preserving and protecting the environment and promoting resource efficiency	<p>Resource efficient circular economy and green infrastructure operations are quite distinct from each other but in both cases their success relies ultimately on shifting behaviour on the part of potential investors in resource efficiency and individuals in making greater use of the green space being developed under the GI initiative. Although formal approval was not granted by the end of 2015 (it was in March 2016), activity is already under way to implement the measures, establish a ‘pool’ of projects and demonstrate progress in time for the mid-term review.</p>

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
6	Technical Assistance	There has been no provision of funding for technical assistance during this reporting period.

3.2. Common and programme specific indicators (Article 50(2) of Regulation (EU) No 1303/2013)

Priority axes other than technical assistance

Priority axis	1 - Strengthening Research, technological development and innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	Transition	400.00			0.00	0.00	0.00	operations have not yet commenced
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	Transition	400.00			0.00	0.00	0.00	operations have not yet commenced
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	More developed	800.00			0.00	0.00	0.00	operations have not yet commenced

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	More developed	800.00			0.00	0.00	0.00	operations have not yet commenced
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	Transition	50.00			0.00	0.00	0.00	operations have not yet commenced
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	Transition	50.00			0.00	0.00	0.00	operations have not yet commenced
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	More developed	175.00			0.00	0.00	0.00	operations have not yet commenced
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises	More developed	175.00			0.00	0.00	0.00	operations have not yet commenced
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	Transition	250.00			0.00	0.00	0.00	operations have not yet commenced
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	Transition	250.00			0.00	0.00	0.00	operations have not yet commenced
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm	Enterprises	More developed	800.00			0.00	0.00	0.00	operations have not yet commenced

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
		products									
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises	More developed	800.00			0.00	0.00	0.00	operations have not yet commenced
F	PO11	Innovative Services in Cities Developed	whole number	Transition	3.00			0.00	0.00	0.00	operations have not yet commenced
S	PO11	Innovative Services in Cities Developed	whole number	Transition	3.00			0.00	0.00	0.00	operations have not yet commenced
F	PO11	Innovative Services in Cities Developed	whole number	More developed	17.00			0.00	0.00	0.00	operations have not yet commenced
S	PO11	Innovative Services in Cities Developed	whole number	More developed	17.00			0.00	0.00	0.00	operations have not yet commenced
F	PO12	Data sets opened for innovation	data set (whole number)	Transition	15.00			0.00	0.00	0.00	operations have not yet commenced
S	PO12	Data sets opened for innovation	data set (whole number)	Transition	15.00			0.00	0.00	0.00	operations have not yet commenced
F	PO12	Data sets opened for innovation	data set (whole number)	More developed	135.00			0.00	0.00	0.00	operations have not yet commenced
S	PO12	Data sets opened for innovation	data set (whole number)	More developed	135.00			0.00	0.00	0.00	operations have not yet commenced

(1) S=Selected operations, F=Fully implemented operations

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00	0.00	0.00
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00	0.00	0.00
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00	0.00	0.00
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00	0.00	0.00
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	0.00	0.00	0.00
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	0.00	0.00	0.00
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	0.00	0.00	0.00
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	0.00	0.00	0.00
F	CO29	Research, Innovation: Number of enterprises supported to	0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
		introduce new to the firm products			
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00
F	PO11	Innovative Services in Cities Developed	0.00	0.00	0.00
S	PO11	Innovative Services in Cities Developed	0.00	0.00	0.00
F	PO11	Innovative Services in Cities Developed	0.00	0.00	0.00
S	PO11	Innovative Services in Cities Developed	0.00	0.00	0.00
F	PO12	Data sets opened for innovation	0.00	0.00	0.00
S	PO12	Data sets opened for innovation	0.00	0.00	0.00
F	PO12	Data sets opened for innovation	0.00	0.00	0.00
S	PO12	Data sets opened for innovation	0.00	0.00	0.00

Priority axis	1 - Strengthening Research, technological development and innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies
Specific objective	SO1 - Increase business commercialisation and investment in RTDI, particularly in sectors identified through Smart Specialisation

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2015 Total	2015 Qualitative	Observations
PR11	Number of innovative active enterprises	enterprises		11,000.00	2013	12,600.00	0.00		operations have not yet commenced
PR12	BERD Expenditure	GBP		37,000,000.00	2013	363,000,000.00	0.00		not applicable at this time

ID	Indicator	2014 Total	2014 Qualitative
PR11	Number of innovative active enterprises	0.00	

PR12	BERD Expenditure	0.00	
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Priority axis	2 - Enhancing access to, and use and quality of ICT
Investment Priority	2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
F	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	Households	Transition	11,833.00			0.00	0.00	0.00	operations have not yet commenced
S	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	Households	Transition	11,833.00			0.00	0.00	0.00	operations have not yet commenced
F	PO21	additional businesses with broadband access of at least 30 Mbps	businesses	Transition	1,530.00			0.00	0.00	0.00	operations have not yet commenced
S	PO21	additional businesses with broadband access of at least 30 Mbps	businesses	Transition	1,530.00			0.00	0.00	0.00	operations have not yet commenced

(1) S=Selected operations, F=Fully implemented operations

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	0.00	0.00	0.00
S	CO10	ICT Infrastructure: Additional households with broadband access of at least 30 Mbps	0.00	0.00	0.00
F	PO21	additional businesses with broadband access of at least 30 Mbps	0.00	0.00	0.00
S	PO21	additional businesses with broadband access of at least 30 Mbps	0.00	0.00	0.00

Priority axis	2 - Enhancing access to, and use and quality of ICT
Investment Priority	2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy
Specific objective	SO2 - Enable individuals and businesses throughout Scotland to access digital services and business opportunities

