

Annual and final implementation reports for the Investment for growth and jobs goal

PART A

IDENTIFICATION OF THE ANNUAL/FINAL IMPLEMENTATION REPORT

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2. OVERVIEW OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (ARTICLE 50(2) AND 111(3)(A) OF REGULATION (EU) NO 1303/2013)

2.1. Key information on the implementation of the operational programme for the year concerned, including on financial instruments, with relation to the financial and indicator data.

The Operational Programme for the European Regional Development Fund was approved by the Commission in December 2014. The Operational Programme has a two-stage application process, with the first, Strategic Interventions (large scale proposals for work and funding aimed at a cohesive set of activity and results) setting the direction; and the second, operations providing the legal basis for grant awards and monitoring of activity.

The Managing Authority invited Strategic Intervention Applications across the ERDF programme in March 2015, with applications received and approved across all Priority Axes and Investment Priorities. In total, strategic interventions for €226.71 million (£180.65 million) have been approved for the first half of the programme as follows:

- For both innovation and competitiveness, the central idea is to identify and work with over 1,500 currently unsupported SME's who have significant regional or national growth potential to help them achieve it, including connecting 50 SME's to Horizon 2020 projects in the EU and supporting internationalisation. Between interventions led by the enterprise agencies, Scottish Funding Council and local authorities, the Funds are now committed to supporting over 2,000 SME's in this way. These interventions are supported with €106.54 million (£84.9 million) from ERDF.
- the Low Carbon Infrastructure Transition Fund (LCITF) will dedicate €45.7 million (£33 million) to support private, public and community projects across Scotland to bring low carbon proposals to a stage where they are 'investment ready', thereby boosting external investment in low carbon technology and development. Two of the first seven projects being assisted are community led.
- Alongside the LCITF sits the plans for Resource Efficiency and Circular Economy, which aims to address resource efficiency in target sectors including manufacturing and food and drink. This intervention is being supported with €42.6 million (£30.7 million) ERDF. These two interventions aim both at leveraging in much wider investment than is available within ESI Funds, and at creating jobs in sustainable growth sectors in Scotland.
- In addition, and focussed on encouraging a more active population whilst securing carbon reductions, Low Carbon and Active Travel with support of €19.3 million (£13.9 million) will help reduce greenhouse gas emissions from transport, improving air quality and support and encourage people to choose active travel for short journeys and develop and roll-out a National Smart Ticketing Scheme to enable simpler, more efficient public transport journeys.
- Complementing this, with €11.4 million (£8.2 million) of ERDF support, the Green Infrastructure programme of strategic improvements to the quantity, quality and accessibility of green infrastructure in urban Scotland targets our most deprived communities through the improvement and creation of green space to help deliver successful places, address inequalities, provide

opportunities for better health and support sustainable economic growth.

Despite this significant activity in 2015, results here are reported for approved operations (stage 2), as the legal vehicle. As no operations were approved during 2014 or 2015, there is little to report in the way of outcomes and results, and this is reflected in the main reporting tables. However, at the time of drafting, this is no longer a fair reflection of the European Regional Development Fund in Scotland. During March and April, operations worth €107 million (£83 m) were approved, with further approval rounds to follow in May and June. There is good reason for stating that ERDF programme will go on to deliver against targets. Early indications are that outputs and results may have been conservative in some areas for example, the operations approved in early 2016 will, if they deliver as intended, exceed targets on a number of objectives including the number of enterprises supported; and the number of businesses being supported to develop new products. With targets exceeded this early on, however, it will be important to track the quality of the outputs and results to ensure the right long-term impact on SME's and their willingness to invest in themselves.

A number of external factors will also play in across the programming period. Both the Smart and the Sustainable packages to some extent rely on co-investment with the private sector and this is likely to be significantly impacted by tougher global financial conditions, including slowdown in China and Sterling appreciation affecting export opportunities. The fall in global commodity prices has also impacted on steel and paper production in Scotland and the sustained fall in oil prices has had a material impact on the broader energy sector and beyond. In particular, the impact on the profitability of the sector has led to a substantial reduction in investment intentions, and a requirement to reduce operating expenditure within the Oil & Gas sector. This in turn impacts on the amounts available for investment in future technologies like low carbon or energy efficient production, and investment in growing SME's in these sectors; as well as having a knock on impact on the ESF programme through increased unemployment.

3. IMPLEMENTATION OF THE PRIORITY AXIS (ARTICLE 50(2) OF REGULATION (EU) NO 1303/2013)

3.1. Overview of the implementation

| ID | Priority axis | Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems |
|----|--|---|
| 1 | Strengthening Research, technological development and innovation | Scotland's two enterprise agencies will work with SMEs to support innovation through connecting SME's to existing research bases. This activity has not yet commenced, but is planned as a collaborative approach including Scottish Funding Council who are responsible for funding and setting the direction for Innovation Centres and academic-level research in Scotland's universities. |
| 2 | Enhancing access to, and use and quality of ICT | <p>ERDF delivery of broadband depends on an area not being included in the scope of the domestic delivery contract. Negotiations to de-scope have been difficult, and present a risk to some areas as once de-scoped an area cannot be 're-scoped', and there is considerable risk for some of the communities involved.</p> <p>Managing Authority continues to work with Lead Partners and local actors to identify suitable solutions for areas in advance of decision to de-scope; and to monitor progress against the 2017 milestone of contracts let.</p> |
| 3 | Enhancing the Competitiveness of SMEs | Local areas have been impacted by shocks to the steel industry and the closure of a major power station, as well as structural reorganisation of the existing business support services. However, all areas of Scotland are expected to have operations approved to deliver business leadership, growth and internationalisation support during early 2016, with procurement exercises and delivery to follow shortly thereafter. As reported in the additional document regarding Financial Instruments, this is also expected to start activity in 2016, and will be reported on more fully next year. |
| 4 | Supporting a shift towards a low carbon economy in all sectors | This area of support commenced at risk in 2015, prior to formal approval and focused initially on early-stage project support. This has already led to two significant findings, one that this is a good candidate area of the programme to trial repayable assistance (this will be suggested to the Monitoring Committee as a programme change during 2016); the other that there is a risk that large demonstrator projects will not come forward, and that this intervention will therefore not have the full intended impact including changing market conditions for investment in low carbon technology for the long-term. This is mitigated by a very strong LCITP management board who meet and review progress quarterly. This has resulted in the SG issuing calls for certain types of technology to come forward in order to encourage the necessary demonstrator projects. Formal approval is |

| ID | Priority axis | Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems |
|----|---|--|
| | | <p>expected in early 2016.</p> <p>For sustainable transport the activity has still to commence. The main risk is that due to time constraints this will impact on the scale of the work that can be completed by the programme mid-term review point.</p> |
| 5 | Preserving and protecting the environment and promoting resource efficiency | Resource efficient circular economy and green infrastructure operations are quite distinct from each other but in both cases their success relies ultimately on shifting behaviour on the part of potential investors in resource efficiency and individuals in making greater use of the green space being developed under the GI initiative. Although formal approval was not granted by the end of 2015 (it was in March 2016), activity is already under way to implement the measures, establish a ‘pool’ of projects and demonstrate progress in time for the mid-term review. |
| 6 | Technical Assistance | There has been no provision of funding for technical assistance during this reporting period. |

3.2. Common and programme specific indicators (Article 50(2) of Regulation (EU) No 1303/2013)

Priority axes other than technical assistance

| | |
|---------------------|--|
| Priority axis | 1 - Strengthening Research, technological development and innovation |
| Investment Priority | 1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies |

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|---|------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| F | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | Enterprises | Transition | 400.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | Enterprises | Transition | 400.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | Enterprises | More developed | 800.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | Enterprises | More developed | 800.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | Enterprises | Transition | 50.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | Enterprises | Transition | 50.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO28 | Research, Innovation: Number of enterprises supported to | Enterprises | More developed | 175.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet |

| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|---|-------------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| | | introduce new to the market products | | | | | | | | | commenced |
| S | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | Enterprises | More developed | 175.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | Enterprises | Transition | 250.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | Enterprises | Transition | 250.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | Enterprises | More developed | 800.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | Enterprises | More developed | 800.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO11 | Innovative Services in Cities Developed | whole number | Transition | 3.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO11 | Innovative Services in Cities Developed | whole number | Transition | 3.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO11 | Innovative Services in Cities Developed | whole number | More developed | 17.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO11 | Innovative Services in Cities Developed | whole number | More developed | 17.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO12 | Data sets opened for innovation | data set (whole number) | Transition | 15.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO12 | Data sets opened for innovation | data set (whole number) | Transition | 15.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO12 | Data sets opened for innovation | data set (whole number) | More developed | 135.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO12 | Data sets opened for innovation | data set (whole number) | More developed | 135.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

(1) S=Selected operations, F=Fully implemented operations

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|---|------------|----------|------------|
| F | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | 0.00 | 0.00 | 0.00 |
| S | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | 0.00 | 0.00 | 0.00 |
| F | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | 0.00 | 0.00 | 0.00 |
| S | CO26 | Research, Innovation: Number of enterprises cooperating with research institutions | 0.00 | 0.00 | 0.00 |
| F | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | 0.00 | 0.00 | 0.00 |
| S | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | 0.00 | 0.00 | 0.00 |
| F | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | 0.00 | 0.00 | 0.00 |
| S | CO28 | Research, Innovation: Number of enterprises supported to introduce new to the market products | 0.00 | 0.00 | 0.00 |
| F | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | 0.00 | 0.00 | 0.00 |
| S | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | 0.00 | 0.00 | 0.00 |
| F | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | 0.00 | 0.00 | 0.00 |
| S | CO29 | Research, Innovation: Number of enterprises supported to introduce new to the firm products | 0.00 | 0.00 | 0.00 |

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|---|------------|----------|------------|
| F | PO11 | Innovative Services in Cities Developed | 0.00 | 0.00 | 0.00 |
| S | PO11 | Innovative Services in Cities Developed | 0.00 | 0.00 | 0.00 |
| F | PO11 | Innovative Services in Cities Developed | 0.00 | 0.00 | 0.00 |
| S | PO11 | Innovative Services in Cities Developed | 0.00 | 0.00 | 0.00 |
| F | PO12 | Data sets opened for innovation | 0.00 | 0.00 | 0.00 |
| S | PO12 | Data sets opened for innovation | 0.00 | 0.00 | 0.00 |
| F | PO12 | Data sets opened for innovation | 0.00 | 0.00 | 0.00 |
| S | PO12 | Data sets opened for innovation | 0.00 | 0.00 | 0.00 |

| | |
|---------------------|--|
| Priority axis | 1 - Strengthening Research, technological development and innovation |
| Investment Priority | 1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies |
| Specific objective | SO1 - Increase business commercialisation and investment in RTDI, particularly in sectors identified through Smart Specialisation |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2015 Total | 2015 Qualitative | Observations |
|------|---|------------------|--------------------|----------------|---------------|-------------------|------------|------------------|-----------------------------------|
| PR11 | Number of innovative active enterprises | enterprises | | 11,000.00 | 2013 | 12,600.00 | 0.00 | | operations have not yet commenced |
| PR12 | BERD Expenditure | GBP | | 37,000,000.00 | 2013 | 363,000,000.00 | 0.00 | | not applicable at this time |

| ID | Indicator | 2014 Total | 2014 Qualitative |
|------|---|------------|------------------|
| PR11 | Number of innovative active enterprises | 0.00 | |
| PR12 | BERD Expenditure | 0.00 | |

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|---------------------|---|
| Priority axis | 2 - Enhancing access to, and use and quality of ICT |
| Investment Priority | 2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy |

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|---|------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| F | CO10 | ICT Infrastructure: Additional households with broadband access of at least 30 Mbps | Households | Transition | 11,833.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO10 | ICT Infrastructure: Additional households with broadband access of at least 30 Mbps | Households | Transition | 11,833.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO21 | additional businesses with broadband access of at least 30 Mbps | businesses | Transition | 1,530.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO21 | additional businesses with broadband access of at least 30 Mbps | businesses | Transition | 1,530.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

(1) S=Selected operations, F=Fully implemented operations

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|---|------------|----------|------------|
| F | CO10 | ICT Infrastructure: Additional households with broadband access of at least 30 Mbps | 0.00 | 0.00 | 0.00 |
| S | CO10 | ICT Infrastructure: Additional households with broadband access of at least 30 Mbps | 0.00 | 0.00 | 0.00 |
| F | PO21 | additional businesses with broadband access of at least 30 Mbps | 0.00 | 0.00 | 0.00 |
| S | PO21 | additional businesses with broadband access of at least 30 Mbps | 0.00 | 0.00 | 0.00 |

| | |
|---------------------|---|
| Priority axis | 2 - Enhancing access to, and use and quality of ICT |
| Investment Priority | 2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy |
| Specific objective | SO2 - Enable individuals and businesses throughout Scotland to access digital services and business opportunities |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2015 Total | 2015 Qualitative | Observations |
|------|--|------------------|--------------------|----------------|---------------|-------------------|------------|------------------|-----------------------------------|
| PR21 | No of high speed broadband residential and business subscriptions in the Highlands and Islands | subscriptions | Transition | 24,499.00 | 2014 | 89,087.00 | 0.00 | | operations have not yet commenced |

| ID | Indicator | 2014 Total | 2014 Qualitative |
|------|--|------------|------------------|
| PR21 | No of high speed broadband residential and business subscriptions in the Highlands and Islands | 0.00 | |

| | |
|---------------------|---|
| Priority axis | 3 - Enhancing the Competitiveness of SMEs |
| Investment Priority | 3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes |