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2015 Total	2015 Qualitative	Observations
PR21	No of high speed broadband residential and business subscriptions in the Highlands and Islands	subscriptions	Transition	24,499.00	2014	89,087.00	0.00		operations have not yet commenced

ID	Indicator	2014 Total	2014 Qualitative
PR21	No of high speed broadband residential and business subscriptions in the Highlands and Islands	0.00	

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises	Transition	2,600.00			0.00	0.00	0.00	operations have not yet commenced
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises	Transition	2,600.00			0.00	0.00	0.00	operations have not yet commenced
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises	More developed	9,000.00			0.00	0.00	0.00	operations have not yet commenced
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises	More developed	9,000.00			0.00	0.00	0.00	operations have not yet commenced
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises	Transition	250.00			0.00	0.00	0.00	operations have not yet commenced
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises	Transition	250.00			0.00	0.00	0.00	operations have not yet commenced
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises	More developed	3,000.00			0.00	0.00	0.00	operations have not yet commenced

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises	More developed	3,000.00			0.00	0.00	0.00	operations have not yet commenced
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises	Transition	15.00			0.00	0.00	0.00	operations have not yet commenced
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises	Transition	15.00			0.00	0.00	0.00	operations have not yet commenced
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises	More developed	500.00			0.00	0.00	0.00	operations have not yet commenced
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises	More developed	500.00			0.00	0.00	0.00	operations have not yet commenced
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	Transition	2,350.00			0.00	0.00	0.00	operations have not yet commenced
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	Transition	2,350.00			0.00	0.00	0.00	operations have not yet commenced
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	More developed	9,000.00			0.00	0.00	0.00	operations have not yet commenced
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	More developed	9,000.00			0.00	0.00	0.00	operations have not yet commenced
F	CO05	Productive investment: Number	Enterprises	Transition	250.00			0.00	0.00	0.00	operations have not yet

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
		of new enterprises supported									commenced
S	CO05	Productive investment: Number of new enterprises supported	Enterprises	Transition	250.00			0.00	0.00	0.00	operations have not yet commenced
F	CO05	Productive investment: Number of new enterprises supported	Enterprises	More developed	700.00			0.00	0.00	0.00	operations have not yet commenced
S	CO05	Productive investment: Number of new enterprises supported	Enterprises	More developed	700.00			0.00	0.00	0.00	operations have not yet commenced
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR	Transition	3,600,000.00			0.00	0.00	0.00	operations have not yet commenced
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR	Transition	3,600,000.00			0.00	0.00	0.00	operations have not yet commenced
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR	More developed	120,000,000.00			0.00	0.00	0.00	operations have not yet commenced
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR	More developed	120,000,000.00			0.00	0.00	0.00	operations have not yet commenced
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents	Transition	400.00			0.00	0.00	0.00	operations have not yet commenced
S	CO08	Productive investment: Employment increase in	Full time equivalents	Transition	400.00			0.00	0.00	0.00	operations have not yet commenced

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
		supported enterprises									
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents	More developed	9,000.00			0.00	0.00	0.00	operations have not yet commenced
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents	More developed	9,000.00			0.00	0.00	0.00	operations have not yet commenced

(1) S=Selected operations, F=Fully implemented operations

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00	0.00	0.00
S	CO01	Productive investment: Number of enterprises receiving support	0.00	0.00	0.00
F	CO01	Productive investment: Number of enterprises receiving support	0.00	0.00	0.00
S	CO01	Productive investment: Number of enterprises receiving support	0.00	0.00	0.00
F	CO02	Productive investment: Number of enterprises receiving grants	0.00	0.00	0.00
S	CO02	Productive investment: Number of enterprises receiving grants	0.00	0.00	0.00
F	CO02	Productive investment: Number	0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
		of enterprises receiving grants			
S	CO02	Productive investment: Number of enterprises receiving grants	0.00	0.00	0.00
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00	0.00	0.00
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00	0.00	0.00
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00	0.00	0.00
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00	0.00	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00	0.00	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00	0.00	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00	0.00	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO05	Productive investment: Number of new enterprises supported	0.00	0.00	0.00
S	CO05	Productive investment: Number of new enterprises supported	0.00	0.00	0.00
F	CO05	Productive investment: Number of new enterprises supported	0.00	0.00	0.00
S	CO05	Productive investment: Number of new enterprises supported	0.00	0.00	0.00
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00	0.00	0.00
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00	0.00	0.00
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00	0.00	0.00
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00	0.00	0.00
F	CO08	Productive investment: Employment increase in supported enterprises	0.00	0.00	0.00
S	CO08	Productive investment: Employment increase in	0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
		supported enterprises			
F	CO08	Productive investment: Employment increase in supported enterprises	0.00	0.00	0.00
S	CO08	Productive investment: Employment increase in supported enterprises	0.00	0.00	0.00

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes
Specific objective	SO3 - support SME internationalisation as a route to growth and increasing employment, particularly in Smart Specialisation sectors and regionally important sectors in fragile areas

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2015 Total	2015 Qualitative	Observations
PR31	number of SMEs exporting	SMEs		44,064.00	2012	94,906.00	0.00		operations have not yet commenced
PR32	Employment in Smart Specialisation Sectors	FTE equivalents		317,250.00	2012	368,067.00	0.00		operations have not yet commenced

ID	Indicator	2014 Total	2014 Qualitative
PR31	number of SMEs exporting	0.00	
PR32	Employment in Smart Specialisation Sectors	0.00	