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

| (I) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|--|------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| F | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | Transition | 2,600.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | Transition | 2,600.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | More developed | 9,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO01 | Productive investment: Number of enterprises receiving support | Enterprises | More developed | 9,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO02 | Productive investment: Number of enterprises receiving grants | Enterprises | Transition | 250.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO02 | Productive investment: Number of enterprises receiving grants | Enterprises | Transition | 250.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO02 | Productive investment: Number of enterprises receiving grants | Enterprises | More developed | 3,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO02 | Productive investment: Number of enterprises receiving grants | Enterprises | More developed | 3,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | Enterprises | Transition | 15.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | Enterprises | Transition | 15.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | Enterprises | More developed | 500.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | Enterprises | More developed | 500.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|---|-----------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| F | CO04 | Productive investment: Number of enterprises receiving non-financial support | Enterprises | Transition | 2,350.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO04 | Productive investment: Number of enterprises receiving non-financial support | Enterprises | Transition | 2,350.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO04 | Productive investment: Number of enterprises receiving non-financial support | Enterprises | More developed | 9,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO04 | Productive investment: Number of enterprises receiving non-financial support | Enterprises | More developed | 9,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO05 | Productive investment: Number of new enterprises supported | Enterprises | Transition | 250.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO05 | Productive investment: Number of new enterprises supported | Enterprises | Transition | 250.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO05 | Productive investment: Number of new enterprises supported | Enterprises | More developed | 700.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO05 | Productive investment: Number of new enterprises supported | Enterprises | More developed | 700.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | EUR | Transition | 3,600,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | EUR | Transition | 3,600,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | EUR | More developed | 120,000,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | EUR | More developed | 120,000,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO08 | Productive investment: Employment increase in supported enterprises | Full time equivalents | Transition | 400.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO08 | Productive investment: Employment increase in supported enterprises | Full time equivalents | Transition | 400.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|---|-----------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| F | CO08 | Productive investment: Employment increase in supported enterprises | Full time equivalents | More developed | 9,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO08 | Productive investment: Employment increase in supported enterprises | Full time equivalents | More developed | 9,000.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

(1) S=Selected operations, F=Fully implemented operations

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|--|------------|----------|------------|
| F | CO01 | Productive investment: Number of enterprises receiving support | 0.00 | 0.00 | 0.00 |
| S | CO01 | Productive investment: Number of enterprises receiving support | 0.00 | 0.00 | 0.00 |
| F | CO01 | Productive investment: Number of enterprises receiving support | 0.00 | 0.00 | 0.00 |
| S | CO01 | Productive investment: Number of enterprises receiving support | 0.00 | 0.00 | 0.00 |
| F | CO02 | Productive investment: Number of enterprises receiving grants | 0.00 | 0.00 | 0.00 |
| S | CO02 | Productive investment: Number of enterprises receiving grants | 0.00 | 0.00 | 0.00 |
| F | CO02 | Productive investment: Number of enterprises receiving grants | 0.00 | 0.00 | 0.00 |
| S | CO02 | Productive investment: Number of enterprises receiving grants | 0.00 | 0.00 | 0.00 |
| F | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | 0.00 | 0.00 | 0.00 |
| S | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | 0.00 | 0.00 | 0.00 |
| F | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | 0.00 | 0.00 | 0.00 |
| S | CO03 | Productive investment: Number of enterprises receiving financial support other than grants | 0.00 | 0.00 | 0.00 |
| F | CO04 | Productive investment: Number of enterprises receiving non- | 0.00 | 0.00 | 0.00 |

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|---|------------|----------|------------|
| | | financial support | | | |
| S | CO04 | Productive investment: Number of enterprises receiving non-financial support | 0.00 | 0.00 | 0.00 |
| F | CO04 | Productive investment: Number of enterprises receiving non-financial support | 0.00 | 0.00 | 0.00 |
| S | CO04 | Productive investment: Number of enterprises receiving non-financial support | 0.00 | 0.00 | 0.00 |
| F | CO05 | Productive investment: Number of new enterprises supported | 0.00 | 0.00 | 0.00 |
| S | CO05 | Productive investment: Number of new enterprises supported | 0.00 | 0.00 | 0.00 |
| F | CO05 | Productive investment: Number of new enterprises supported | 0.00 | 0.00 | 0.00 |
| S | CO05 | Productive investment: Number of new enterprises supported | 0.00 | 0.00 | 0.00 |
| F | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | 0.00 | 0.00 | 0.00 |
| S | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | 0.00 | 0.00 | 0.00 |
| F | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | 0.00 | 0.00 | 0.00 |
| S | CO07 | Productive investment: Private investment matching public support to enterprises (non-grants) | 0.00 | 0.00 | 0.00 |
| F | CO08 | Productive investment: Employment increase in supported enterprises | 0.00 | 0.00 | 0.00 |
| S | CO08 | Productive investment: Employment increase in supported enterprises | 0.00 | 0.00 | 0.00 |
| F | CO08 | Productive investment: Employment increase in supported enterprises | 0.00 | 0.00 | 0.00 |

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|---|------------|----------|------------|
| | | supported enterprises | | | |
| S | CO08 | Productive investment: Employment increase in supported enterprises | 0.00 | 0.00 | 0.00 |

| | |
|---------------------|---|
| Priority axis | 3 - Enhancing the Competitiveness of SMEs |
| Investment Priority | 3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes |
| Specific objective | SO3 - support SME internationalisation as a route to growth and increasing employment, particularly in Smart Specialisation sectors and regionally important sectors in fragile areas |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2015 Total | 2015 Qualitative | Observations |
|------|--|------------------|--------------------|----------------|---------------|-------------------|------------|------------------|-----------------------------------|
| PR31 | number of SMEs exporting | SMEs | | 44,064.00 | 2012 | 94,906.00 | 0.00 | | operations have not yet commenced |
| PR32 | Employment in Smart Specialisation Sectors | FTE equivalents | | 317,250.00 | 2012 | 368,067.00 | 0.00 | | operations have not yet commenced |

| ID | Indicator | 2014 Total | 2014 Qualitative |
|------|--|------------|------------------|
| PR31 | number of SMEs exporting | 0.00 | |
| PR32 | Employment in Smart Specialisation Sectors | 0.00 | |

| | |
|---------------------|--|
| Priority axis | 4 - Supporting a shift towards a low carbon economy in all sectors |
| Investment Priority | 4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures |

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|--|------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| F | PO41 | Smart Ticketing schemes created | scheme | | 1.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO41 | Smart Ticketing schemes created | scheme | | 1.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO42 | Low carbon travel and transport hubs supported | Hubs | Transition | 5.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO42 | Low carbon travel and transport hubs supported | Hubs | Transition | 5.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO42 | Low carbon travel and transport hubs supported | Hubs | More developed | 15.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO42 | Low carbon travel and transport hubs supported | Hubs | More developed | 15.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO43 | No of ULEV registrations in Scotland | registrations | | 100.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO43 | No of ULEV registrations in Scotland | registrations | | 100.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO44 | cycle networks or walking paths constructed | Km | Transition | 20.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO44 | cycle networks or walking paths constructed | Km | Transition | 20.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO44 | cycle networks or walking paths constructed | Km | More developed | 80.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO44 | cycle networks or walking paths constructed | Km | More developed | 80.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