Priority axis	4 - Supporting a shift towards a low carbon economy in all sectors
Investment Priority	4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
F	PO41	Smart Ticketing schemes created	scheme		1.00			0.00	0.00	0.00	operations have not yet commenced
S	PO41	Smart Ticketing schemes created	scheme		1.00			0.00	0.00	0.00	operations have not yet commenced
F	PO42	Low carbon travel and transport hubs supported	Hubs	Transition	5.00			0.00	0.00	0.00	operations have not yet commenced
S	PO42	Low carbon travel and transport hubs supported	Hubs	Transition	5.00			0.00	0.00	0.00	operations have not yet commenced
F	PO42	Low carbon travel and transport hubs supported	Hubs	More developed	15.00			0.00	0.00	0.00	operations have not yet commenced
S	PO42	Low carbon travel and transport hubs supported	Hubs	More developed	15.00			0.00	0.00	0.00	operations have not yet commenced
F	PO43	No of ULEV registrations in Scotland	registrations		100.00			0.00	0.00	0.00	operations have not yet commenced

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
S	PO43	No of ULEV registrations in Scotland	registrations		100.00			0.00	0.00	0.00	operations have not yet commenced
F	PO44	cycle networks or walking paths constructed	Km	Transition	20.00			0.00	0.00	0.00	operations have not yet commenced
S	PO44	cycle networks or walking paths constructed	Km	Transition	20.00			0.00	0.00	0.00	operations have not yet commenced
F	PO44	cycle networks or walking paths constructed	Km	More developed	80.00			0.00	0.00	0.00	operations have not yet commenced
S	PO44	cycle networks or walking paths constructed	Km	More developed	80.00			0.00	0.00	0.00	operations have not yet commenced

(1) S=Selected operations, F=Fully implemented operations

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	PO41	Smart Ticketing schemes created	0.00	0.00	0.00
S	PO41	Smart Ticketing schemes created	0.00	0.00	0.00
F	PO42	Low carbon travel and transport hubs supported	0.00	0.00	
S	PO42	Low carbon travel and transport hubs supported	0.00	0.00	0.00
F	PO42	Low carbon travel and transport hubs supported	0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
S	PO42	Low carbon travel and transport hubs supported	0.00	0.00	0.00
F	PO43	No of ULEV registrations in Scotland	0.00	0.00	0.00
S	PO43	No of ULEV registrations in Scotland	0.00	0.00	0.00
F	PO44	cycle networks or walking paths constructed	0.00	0.00	0.00
S	PO44	cycle networks or walking paths constructed	0.00	0.00	0.00
F	PO44	cycle networks or walking paths constructed	0.00	0.00	0.00
S	PO44	cycle networks or walking paths constructed	0.00	0.00	0.00

Priority axis	4 - Supporting a shift towards a low carbon economy in all sectors
Investment Priority	4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures
Specific objective	SO4e - Increase the number of journeys undertaken by public and active travel or low energy vehicles

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2015 Total	2015 Qualitative	Observations
PR41	Proportion of journeys to work undertaken by public or active travel	% of journeys		30.70	2013	32.20	0.00		not applicable at this time as operations have not yet commenced
PR42	Journeys undertaken using smart ticketing	journeys (whole number)		146,000,000.00	2014	276,800,000.00	0.00		operations have not yet commenced

ID	Indicator	2014 Total	2014 Qualitative
PR41	Proportion of journeys to work undertaken by public or active travel	0.00	

PR42	Journeys undertaken using smart ticketing	0.00	
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Priority axis	4 - Supporting a shift towards a low carbon economy in all sectors
Investment Priority	4f - Promoting research and innovation in, and adoption of, low-carbon technologies

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	Transition	10,667.00			0.00	0.00	0.00	operations have not yet commenced
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	Transition	10,667.00			0.00	0.00	0.00	operations have not yet commenced
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	More developed	213,334.00			0.00	0.00	0.00	operations have not yet commenced
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	More developed	213,334.00			0.00	0.00	0.00	operations have not yet commenced
F	PO45	Low carbon projects receiving non- financial support	projects	Transition	122.00			0.00	0.00	0.00	operations have not yet commenced
S	PO45	Low carbon projects receiving non- financial support	projects	Transition	122.00			0.00	0.00	0.00	operations have not yet commenced
F	PO45	Low carbon projects receiving non- financial support	projects	More developed	623.00			0.00	0.00	0.00	operations have not yet commenced

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
S	PO45	Low carbon projects receiving non- financial support	projects	More developed	623.00			0.00	0.00	0.00	operations have not yet commenced
F	PO46	Low carbon projects receiving financial support	projects	Transition	41.00			0.00	0.00	0.00	operations have not yet commenced
S	PO46	Low carbon projects receiving financial support	projects	Transition	41.00			0.00	0.00	0.00	operations have not yet commenced
F	PO46	Low carbon projects receiving financial support	projects	More developed	209.00			0.00	0.00	0.00	operations have not yet commenced
S	PO46	Low carbon projects receiving financial support	projects	More developed	209.00			0.00	0.00	0.00	operations have not yet commenced
F	PO47	Low carbon change leader/demonstration projects delivered	projects	Transition	5.00			0.00	0.00	0.00	operations have not yet commenced
S	PO47	Low carbon change leader/demonstration projects delivered	projects	Transition	5.00			0.00	0.00	0.00	operations have not yet commenced
F	PO47	Low carbon change leader/demonstration projects delivered	projects	More developed	20.00			0.00	0.00	0.00	operations have not yet commenced
S	PO47	Low carbon change leader/demonstration projects delivered	projects	More developed	20.00			0.00	0.00	0.00	operations have not yet commenced