(1) S=Selected operations, F=Fully implemented operations

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|--|------------|----------|------------|
| F | PO41 | Smart Ticketing schemes created | 0.00 | 0.00 | 0.00 |
| S | PO41 | Smart Ticketing schemes created | 0.00 | 0.00 | 0.00 |
| F | PO42 | Low carbon travel and transport hubs supported | 0.00 | 0.00 | |
| S | PO42 | Low carbon travel and transport hubs supported | 0.00 | 0.00 | 0.00 |
| F | PO42 | Low carbon travel and transport hubs supported | 0.00 | 0.00 | 0.00 |
| S | PO42 | Low carbon travel and transport hubs supported | 0.00 | 0.00 | 0.00 |
| F | PO43 | No of ULEV registrations in Scotland | 0.00 | 0.00 | 0.00 |
| S | PO43 | No of ULEV registrations in Scotland | 0.00 | 0.00 | 0.00 |
| F | PO44 | cycle networks or walking paths constructed | 0.00 | 0.00 | 0.00 |
| S | PO44 | cycle networks or walking paths constructed | 0.00 | 0.00 | 0.00 |
| F | PO44 | cycle networks or walking paths constructed | 0.00 | 0.00 | 0.00 |
| S | PO44 | cycle networks or walking paths constructed | 0.00 | 0.00 | 0.00 |

| | |
|---------------------|--|
| Priority axis | 4 - Supporting a shift towards a low carbon economy in all sectors |
| Investment Priority | 4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures |
| Specific objective | SO4e - Increase the number of journeys undertaken by public and active travel or low energy vehicles |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2015 Total | 2015 Qualitative | Observations |
|------|--|-------------------------|--------------------|----------------|---------------|-------------------|------------|------------------|--|
| PR41 | Proportion of journeys to work undertaken by public or active travel | % of journeys | | 30.70 | 2013 | 32.20 | 0.00 | | not applicable at this time as operations have not yet commenced |
| PR42 | Journeys undertaken using smart ticketing | journeys (whole number) | | 146,000,000.00 | 2014 | 276,800,000.00 | 0.00 | | operations have not yet commenced |

| ID | Indicator | 2014 Total | 2014 Qualitative |
|------|--|------------|------------------|
| PR41 | Proportion of journeys to work undertaken by public or active travel | 0.00 | |
| PR42 | Journeys undertaken using smart ticketing | 0.00 | |

| | |
|---------------------|---|
| Priority axis | 4 - Supporting a shift towards a low carbon economy in all sectors |
| Investment Priority | 4f - Promoting research and innovation in, and adoption of, low-carbon technologies |

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|---|------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | Transition | 10,667.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | Transition | 10,667.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | More developed | 213,334.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | More developed | 213,334.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO45 | Low carbon projects receiving non- financial support | projects | Transition | 122.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO45 | Low carbon projects receiving non- financial support | projects | Transition | 122.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO45 | Low carbon projects receiving non- financial support | projects | More developed | 623.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO45 | Low carbon projects receiving non- financial support | projects | More developed | 623.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO46 | Low carbon projects receiving financial support | projects | Transition | 41.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO46 | Low carbon projects receiving financial support | projects | Transition | 41.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO46 | Low carbon projects receiving financial support | projects | More developed | 209.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO46 | Low carbon projects receiving financial support | projects | More developed | 209.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO47 | Low carbon change leader/demonstration projects delivered | projects | Transition | 5.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|---|------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| S | PO47 | Low carbon change leader/demonstration projects delivered | projects | Transition | 5.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO47 | Low carbon change leader/demonstration projects delivered | projects | More developed | 20.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO47 | Low carbon change leader/demonstration projects delivered | projects | More developed | 20.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

(1) S=Selected operations, F=Fully implemented operations

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|--|------------|----------|------------|
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 | 0.00 | 0.00 |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 | 0.00 | 0.00 |
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 | 0.00 | 0.00 |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 | 0.00 | 0.00 |
| F | PO45 | Low carbon projects receiving non- financial support | 0.00 | 0.00 | 0.00 |
| S | PO45 | Low carbon projects receiving non- financial support | 0.00 | 0.00 | 0.00 |
| F | PO45 | Low carbon projects receiving non- financial support | 0.00 | 0.00 | 0.00 |
| S | PO45 | Low carbon projects receiving non- financial support | 0.00 | 0.00 | 0.00 |
| F | PO46 | Low carbon projects receiving financial support | 0.00 | 0.00 | 0.00 |
| S | PO46 | Low carbon projects receiving financial support | 0.00 | 0.00 | 0.00 |
| F | PO46 | Low carbon projects receiving financial support | 0.00 | 0.00 | 0.00 |
| S | PO46 | Low carbon projects receiving financial support | 0.00 | 0.00 | 0.00 |
| F | PO47 | Low carbon change leader/demonstration projects | 0.00 | 0.00 | 0.00 |

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|---|------------|----------|------------|
| | | delivered | | | |
| S | PO47 | Low carbon change leader/demonstration projects delivered | 0.00 | 0.00 | 0.00 |
| F | PO47 | Low carbon change leader/demonstration projects delivered | 0.00 | 0.00 | 0.00 |
| S | PO47 | Low carbon change leader/demonstration projects delivered | 0.00 | 0.00 | 0.00 |

| | |
|---------------------|---|
| Priority axis | 4 - Supporting a shift towards a low carbon economy in all sectors |
| Investment Priority | 4f - Promoting research and innovation in, and adoption of, low-carbon technologies |
| Specific objective | SO4f - Encourage investment in low carbon technology and development to exploit Scotland's vast potential |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2015 Total | 2015 Qualitative | Observations |
|------|--|------------------|--------------------|----------------|---------------|-------------------|------------|------------------|-----------------------------------|
| PR43 | Low carbon investment levered into Scotland by private and institutional investors (EUR) | Euros per annum | | 28,500,000.00 | 2013 | 59,000,000.00 | 0.00 | | operations have not yet commenced |
| SO44 | Employment in low carbon sector in Scotland | FTEs | | 78,000.00 | 2012 | 81,900.00 | 0.00 | | operations have not yet commenced |

| ID | Indicator | 2014 Total | 2014 Qualitative |
|------|--|------------|------------------|
| PR43 | Low carbon investment levered into Scotland by private and institutional investors (EUR) | 0.00 | |
| SO44 | Employment in low carbon sector in Scotland | 0.00 | |

| | |
|---------------------|---|
| Priority axis | 5 - Preserving and protecting the environment and promoting resource efficiency |
| Investment Priority | 6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure |

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|---|------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| F | PO51 | Projects delivering new/improved green infrastructure | projects | Transition | 1.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO51 | Projects delivering new/improved green infrastructure | projects | Transition | 1.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO51 | Projects delivering new/improved green infrastructure | projects | More developed | 14.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO51 | Projects delivering new/improved green infrastructure | projects | More developed | 14.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO52 | Greenspace created or enhanced in urban areas | Ha | Transition | 5.10 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO52 | Greenspace created or enhanced in urban areas | Ha | Transition | 5.10 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO52 | Greenspace created or enhanced in urban areas | Ha | More developed | 137.50 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO52 | Greenspace created or enhanced in urban areas | Ha | More developed | 137.50 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

(1) S=Selected operations, F=Fully implemented operations

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|---|------------|----------|------------|
| F | PO51 | Projects delivering new/improved green infrastructure | 0.00 | 0.00 | 0.00 |
| S | PO51 | Projects delivering | 0.00 | 0.00 | 0.00 |

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|---|------------|----------|------------|
| | | new/improved green infrastructure | | | |
| F | PO51 | Projects delivering new/improved green infrastructure | 0.00 | 0.00 | 0.00 |
| S | PO51 | Projects delivering new/improved green infrastructure | 0.00 | 0.00 | 0.00 |
| F | PO52 | Greenspace created or enhanced in urban areas | 0.00 | 0.00 | 0.00 |
| S | PO52 | Greenspace created or enhanced in urban areas | 0.00 | 0.00 | 0.00 |
| F | PO52 | Greenspace created or enhanced in urban areas | 0.00 | 0.00 | 0.00 |
| S | PO52 | Greenspace created or enhanced in urban areas | 0.00 | 0.00 | 0.00 |

| | |
|---------------------|---|
| Priority axis | 5 - Preserving and protecting the environment and promoting resource efficiency |
| Investment Priority | 6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure |
| Specific objective | SO5d - To improve the quality, accessibility and usage of greenspace in urban environments and exploit the opportunities consequently for positive environmental, economic and social benefit |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2015 Total | 2015 Qualitative | Observations |
|------|---|------------------|--------------------|----------------|---------------|-------------------|------------|------------------|-----------------------------------|
| PR51 | Positive rating of satisfaction with the quality of green infrastructure in urban areas in Scotland | % of respondents | | 74.00 | 2013 | 80.00 | 0.00 | | operations have not yet commenced |

| ID | Indicator | 2014 Total | 2014 Qualitative |
|------|---|------------|------------------|
| PR51 | Positive rating of satisfaction with the quality of green infrastructure in urban areas in Scotland | 0.00 | |

| | |
|---------------------|---|
| Priority axis | 5 - Preserving and protecting the environment and promoting resource efficiency |
| Investment Priority | 6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors |

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
|-----|------|---|------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | Transition | 4,544.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | Transition | 4,544.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | More developed | 18,174.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | Tonnes of CO2eq | More developed | 18,174.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO53 | Organisations and enterprises receiving non-financial support | organisations | Transition | 714.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO53 | Organisations and enterprises receiving non-financial support | organisations | Transition | 714.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO53 | Organisations and enterprises receiving non-financial support | organisations | More developed | 4,046.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO53 | Organisations and enterprises receiving non-financial support | organisations | More developed | 4,046.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO54 | Organisations and enterprises receiving financial support | organisations | Transition | 72.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO54 | Organisations and enterprises receiving financial support | organisations | Transition | 72.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | PO54 | Organisations and enterprises receiving financial support | organisations | More developed | 408.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | PO54 | Organisations and enterprises receiving financial support | organisations | More developed | 408.00 | | | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

(1) S=Selected operations, F=Fully implemented operations

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|------|---|------------|----------|------------|
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 | 0.00 | 0.00 |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 | 0.00 | 0.00 |
| F | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 | 0.00 | 0.00 |
| S | CO34 | GHG reduction: Estimated annual decrease of GHG | 0.00 | 0.00 | 0.00 |
| F | PO53 | Organisations and enterprises receiving non-financial support | 0.00 | 0.00 | 0.00 |
| S | PO53 | Organisations and enterprises receiving non-financial support | 0.00 | 0.00 | 0.00 |
| F | PO53 | Organisations and enterprises receiving non-financial support | 0.00 | 0.00 | 0.00 |
| S | PO53 | Organisations and enterprises receiving non-financial support | 0.00 | 0.00 | 0.00 |
| F | PO54 | Organisations and enterprises receiving financial support | 0.00 | 0.00 | 0.00 |
| S | PO54 | Organisations and enterprises receiving financial support | 0.00 | 0.00 | 0.00 |
| F | PO54 | Organisations and enterprises receiving financial support | 0.00 | 0.00 | 0.00 |
| S | PO54 | Organisations and enterprises receiving financial support | 0.00 | 0.00 | 0.00 |

| | |
|---------------------|---|
| Priority axis | 5 - Preserving and protecting the environment and promoting resource efficiency |
| Investment Priority | 6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors |
| Specific objective | SO5f1 - Making businesses in supported sectors more competitive through increased resource efficiency |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2015 Total | 2015 Qualitative | Observations |
|------|---|------------------|--------------------|----------------|---------------|-------------------|------------|------------------|-----------------------------------|
| PR61 | Savings from resource efficiency investments in supported sectors | GBP, per annum | | 6,000,000.00 | 2013 | 232,152,000.00 | 0.00 | | operations have not yet commenced |

| ID | Indicator | 2014 Total | 2014 Qualitative |
|------|---|------------|------------------|
| PR61 | Savings from resource efficiency investments in supported sectors | 0.00 | |

| | |
|---------------------|---|
| Priority axis | 5 - Preserving and protecting the environment and promoting resource efficiency |
| Investment Priority | 6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors |
| Specific objective | SO5f2 - Grow re-processing and re-manufacturing industries through the reuse of waste products. |

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

| ID | Indicator | Measurement unit | Category of region | Baseline value | Baseline year | Target value 2023 | 2015 Total | 2015 Qualitative | Observations |
|------|--------------------------------|------------------|--------------------|----------------|---------------|-------------------|------------|------------------|-----------------------------------|
| PR62 | employment in circular economy | FTEs | | 7,200.00 | 2013 | 8,280.00 | 0.00 | | operations have not yet commenced |

| ID | Indicator | 2014 Total | 2014 Qualitative |
|------|--------------------------------|------------|------------------|
| PR62 | employment in circular economy | 0.00 | |

Priority axes for technical assistance

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF)

| Priority axis | | 6 - Technical Assistance | | | | | | | | | |
|---------------|----|--|------------------|--------------------|---------------------------|-------------------------|---------------------------|------------|----------|------------|-----------------------------------|
| (1) | ID | Indicator | Measurement unit | Category of region | Target value (2023) total | Target value (2023) men | Target value (2023) women | 2015 Total | 2015 Men | 2015 Women | Observations |
| F | 61 | Number of FTE's whose salaries are co-financed by TA | person | | 90.00 | 40.00 | 50.00 | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | 61 | Number of FTE's whose salaries are co-financed by TA | person | | 90.00 | 40.00 | 50.00 | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | 62 | communications activities to be undertaken and co-financed by TA | whole number | | 7.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | 62 | communications activities to be undertaken and co-financed by TA | whole number | | 7.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| F | 63 | Thematic evaluations to be co-financed by TA | whole number | | 5.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | operations have not yet commenced |
| S | 63 | Thematic evaluations to be co-financed by TA | whole number | | 5.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | operations have not yet commenced |

(1) S=Selected operations, F=Fully implemented operations

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|----|--|------------|----------|------------|
| F | 61 | Number of FTE's whose salaries are co-financed by TA | 0.00 | 0.00 | 0.00 |
| S | 61 | Number of FTE's whose salaries are co-financed by TA | 0.00 | 0.00 | 0.00 |
| F | 62 | communications activities to be undertaken and co-financed by TA | 0.00 | 0.00 | 0.00 |
| S | 62 | communications activities to be undertaken and co-financed by TA | 0.00 | 0.00 | 0.00 |

| (1) | ID | Indicator | 2014 Total | 2014 Men | 2014 Women |
|-----|----|--|------------|----------|------------|
| F | 63 | Thematic evaluations to be co-financed by TA | 0.00 | 0.00 | 0.00 |
| S | 63 | Thematic evaluations to be co-financed by TA | 0.00 | 0.00 | 0.00 |

Table 3B: Number of enterprises supported by the operational programme net of multiple support to the same enterprises

| Indicator | Number of enterprises supported by OP net of multiple support |
|---|---|
| CO01 - Productive investment: Number of enterprises receiving support | 0 |
| CO02 - Productive investment: Number of enterprises receiving grants | 0 |
| CO03 - Productive investment: Number of enterprises receiving financial support other than grants | 0 |
| CO04 - Productive investment: Number of enterprises receiving non-financial support | 0 |
| CO05 - Productive investment: Number of new enterprises supported | 0 |