(1) S=Selected operations, F=Fully implemented operations

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
F	PO45	Low carbon projects receiving non- financial support	0.00	0.00	0.00
S	PO45	Low carbon projects receiving non- financial support	0.00	0.00	0.00
F	PO45	Low carbon projects receiving non- financial support	0.00	0.00	0.00
S	PO45	Low carbon projects receiving non- financial support	0.00	0.00	0.00
F	PO46	Low carbon projects receiving financial support	0.00	0.00	0.00
S	PO46	Low carbon projects receiving financial support	0.00	0.00	0.00
F	PO46	Low carbon projects receiving financial support	0.00	0.00	0.00
S	PO46	Low carbon projects receiving financial support	0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	PO47	Low carbon change leader/demonstration projects delivered	0.00	0.00	0.00
S	PO47	Low carbon change leader/demonstration projects delivered	0.00	0.00	0.00
F	PO47	Low carbon change leader/demonstration projects delivered	0.00	0.00	0.00
S	PO47	Low carbon change leader/demonstration projects delivered	0.00	0.00	0.00

Priority axis	4 - Supporting a shift towards a low carbon economy in all sectors
Investment Priority	4f - Promoting research and innovation in, and adoption of, low-carbon technologies
Specific objective	SO4f - Encourage investment in low carbon technology and development to exploit Scotland's vast potential

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2015 Total	2015 Qualitative	Observations
PR43	Low carbon investment levered into Scotland by private and institutional investors (EUR)	Euros per annum		28,500,000.00	2013	59,000,000.00	0.00		operations have not yet commenced
SO44	Employment in low carbon sector in Scotland	FTEs		78,000.00	2012	81,900.00	0.00		operations have not yet commenced

ID	Indicator	2014 Total	2014 Qualitative
PR43	Low carbon investment levered into Scotland by private and institutional investors (EUR)	0.00	
SO44	Employment in low carbon sector in Scotland	0.00	

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
F	PO51	Projects delivering new/improved green infrastructure	projects	Transition	1.00			0.00	0.00	0.00	operations have not yet commenced
S	PO51	Projects delivering new/improved green infrastructure	projects	Transition	1.00			0.00	0.00	0.00	operations have not yet commenced
F	PO51	Projects delivering new/improved green infrastructure	projects	More developed	14.00			0.00	0.00	0.00	operations have not yet commenced
S	PO51	Projects delivering new/improved green infrastructure	projects	More developed	14.00			0.00	0.00	0.00	operations have not yet commenced
F	PO52	Greenspace created or enhanced in urban areas	Ha	Transition	5.10			0.00	0.00	0.00	operations have not yet commenced
S	PO52	Greenspace created or enhanced in urban areas	Ha	Transition	5.10			0.00	0.00	0.00	operations have not yet commenced

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
F	PO52	Greenspace created or enhanced in urban areas	Ha	More developed	137.50			0.00	0.00	0.00	operations have not yet commenced
S	PO52	Greenspace created or enhanced in urban areas	Ha	More developed	137.50			0.00	0.00	0.00	operations have not yet commenced

(1) S=Selected operations, F=Fully implemented operations

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	PO51	Projects delivering new/improved green infrastructure	0.00	0.00	0.00
S	PO51	Projects delivering new/improved green infrastructure	0.00	0.00	0.00
F	PO51	Projects delivering new/improved green infrastructure	0.00	0.00	0.00
S	PO51	Projects delivering new/improved green infrastructure	0.00	0.00	0.00
F	PO52	Greenspace created or enhanced in urban areas	0.00	0.00	0.00
S	PO52	Greenspace created or enhanced in urban areas	0.00	0.00	0.00
F	PO52	Greenspace created or enhanced	0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
		in urban areas			
S	PO52	Greenspace created or enhanced in urban areas	0.00	0.00	0.00

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure
Specific objective	SO5d - To improve the quality, accessibility and usage of greenspace in urban environments and exploit the opportunities consequently for positive environmental, economic and social benefit

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2015 Total	2015 Qualitative	Observations
PR51	Positive rating of satisfaction with the quality of green infrastructure in urban areas in Scotland	% of respondents		74.00	2013	80.00	0.00		operations have not yet commenced

ID	Indicator	2014 Total	2014 Qualitative
PR51	Positive rating of satisfaction with the quality of green infrastructure in urban areas in Scotland	0.00	

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	Transition	4,544.00			0.00	0.00	0.00	operations have not yet commenced
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	Transition	4,544.00			0.00	0.00	0.00	operations have not yet commenced
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	More developed	18,174.00			0.00	0.00	0.00	operations have not yet commenced
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	More developed	18,174.00			0.00	0.00	0.00	operations have not yet commenced
F	PO53	Organisations and enterprises receiving non-financial support	organisations	Transition	714.00			0.00	0.00	0.00	operations have not yet commenced
S	PO53	Organisations and enterprises receiving non-financial support	organisations	Transition	714.00			0.00	0.00	0.00	operations have not yet commenced
F	PO53	Organisations and enterprises receiving non-financial support	organisations	More developed	4,046.00			0.00	0.00	0.00	operations have not yet commenced

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
S	PO53	Organisations and enterprises receiving non-financial support	organisations	More developed	4,046.00			0.00	0.00	0.00	operations have not yet commenced
F	PO54	Organisations and enterprises receiving financial support	organisations	Transition	72.00			0.00	0.00	0.00	operations have not yet commenced
S	PO54	Organisations and enterprises receiving financial support	organisations	Transition	72.00			0.00	0.00	0.00	operations have not yet commenced
F	PO54	Organisations and enterprises receiving financial support	organisations	More developed	408.00			0.00	0.00	0.00	operations have not yet commenced
S	PO54	Organisations and enterprises receiving financial support	organisations	More developed	408.00			0.00	0.00	0.00	operations have not yet commenced

(1) S=Selected operations, F=Fully implemented operations

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
S	CO34	GHG reduction: Estimated annual decrease of GHG	0.00	0.00	0.00
F	PO53	Organisations and enterprises receiving non-financial support	0.00	0.00	0.00