Table 5: Information on the milestones and targets defined in the performance framework

| Priority axis | Ind type | ID | Indicator | Measurement unit | Fund | Category of region | 2015 Cum total | 2015 Cum men | 2015 Cum women | 2015 Annual total | 2015 Annual total men | 2015 Annual total women |
|---------------|----------|----|-----------|------------------|------|--------------------|----------------|--------------|----------------|-------------------|-----------------------|-------------------------|
|---------------|----------|----|-----------|------------------|------|--------------------|----------------|--------------|----------------|-------------------|-----------------------|-------------------------|

| Priority axis | Ind type | ID | Indicator | Measurement unit | Fund | Category of region | 2014 Cum total | Observations | | | | |
|---------------|----------|----|-----------|------------------|------|--------------------|----------------|--------------|--|--|--|--|
|---------------|----------|----|-----------|------------------|------|--------------------|----------------|--------------|--|--|--|--|

| Priority axis | Ind type | ID | Indicator | Measurement unit | Fund | Category of region | Milestone for 2018 total | Milestone for 2018 men | Milestone for 2018 women | Final target (2023) total | Final target (2023) men | Final target (2023) women |
|---------------|----------|----|-----------|------------------|------|--------------------|--------------------------|------------------------|--------------------------|---------------------------|-------------------------|---------------------------|
|---------------|----------|----|-----------|------------------|------|--------------------|--------------------------|------------------------|--------------------------|---------------------------|-------------------------|---------------------------|

3.4 Financial data (Article 50(2) of Regulation (EU) No 1303/2013)

Table 6: Financial information at priority axis and programme level

(as set out in Table 1 of Annex II to Commission Implementing Regulation (EU) No 1011/2014 (Model for the transmission of financial data))

| Priority axis | Fund | Category of region | Calculation basis | Total fund | Co-financing rate | Total eligible cost of operations selected for support | Proportion of the total allocation covered with selected operations | Public eligible cost of operations selected for support | Total eligible expenditure declared by beneficiaries to the managing authority | Proportion of the total allocation covered by eligible expenditure declared by beneficiaries | Number of operations selected |
|--------------------|-------------|-----------------------|-------------------|-------------------------|-------------------|--|---|---|--|--|-------------------------------|
| 1 | ERDF | Transition | Public | 34,914,802.00 | 55.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| 1 | ERDF | More developed | Public | 205,104,131.00 | 45.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| 2 | ERDF | Transition | Public | 45,454,545.00 | 55.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| 3 | ERDF | Transition | Public | 59,454,545.00 | 55.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| 3 | ERDF | More developed | Public | 246,666,667.00 | 45.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| 4 | ERDF | Transition | Public | 47,036,364.00 | 55.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| 4 | ERDF | More developed | Public | 233,739,029.00 | 45.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| 5 | ERDF | Transition | Public | 12,363,636.00 | 55.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| 5 | ERDF | More developed | Public | 109,333,333.00 | 45.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| 6 | ERDF | Transition | Public | 4,767,884.00 | 50.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| 6 | ERDF | More developed | Public | 14,303,652.00 | 50.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| Total | ERDF | Transition | | 203,991,776.00 | 54.88 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| Total | ERDF | More developed | | 809,146,812.00 | 45.09 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |
| Grand total | | | | 1,013,138,588.00 | 47.06 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00% | 0 |

Table 7: Breakdown of the cumulative financial data by category of intervention for the ERDF, the ESF and the Cohesion Fund (Article 112(1) and (2) of Regulation (EU) No 1303/2013 and Article 5 of Regulation (EU) No 1304/2013)

| Priority axis | Characteristics of expenditure | | Categorisation dimensions | | | | | | | Financial data | | | | |
|---------------|--------------------------------|--------------------|---------------------------|-----------------|-----------------------|--------------------------------|------------------------------|---------------------|--------------------|--------------------|--|---|--|-------------------------------|
| | Fund | Category of region | Intervention field | Form of finance | Territorial dimension | Territorial delivery mechanism | Thematic objective dimension | ESF secondary theme | Economic dimension | Location dimension | Total eligible cost of operations selected for support | Public eligible cost of operations selected for support | Total eligible expenditure declared by beneficiaries to the managing authority | Number of operations selected |
| 1 | ERDF | Transition | | | | | | | | | | | | |
| 1 | ERDF | More developed | | | | | | | | | | | | |
| 2 | ERDF | Transition | | | | | | | | | | | | |
| 3 | ERDF | Transition | | | | | | | | | | | | |
| 3 | ERDF | More developed | | | | | | | | | | | | |
| 4 | ERDF | Transition | | | | | | | | | | | | |
| 4 | ERDF | More developed | | | | | | | | | | | | |
| 5 | ERDF | Transition | | | | | | | | | | | | |
| 5 | ERDF | More developed | | | | | | | | | | | | |
| 6 | ERDF | Transition | | | | | | | | | | | | |
| 6 | ERDF | More developed | | | | | | | | | | | | |

Table 8: The use made of cross-financing

| 1 | 2 | 3 | 4 | 5 | 6 |
|-------------------------------|----------------------|---|---|--|---|
| Use of cross-financing | Priority axis | The amount of EU support envisaged to be used for cross financing based on selected operations (EUR) | Share of the total financial allocation to the priority axis (%) (3/total financial allocation to priority axis*100) | Eligible expenditure used under cross financing declared by the beneficiary to the managing authority (EUR) | Share of the total financial allocation to the priority axis (%) (5/total financial allocation to priority axis*100) |

Table 9: Cost of operations implemented outside the programme area (the ERDF and the Cohesion Fund under the Investment for growth and jobs goal)

| 1 | 2 | 3 | 4 | 5 |
|----------------------|--|---|---|---|
| Priority axis | The amount of support envisaged to be used for operations implemented outside the programme area based on selected operations (EUR) | Share of the total financial allocation to the priority axis (%) (3/total financial allocation to priority axis*100) | Eligible expenditure incurred in operations implemented outside the programme area declared by the beneficiary to the managing authority (EUR) | Share of the total financial allocation to the priority axis (%) (5/total financial allocation to priority axis*100) |

Table 10: Expenditure incurred outside the Union (ESF)

| The amount of expenditure envisaged to be incurred outside the Union under thematic objectives 8 and 10 based on selected operations (EUR) | Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (1/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100) | Eligible expenditure incurred outside the Union declared by the beneficiary to the managing authority (EUR) | Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (3/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100) |
|--|--|---|--|
| | | | |

4. SYNTHESIS OF THE EVALUATIONS

Synthesis of the findings of all evaluations of the programme that have become available during the previous financial year, with reference of name and reference period of the evaluation reports used

No Evaluations have been carried out in respect on ERDF during the reporting period.

The Evaluation Strategy for ERDF and ESF was approved by the Joint Programme Monitoring Committee in November 2015. This plan has been informed in part by how well the methodology of the monitoring and evaluation plan from the previous 2007-2013 programme performed in terms of contributing to the achievement of objectives.

In particular:

- the monitoring and reporting focused to a greater extent on financial performance, with less attention on results and significant over or under-performance in relation to specific indicators; and
- the evaluations were not sufficiently robustly shaped and scoped to inform programme changes, and in some cases not well enough researched to inform the 2014 programme.

Building on this, Monitoring and Evaluation for ERDF and ESF in Scotland will serve two distinct and complementary purposes:

- Regular monitoring will inform programme management and progress towards targets, in particular seeking to assess whether targets remain achievable, and whether the funding is being spent on activity which is likely to deliver against those targets. This will strongly influence the mid-term review as well as the formal performance reports in 2017 and 2019
- Evaluation will support a longer-term view, with an emphasis on assessing the significant changes to the way in which European Structural and Investment Funds are managed in Scotland (partnership, strategic interventions, a focus on fewer areas of activity); and support decisions on future programmes and their design as well as monitor spend by sector. This may also influence the mid-term review if significant findings emerge by 2017

The plan itself has a spine of regulatory requirements, including Ex Ante assessment and evaluation of YEI, communications and publicity and Ex Post requirements. In addition, there are a number of areas where programming has changed significantly, and where evaluation will therefore add real value in terms of understanding success and/or improving design. Some of these are fundamental to the likelihood of successful delivery of the programmes and will therefore form part of the mid-term review:

- The robust evidence-based approach to assessing needs, realistic roles for the funds and prioritisation of potential activity to achieve the set results

- The move to strategic interventions, a clustering of similar operations to achieve more than the sum of their parts

Others are stand-alone, with findings likely to take longer to emerge, but with significant impact on how any programmes might be designed from 2020 onwards:

- The deliberate delineation between the functions of different ESIF Funds
- The increased focus on some thematic objectives, e.g. low carbon
- The impact of relatively modest amounts of funding in remote communities and regions, and the circumstances in which such ‘catalytic’ investments are effective
- The scale of ambition around skills, particularly high level skills and opening these up to a wider range of participants
- The effectiveness and success factors in financial engineering
- The role of partnership, particularly with the MA but also between major policy agencies and lead partners is a much-enhanced feature for 2014

The evaluations planned within that Strategy are now being developed into short steering briefs with a Monitoring and Evaluation Group. This will ensure that data requirements are set out clearly from the beginning, and can therefore be met at the time of undertaking the evaluations. It will also act as a guide for the Managing Authority in ensuring that what is evaluated is what was intended, eliminating a risk of focusing only on current issues when the evaluations are undertaken.

6. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN (ARTICLE 50(2) OF REGULATION (EU) No 1303/2013)

(a) Issues which affect the performance of the programme and the measures taken

The 2014-20 Programme is not itself the subject of any performance issues. However, it is being impacted by work on the 2007-13 programmes for Scotland, notably the amount of resource being dedicated to closure, and to re-performing checks and addressing the audit issues underlying the current suspensions for 2007-13.

This diversion of resources led to a longer timescale in producing the Management and Control System. However, having adopted a joint iterative approach to the completion of the Management and Control System with the Audit Authority, we are now in the process of finalising the document (spring 2016). Agreement on the Management Control System will allow the Audit Authority to formalise their opinion and report that the system is fit for purpose and meets Commission requirements in June. We are working towards designation of the Managing Authority by the end of June 2016.

The timescales now involved in commencing activity under the 2014 programmes may cause some issues around absorption in the approved Strategic Interventions, as some match funding from past years' public budget will now have been lost to the programme. The Managing Authority will track whether this impacts on targets and results; and some activity has been permitted to commence at the Lead Partner's own risk in order to provide continuity between programmes. Where there are concerns over individual operations not being able to deliver in the planned timescale, the Managing Authority will consider with Lead Partners whether to extend the timescales, increase levels of activity or amend projects as required to manage this. Where a year or more of anticipated activity has not occurred, and looks likely to impact on the ability to deliver overall programme targets, the Managing Authority will consult the Joint Programme Monitoring Committee for ESI Funds on potential additional calls for activity.

whilst activity was not approved or commenced in 2015, this changed rapidly at the beginning of 2016. Broadly, any emerging differences in target values are positive, projecting an over-achievement against output and result indicators. This appears to result from changes in approach (e.g. changing from one-to-one approaches to one to many, e.g. around business leadership support; or providing additional accessible materials on line). It is not yet clear whether targets in some cases have been set too conservatively (particularly where the activity is new to the European and Domestic funding sources), and might therefore require updating during the programme cycle, and this will be monitored closely through the regular updates to the Joint Programme Monitoring Committee.

In terms of external impact, Scotland's public finances are under significant pressure, in line with most other regions in Europe. There is already some anecdotal evidence suggesting that match funding for ERDF will become increasingly scarce as the programme period continues and that joint prioritisation of areas such as innovation will be required across public agencies to ensure the targets remain deliverable. The

Managing Authority is an integrated unit of the Scottish Government and is therefore in a good position to make the required links and requests for this to happen; and equally in a good position to respond to potential changes in policy priorities.

The ongoing uncertainty in the global financial picture also has local impacts, with recent shocks to the UK, Scottish (and European) steel sector, and the closure of Scotland's largest power station. This may prove more challenging for ESF than ERDF in terms of aiding the individuals affected, but equally, there may be further impacts on investment, and a simultaneously greater need to invest in SME's to grow to fill the place of these employers in the labour recruitment market. The analysis provided for JPMC includes macro and micro economic factors and will therefore enable consideration of whether to call for additional activity if targets and results of the programme are at risk of not being delivered.

(b) An assessment of whether progress made towards targets is sufficient to ensure their fulfilment, indicating any remedial actions taken or planned, where appropriate.

For the reporting period covered in this Annual Implementation Report, there is no activity, and therefore no delivery or fulfilment of outputs, outcomes and results. As this report covers both 2014 and 2015, that is potentially two years of ERDF funding and associated results which has to be delivered in later years, within the N+3 timescales.

As noted above, the impacts of this delay are being monitored, with options including extension of project end dates, additional accelerated activity, and potential new calls for activity all available as a response if the delay appears to be impacting on the delivery of overall programme targets.

It should be reflected at the point of drafting the Annual Implementation Report that a significant amount of activity has been completed in the early months of 2016, but not formally included in this report. The Managing Authority Approval Panel assessed and approved €107 million of operations during March and April, with further assessment panels scheduled for May and June.

The assessment of projected spend, outputs, outcomes and results is still in early stages, but with some encouraging signs, for example:

- The number of enterprise supported looks to significantly exceed programme targets. This will be monitored both for duplicate enterprises being supported by multiple operations (e.g. on innovation AND leadership), as well as to make sure that any positive impacts on a business are sustainable and high-quality
- Early work on low carbon and green infrastructure projects has shown a strong pipeline of potential projects in Scotland, and a willingness to develop these to a stage where they are investment ready

As the approach in many areas of ERDF is new and ambitious, covering either new areas of activity or expecting much more from areas of investment we have previous experience of investing in using ERDF, it will be important to track these developments through regular reporting to the Joint Programme Monitoring Committee for the ESI Funds in Scotland.