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
S	PO53	Organisations and enterprises receiving non-financial support	0.00	0.00	0.00
F	PO53	Organisations and enterprises receiving non-financial support	0.00	0.00	0.00
S	PO53	Organisations and enterprises receiving non-financial support	0.00	0.00	0.00
F	PO54	Organisations and enterprises receiving financial support	0.00	0.00	0.00
S	PO54	Organisations and enterprises receiving financial support	0.00	0.00	0.00
F	PO54	Organisations and enterprises receiving financial support	0.00	0.00	0.00
S	PO54	Organisations and enterprises receiving financial support	0.00	0.00	0.00

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors
Specific objective	SO5f1 - Making businesses in supported sectors more competitive through increased resource efficiency

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2015 Total	2015 Qualitative	Observations
PR61	Savings from resource efficiency investments in supported sectors	GBP, per annum		6,000,000.00	2013	232,152,000.00	0.00		operations have not yet commenced

ID	Indicator	2014 Total	2014 Qualitative
PR61	Savings from resource efficiency investments in supported sectors	0.00	

Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
Investment Priority	6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors
Specific objective	SO5f2 - Grow re-processing and re-manufacturing industries through the reuse of waste products.

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2015 Total	2015 Qualitative	Observations
PR62	employment in circular economy	FTEs		7,200.00	2013	8,280.00	0.00		operations have not yet commenced

ID	Indicator	2014 Total	2014 Qualitative
PR62	employment in circular economy	0.00	

Priority axes for technical assistance

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

Priority axis		6 - Technical Assistance									
(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
F	61	Number of FTE's whose salaries are co-financed by TA	person		90.00	40.00	50.00	0.00	0.00	0.00	operations have not yet commenced
S	61	Number of FTE's whose salaries are co-financed by TA	person		90.00	40.00	50.00	0.00	0.00	0.00	operations have not yet commenced
F	62	communications activities to be undertaken and co-financed by TA	whole number		7.00	0.00	0.00	0.00	0.00	0.00	operations have not yet commenced
S	62	communications activities to be undertaken and co-financed by TA	whole number		7.00	0.00	0.00	0.00	0.00	0.00	operations have not yet commenced
F	63	Thematic evaluations to be co-financed by TA	whole number		5.00	0.00	0.00	0.00	0.00	0.00	operations have not yet commenced
S	63	Thematic evaluations to be co-	whole number		5.00	0.00	0.00	0.00	0.00	0.00	operations have not yet

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2015 Total	2015 Men	2015 Women	Observations
		financed by TA									commenced

(1) S=Selected operations, F=Fully implemented operations

(1)	ID	Indicator	2014 Total	2014 Men	2014 Women
F	61	Number of FTE's whose salaries are co-financed by TA	0.00	0.00	0.00
S	61	Number of FTE's whose salaries are co-financed by TA	0.00	0.00	0.00
F	62	communications activities to be undertaken and co-financed by TA	0.00	0.00	0.00
S	62	communications activities to be undertaken and co-financed by TA	0.00	0.00	0.00
F	63	Thematic evaluations to be co-financed by TA	0.00	0.00	0.00
S	63	Thematic evaluations to be co-financed by TA	0.00	0.00	0.00

Table 3B: Number of enterprises supported by the operational programme net of multiple support to the same enterprises

Indicator	Number of enterprises supported by OP net of multiple support
CO02 - Productive investment: Number of enterprises receiving grants	0
CO03 - Productive investment: Number of enterprises receiving financial support other than grants	0
CO05 - Productive investment: Number of new enterprises supported	0
CO01 - Productive investment: Number of enterprises receiving support	0
CO04 - Productive investment: Number of enterprises receiving non-financial support	0

3.3. Table 5: Information on the milestones and targets defined in the performance framework

3.4. Financial data (Article 50(2) of Regulation (EU) No 1303/2013)

Table 6: Financial information at priority axis and programme level

Priority axis	Fund	Category of region	Calculation basis	Total fund	Co-financing rate	Total eligible cost of operations selected for support	Proportion of the total allocation covered with selected operations	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Proportion of the total allocation covered by eligible expenditure declared by beneficiaries	Number of operations selected
1	ERDF	Transition	Public	34,914,802.00	55.00	0.00	0.00%	0.00	0.00	0.00%	0
1	ERDF	More developed	Public	205,104,131.00	45.00	0.00	0.00%	0.00	0.00	0.00%	0
2	ERDF	Transition	Public	45,454,545.00	55.00	0.00	0.00%	0.00	0.00	0.00%	0
3	ERDF	Transition	Public	59,454,545.00	55.00	0.00	0.00%	0.00	0.00	0.00%	0
3	ERDF	More developed	Public	246,666,667.00	45.00	0.00	0.00%	0.00	0.00	0.00%	0
4	ERDF	Transition	Public	47,036,364.00	55.00	0.00	0.00%	0.00	0.00	0.00%	0
4	ERDF	More developed	Public	233,739,029.00	45.00	0.00	0.00%	0.00	0.00	0.00%	0
5	ERDF	Transition	Public	12,363,636.00	55.00	0.00	0.00%	0.00	0.00	0.00%	0
5	ERDF	More developed	Public	109,333,333.00	45.00	0.00	0.00%	0.00	0.00	0.00%	0
6	ERDF	Transition	Public	4,767,884.00	50.00	0.00	0.00%	0.00	0.00	0.00%	0
6	ERDF	More developed	Public	14,303,652.00	50.00	0.00	0.00%	0.00	0.00	0.00%	0
Total	ERDF	Transition		203,991,776.00	54.88	0.00	0.00%	0.00	0.00	0.00%	0
Total	ERDF	More		809,146,812.00	45.09	0.00	0.00%	0.00	0.00	0.00%	0

		developed									
Grand total				1,013,138,588.00	47.06	0.00	0.00%	0.00	0.00	0.00%	0