7. CITIZEN'S SUMMARY

A citizen's summary of the contents of the annual and the final implementation reports shall be made public and uploaded as a separate file in the form of annex to the annual and the final implementation report

You can upload/find the Citizen's summary under General > Documents in the SFC2014 application

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

9. OPTIONAL FOR THE REPORT TO BE SUBMITTED IN 2016, NOT APPLICABLE TO OTHER LIGHT REPORTS: ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES

Table 14: Actions taken to fulfil applicable general ex-ante conditionalities

| General ex-ante conditionality | Criteria not fulfilled | Actions taken | Deadline | Bodies responsible | Action completed by the deadline | Criteria fulfilled | Expected date for full implementation of remaining actions | Commentary |
|--------------------------------|------------------------|---------------|----------|--------------------|----------------------------------|--------------------|--|------------|
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Table 15: Actions taken to fulfil applicable thematic ex-ante conditionalities

| General ex-ante conditionality | Criteria not fulfilled | Actions taken | Deadline | Bodies responsible | Action completed by the deadline | Criteria fulfilled | Expected date for full implementation of remaining actions | Commentary |
|--------------------------------|------------------------|---------------|----------|--------------------|----------------------------------|--------------------|--|------------|
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10. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS (ARTICLE 101(H) AND 111(3) OF REGULATION (EU) No 1303/2013)

10.1. Major projects

Table 12: Major projects

| Project | CCI | Status of MP | Total investments | Total eligible costs | Planned notification / submission date | Date of tacit agreement / approval by Commission | Planned start of implementation (year, quarter) | Planned completion date | Priority Axis / Investment priorities | Current state of realisation — financial progress (% of expenditure certified to Commission compared to total eligible cost) | Current state of realisation — physical progress Main implementation stage of the project | Main outputs | Date of signature of first works contract | Observations |
|---------|-----|--------------|-------------------|----------------------|--|--|---|-------------------------|---------------------------------------|--|--|--------------|---|--------------|
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Significant problems encountered in implementing major projects and measures taken to overcome them

There are no major projects planned under the 2014-2020 programme

Any change planned in the list of major projects in the operational programme

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10.2. Joint action plans

Progress in the implementation of different stages of joint action plans

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Table 13: Joint action plans (JAP)

| Title of the JAP | CCI | Stage of implementation of JAP | Total eligible costs | Total public support | OP contribution to JAP | Priority axis | Type of JAP | [Planned] submission to the Commission | [Planned] start of implementation | [Planned] completion | Main outputs and results | Total eligible expenditure certified to the Commission | Observations |
|------------------|-----|--------------------------------|----------------------|----------------------|------------------------|---------------|-------------|--|-----------------------------------|----------------------|--------------------------|--|--------------|
|------------------|-----|--------------------------------|----------------------|----------------------|------------------------|---------------|-------------|--|-----------------------------------|----------------------|--------------------------|--|--------------|

Significant problems encountered and measures taken to overcome them

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PART B
REPORTING SUBMITTED IN YEARS 2017, 2019 AND FINAL IMPLEMENTATION REPORT
(Article 50(4), 111(3) and (4) of regulation (EU) No 1303/2013)

11. ASSESSMENT OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME
(ARTICLES 50(4) AND 111(4) OF REGULATION (EU) NO 1303/2013)

11.1. Information in Part A and achieving objectives of the programme (Article 50(4) of Regulation (EU) No 1303/2013)

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| Priority axis | 1 - Strengthening Research, technological development and innovation |
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| Priority axis | 2 - Enhancing access to, and use and quality of ICT |
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| Priority axis | 3 - Enhancing the Competitiveness of SMEs |
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| Priority axis | 4 - Supporting a shift towards a low carbon economy in all sectors |
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| Priority axis | 5 - Preserving and protecting the environment and promoting resource efficiency |
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| Priority axis | 6 - Technical Assistance |
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11.2. Specific actions taken to promote equality between men and women and to prevent discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations (Articles 50(4) and 111(4), second subparagraph, (e) of Regulation (EU) No 1303/2013)

11.3. Sustainable development (Articles 50(4) and 111(4), second subparagraph, (f) of Regulation (EU) No 1303/2013)

11.4. Reporting on support used for climate change objectives (Article 50(4) of Regulation (EU) No 1303/2013)

| Priority axis | Amount of support to be used for climate change objectives (EUR) | Proportion of total allocation to the operational programme (%) |
|----------------------|---|--|
| Total | 0.00 | 0.00% |

11.5. Role of partners in the implementation of the programme

12. OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO ARTICLE 111(4), FIRST SUBPARAGRAPH, (A) AND (B), OF REGULATION (EU) No 1303/2013

12.1. Progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations

12.2. The results of the information and publicity measures of the Funds carried out under the communication strategy

13. ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES (ARTICLE 50(4) OF REGULATION (EU) No 1303/2013) (MAY BE INCLUDED IN REPORT TO BE SUBMITTED IN 2016 (SEE POINT 9 ABOVE). REQUIRED IN REPORT SUBMITTED IN 2017) OPTION: PROGRESS REPORT

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME (ARTICLE 111(4), SECOND SUBPARAGRAPH, (A), (B), (C), (D), (G) AND (H), OF REGULATION (EU) NO 1303/2013)

14.1. Progress in the implementation of the integrated approach to territorial development, including development of regions facing demographic challenges and permanent or natural handicaps, sustainable urban development, and community led local development under the operational programme

14.2. Progress in the implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds

14.3. Progress in the implementation of any interregional and transnational actions

14.4. Where appropriate, the contribution to macro-regional and sea basin strategies

As stipulated by the Regulation (EU) No 1303/2013, article 27(3) on the "content of programmes", article 96(3)(e) on the "content, adoption and amendment of operational programmes under the Investment for growth and jobs goal", article 111(3), article (4)(d) on "implementation reports for the Investment for growth and jobs goal", and Annex 1, section 7.3 on "contribution of mainstream programmes to macro-regional and sea-basin strategies, this programme contributes to MRS(s) and/or SBS:

14.5. Progress in the implementation of actions in the field of social innovation, where appropriate

14.6. Progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of poverty discrimination or social exclusion, with special regard to marginalised communities and persons with disabilities, long term unemployment and young people not in employment including, where appropriate, the financial resources used

15. FINANCIAL INFORMATION AT PRIORITY AXIS AND PROGRAMME LEVEL (ARTICLES 21(2) AND 22(7) OF REGULATION (EU) No 1303/2013)

16. SMART, SUSTAINABLE AND INCLUSIVE GROWTH (OPTION PROGRESS REPORT)SMART, SUSTAINABLE AND INCLUSIVE GROWTH (OPTION PROGRESS REPORT)

Information on and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.Information on and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.

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17. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN — PERFORMANCE FRAMEWORK (ARTICLE 50(2) OF REGULATION (EU) No 1303/2013)

Where the assessment of progress made with regard to the milestones and targets set out in the performance framework demonstrates that certain milestones and targets have not been achieved, Member States should outline the underlying reasons for failure to achieve these milestones in the report of 2019 (for milestones) and in the final implementation report (for targets).

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Documents

| Document title | Document type | Document date | Local reference | Commission reference | Files | Sent date | Sent By |
|---|---|---------------|-----------------|-----------------------|---|-------------|----------|
| Scottish Government report on the implementation of financial instruments | Report on the implementation of financial instruments | 06-May-2016 | | Ares(2016)24721 17 | Scottish Government report on the implementation of financial instruments | 27-May-2016 | ngracihe |
| Scottish Government Managing Authority Citizens' Summary 2015 | Citizens' summary | 25-May-2016 | | Ares(2016)24721 17 | Scottish Government Managing Authority Citizens' Summary 2015 | 27-May-2016 | ngracihe |