Table 7: Breakdown of the cumulative financial data by category of intervention for the ERDF, the ESF and the Cohesion Fund (Article 112(1) and (2) of Regulation (EU) No 1303/2013 and Article 5 of Regulation (EU) No 1304/2013)

Priority axis	Characteristics of expenditure		Categorisation dimensions							Financial data				
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	Transition												
1	ERDF	More developed												
2	ERDF	Transition												
3	ERDF	Transition												
3	ERDF	More developed												
4	ERDF	Transition												
4	ERDF	More developed												
5	ERDF	Transition												
5	ERDF	More developed												
6	ERDF	Transition												
6	ERDF	More developed												

Table 8: The use made of cross-financing

1	2	3	4	5	6
Use of cross-financing	Priority axis	The amount of EU support envisaged to be used for cross financing based on selected operations (EUR)	Share of the total EU financial allocation to the priority axis (%) (3/total financial allocation to priority axis*100)	Eligible expenditure used under cross financing declared by the beneficiary to the managing authority (EUR)	Share of the total financial allocation to the priority axis (%) (5/total financial allocation to priority axis*100)

Table 9: Cost of operations implemented outside the programme area (the ERDF and the Cohesion Fund under the Investment for growth and jobs goal)

1	2	3	4	5
Priority axis	The amount of support envisaged to be used for operations implemented outside the programme area based on selected operations (EUR)	Share of the total financial allocation to the priority axis (%) (3/total financial allocation to priority axis*100)	Eligible expenditure incurred in operations implemented outside the programme area declared by the beneficiary to the managing authority (EUR)	Share of the total financial allocation to the priority axis (%) (5/total financial allocation to priority axis*100)

Table 10: Expenditure incurred outside the Union (ESF)

The amount of expenditure envisaged to be incurred outside the Union under thematic objectives 8 and 10 based on selected operations (EUR)	Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (1/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100)	Eligible expenditure incurred outside the Union declared by the beneficiary to the managing authority (EUR)	Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (3/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100)

Table 11: Allocation of YEI resources to young people outside the eligible NUTS level 2 regions

Priority axis	The amount of EU support under the YEI (YEI specific allocation and corresponding ESF support) envisaged to be allocated to young people outside the eligible NUTS level 2 regions (EUR), as indicated in section 2.A.6.1 of the operational programme	The amount of EU support under the YEI (YEI specific allocation and corresponding ESF support) allocated to operations to support young people outside the eligible NUTS level 2 regions (EUR)	Eligible expenditure incurred in operations to support young people outside the eligible regions (EUR)	Corresponding EU support to eligible expenditure incurred in operations to support young people outside the eligible regions, resulting from applying the co-financing rate of the priority axis (EUR)
Total	0.00	0.00	0.00	0.00

4. SYNTHESIS OF THE EVALUATIONS

Synthesis of the findings of all evaluations of the programme that have become available during the previous financial year, with reference of name and reference period of the evaluation reports used

No Evaluations have been carried out in respect on ERDF during the reporting period.

The Evaluation Strategy for ERDF and ESF was approved by the Joint Programme Monitoring Committee in November 2015. This plan has been informed in part by how well the methodology of the monitoring and evaluation plan from the previous 2007-2013 programme performed in terms of contributing to the achievement of objectives. In particular:

- the monitoring and reporting focused to a greater extent on financial performance, with less attention on results and significant over or under-performance in relation to specific indicators; and
- the evaluations were not sufficiently robustly shaped and scoped to inform programme changes, and in some cases not well enough researched to inform the 2014 programme.

Building on this, Monitoring and Evaluation for ERDF and ESF in Scotland will serve two distinct and complementary purposes:

- Regular monitoring will inform programme management and progress towards targets, in particular seeking to assess whether targets remain achievable, and whether the funding is being spent on activity which is likely to deliver against those targets. This will strongly influence the mid-term review as well as the formal performance reports in 2017 and 2019
- Evaluation will support a longer-term view, with an emphasis on assessing the significant changes to the way in which European Structural and Investment Funds are managed in Scotland (partnership, strategic interventions, a focus on fewer areas of activity); and support decisions on future programmes and their design as well as monitor spend by sector. This may also influence the mid-term review if significant findings emerge by 2017

The plan itself has a spine of regulatory requirements, including Ex Ante assessment and evaluation of YEI, communications and publicity and Ex Post requirements. In addition, there are a number of areas where programming has changed significantly, and where evaluation will therefore add real value in terms of understanding success and/or improving design. Some of these are fundamental to the likelihood of successful delivery of the programmes and will therefore form part of the mid-term review:

- The robust evidence-based approach to assessing needs, realistic roles for the

funds and prioritisation of potential activity to achieve the set results

- The move to strategic interventions, a clustering of similar operations to achieve more than the sum of their parts

Others are stand-alone, with findings likely to take longer to emerge, but with significant impact on how any programmes might be designed from 2020 onwards:

- The deliberate delineation between the functions of different ESIF Funds
- The increased focus on some thematic objectives, e.g. low carbon
- The impact of relatively modest amounts of funding in remote communities and regions, and the circumstances in which such ‘catalytic’ investments are effective
- The scale of ambition around skills, particularly high level skills and opening these up to a wider range of participants
- The effectiveness and success factors in financial engineering
- The role of partnership, particularly with the MA but also between major policy agencies and lead partners is a much-enhanced feature for 2014

The evaluations planned within that Strategy are now being developed into short steering briefs with a Monitoring and Evaluation Group. This will ensure that data requirements are set out clearly from the beginning, and can therefore be met at the time of undertaking the evaluations. It will also act as a guide for the Managing Authority in ensuring that what is evaluated is what was intended, eliminating a risk of focusing only on current issues when the evaluations are undertaken.

5. INFORMATION ON THE IMPLEMENTATION OF THE YOUTH EMPLOYMENT INITIATIVE, WHERE APPLICABLE

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6. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN (ARTICLE 50(2) OF REGULATION (EU) NO 1303/2013)

(a) Issues which affect the performance of the programme and the measures taken

The 2014-20 Programme is not itself the subject of any performance issues. However, it is being impacted by work on the 2007-13 programmes for Scotland, notably the amount of resource being dedicated to closure, and to re-performing checks and addressing the audit issues underlying the current suspensions for 2007-13.

This diversion of resources led to a longer timescale in producing the Management and Control System. However, having adopted a joint iterative approach to the completion of the Management and Control System with the Audit Authority, we are now in the process of finalising the document (spring 2016). Agreement on the Management Control System will allow the Audit Authority to formalise their opinion and report that the system is fit for purpose and meets Commission requirements in June. We are working towards designation of the Managing Authority by the end of June 2016.

The timescales now involved in commencing activity under the 2014 programmes may cause some issues around absorption in the approved Strategic Interventions, as some match funding from past years' public budget will now have been lost to the programme. The Managing Authority will track whether this impacts on targets and results; and some activity has been permitted to commence at the Lead Partner's own risk in order to provide continuity between programmes. Where there are concerns over individual operations not being able to deliver in the planned timescale, the Managing Authority will consider with Lead Partners whether to extend the timescales, increase levels of activity or amend projects as required to manage this. Where a year or more of anticipated activity has not occurred, and looks likely to impact on the ability to deliver overall programme targets, the Managing Authority will consult the Joint Programme Monitoring Committee for ESI Funds on potential additional calls for activity.

whilst activity was not approved or commenced in 2015, this changed rapidly at the beginning of 2016. Broadly, any emerging differences in target values are positive, projecting an over-achievement against output and result indicators. This appears to result from changes in approach (e.g. changing from one-to-one approaches to one to many, e.g. around business leadership support; or providing additional accessible materials on line). It is not yet clear whether targets in some cases have been set too conservatively (particularly where the activity is new to the European and Domestic funding sources), and might therefore require updating during the programme cycle, and this will be monitored closely through the regular updates to the Joint Programme Monitoring Committee.

In terms of external impact, Scotland's public finances are under significant pressure, in line with most other regions in Europe. There is already some anecdotal evidence suggesting that match funding for ERDF will become increasingly scarce as the programme period continues and that joint prioritisation of areas such as innovation will

be required across public agencies to ensure the targets remain deliverable. The Managing Authority is an integrated unit of the Scottish Government and is therefore in a good position to make the required links and requests for this to happen; and equally in a good position to respond to potential changes in policy priorities.

The ongoing uncertainty in the global financial picture also has local impacts, with recent shocks to the UK, Scottish (and European) steel sector, and the closure of Scotland's largest power station. This may prove more challenging for ESF than ERDF in terms of aiding the individuals affected, but equally, there may be further impacts on investment, and a simultaneously greater need to invest in SME's to grow to fill the place of these employers in the labour recruitment market. The analysis provided for JPMC includes macro and micro economic factors and will therefore enable consideration of whether to call for additional activity if targets and results of the programme are at risk of not being delivered.

(b) An assessment of whether progress made towards targets is sufficient to ensure their fulfilment, indicating any remedial actions taken or planned, where appropriate.

For the reporting period covered in this Annual Implementation Report, there is no activity, and therefore no delivery or fulfilment of outputs, outcomes and results. As this report covers both 2014 and 2015, that is potentially two years of ERDF funding and associated results which has to be delivered in later years, within the N+3 timescales.

As noted above, the impacts of this delay are being monitored, with options including extension of project end dates, additional accelerated activity, and potential new calls for activity all available as a response if the delay appears to be impacting on the delivery of overall programme targets.

It should be reflected at the point of drafting the Annual Implementation Report that a significant amount of activity has been completed in the early months of 2016, but not formally included in this report. The Managing Authority Approval Panel assessed and approved €107 million of operations during March and April, with further assessment panels scheduled for May and June.

The assessment of projected spend, outputs, outcomes and results is still in early stages, but with some encouraging signs, for example:

- The number of enterprise supported looks to significantly exceed programme targets. This will be monitored both for duplicate enterprises being supported by multiple operations (e.g. on innovation AND leadership), as well as to make sure that any positive impacts on a business are sustainable and high-quality
- Early work on low carbon and green infrastructure projects has shown a strong pipeline of potential projects in Scotland, and a willingness to develop these to a stage where they are investment ready

As the approach in many areas of ERDF is new and ambitious, covering either new areas of activity or expecting much more from areas of investment we have previous experience of investing in using ERDF, it will be important to track these developments through regular reporting to the Joint Programme Monitoring Committee for the ESI Funds in Scotland.

7. CITIZEN'S SUMMARY

A citizen's summary of the contents of the annual and the final implementation reports shall be made public and uploaded as a separate file in the form of annex to the annual and the final implementation report

You can upload/find the Citizen's summary under General > Documents in the SFC2014 application

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

9. OPTIONAL FOR THE REPORT TO BE SUBMITTED IN 2016, NOT APPLICABLE TO OTHER LIGHT REPORTS: ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES

Table 14: Actions taken to fulfil applicable general ex-ante conditionalities

General ex-ante conditionality	Criteria not fulfilled	Actions taken	Deadline	Bodies responsible	Action completed by the deadline	Criteria fulfilled	Expected date for full implementation of remaining actions	Commentary

Table 15: Actions taken to fulfil applicable thematic ex-ante conditionalities

General ex-ante conditionality	Criteria not fulfilled	Actions taken	Deadline	Bodies responsible	Action completed by the deadline	Criteria fulfilled	Expected date for full implementation of remaining actions	Commentary

10. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS (ARTICLE 101(H) AND 111(3) OF REGULATION (EU) No 1303/2013)

10.1. Major projects

Table 12: Major projects

Project	CCI	Status of MP	Total investments	Total eligible costs	Planned notification / submission date	Date of tacit agreement / approval by Commission	Planned start of implementation (year, quarter)	Planned completion date	Priority Axis / Investment priorities	Current state of realisation — financial progress (% of expenditure certified to Commission compared to total eligible cost)	Current state of realisation — physical progress Main implementation stage of the project	Main outputs	Date of signature of first works contract	Observations

Significant problems encountered in implementing major projects and measures taken to overcome them

There are no major projects planned under the 2014-2020 programme

Any change planned in the list of major projects in the operational programme

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10.2. Joint action plans

Progress in the implementation of different stages of joint action plans

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Table 13: Joint action plans (JAP)

Title of the JAP	CCI	Stage of implementation of JAP	Total eligible costs	Total public support	OP contribution to JAP	Priority axis	Type of JAP	[Planned] submission to the Commission	[Planned] start of implementation	[Planned] completion	Main outputs and results	Total eligible expenditure certified to the Commission	Observations
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Significant problems encountered and measures taken to overcome them

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PART B
REPORTING SUBMITTED IN YEARS 2017, 2019 AND FINAL
IMPLEMENTATION REPORT

(Article 50(4), 111(3) and (4) of regulation (EU) No 1303/2013)

11. ASSESSMENT OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (ARTICLES 50(4) AND 111(4) OF REGULATION (EU) NO 1303/2013)

11.1. Information in Part A and achieving objectives of the programme (Article 50(4) of Regulation (EU) No 1303/2013)

Priority axis	1 - Strengthening Research, technological development and innovation
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Priority axis	2 - Enhancing access to, and use and quality of ICT
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Priority axis	3 - Enhancing the Competitiveness of SMEs
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Priority axis	4 - Supporting a shift towards a low carbon economy in all sectors
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Priority axis	5 - Preserving and protecting the environment and promoting resource efficiency
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Priority axis	6 - Technical Assistance
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11.2. Specific actions taken to promote equality between men and women and to prevent discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations (Articles 50(4) and 111(4), second subparagraph, (e) of Regulation (EU) No 1303/2013)

11.3. Sustainable development (Articles 50(4) and 111(4), second subparagraph, (f) of Regulation (EU) No 1303/2013)

11.4. Reporting on support used for climate change objectives (Article 50(4) of Regulation (EU) No 1303/2013)

11.5. Role of partners in the implementation of the programme

12. OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO ARTICLE 111(4), FIRST SUBPARAGRAPH, (A) AND (B), OF REGULATION (EU) No 1303/2013

12.1. Progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations

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12.2. The results of the information and publicity measures of the Funds carried out under the communication strategy

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13. ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES (ARTICLE 50(4) OF REGULATION (EU) NO 1303/2013) (MAY BE INCLUDED IN REPORT TO BE SUBMITTED IN 2016 (SEE POINT 9 ABOVE). REQUIRED IN REPORT SUBMITTED IN 2017) OPTION: PROGRESS REPORT

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME (ARTICLE 111(4), SECOND SUBPARAGRAPH, (A), (B), (C), (D), (G) AND (H), OF REGULATION (EU) No 1303/2013)

14.1. Progress in the implementation of the integrated approach to territorial development, including development of regions facing demographic challenges and permanent or natural handicaps, sustainable urban development, and community led local development under the operational programme

14.2. Progress in the implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds

14.3. Progress in the implementation of any interregional and transnational actions

14.4. Where appropriate, the contribution to macro-regional and sea basin strategies

14.5. Progress in the implementation of actions in the field of social innovation, where appropriate

14.6. Progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of poverty discrimination or social exclusion, with special regard to marginalised communities and persons with disabilities, long term unemployment and young people not in employment including, where appropriate, the financial resources used

15. FINANCIAL INFORMATION AT PRIORITY AXIS AND PROGRAMME LEVEL (ARTICLES 21(2) AND 22(7) OF REGULATION (EU) No 1303/2013)

16. SMART, SUSTAINABLE AND INCLUSIVE GROWTH (OPTION PROGRESS REPORT)SMART, SUSTAINABLE AND INCLUSIVE GROWTH (OPTION PROGRESS REPORT)

Information on and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.Information on and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.

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17. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN — PERFORMANCE FRAMEWORK (ARTICLE 50(2) OF REGULATION (EU) No 1303/2013)

Where the assessment of progress made with regard to the milestones and targets set out in the performance framework demonstrates that certain milestones and targets have not been achieved, Member States should outline the underlying reasons for failure to achieve these milestones in the report of 2019 (for milestones) and in the final implementation report (for targets).

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18. YOUTH EMPLOYMENT INITIATIVE (ARTICLE 19(4) AND (6) OF REGULATION (EU) No 1304/2013 (WHERE APPLICABLE))

The report submitted in 2019 shall set out and assess the quality of employment offers received by YEI participants, including disadvantaged persons, those from marginalised communities and those leaving education without qualifications. The report shall also set out and assess their progress in continuing education, finding sustainable and decent jobs, or moving into apprenticeships or quality traineeships.

The report shall set out the main findings of evaluations assessing the effectiveness, efficiency and impact of joint support from the European Social Fund and the specific allocation for YEI including for the implementation of the Youth Guarantee.

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Documents

Document title	Document type	Document date	Local reference	Commission reference	Checksum	Files	Sent date	Sent By
Scottish Government report on the implementation of financial instruments	Report on the implementation of financial instruments	May 6, 2016			3235715023	Scottish Government report on the implementation of financial instruments		
Scottish Government Managing Authority Citizens' Summary 2015	Citizens' summary	May 25, 2016			760597791	Scottish Government Managing Authority Citizens' Summary 2015		

Checksum on all structured data: 503747375