Draft Advocacy Guide for Commissioners

Consultation questions

1. Since the publication of the Guide for Commissioners by SIAA in 2010 there have been several developments. For example the publication of the NHS Healthcare Quality Strategy in 2010; the introduction of the Patient Rights (Scotland) Act 2011; the publication of the Patients Charter of Rights and Responsibilities in October 2012; publication of the Carers and Young Strategy in 2010, and the provision of joint Scottish Government and COSLA Guidance on Procurement of Support and Care Services in 2010.

2. The guide has been updated to incorporate these and other relevant developments.

3. Sections 5 and 6 of the Guide explain commissioner’s statutory responsibilities under the Mental Health (Care and Treatment) Act 2003 which are further explained in the Code of Practice Volume 1. Based on the definition taken from the legislation the guide provides the following Principles and Standards for Independent Advocacy:

**Principle 3**

Independent advocacy is as free as it can be from conflicts of interest.

- **Standard 3.1** - Independent advocacy providers cannot be involved in the welfare, care or provision of other services to the individual for which it is providing advocacy.

- **Standard 3.2** - Independent advocacy should be provided by an organisation whose sole role is independent advocacy or whose other tasks either complement, or do not conflict with, the provision of independent advocacy.

- **Standard 3.3** – Independent advocacy looks out for and minimises conflicts of interest

Please note:

- Standards 3.1 and 3.2 associated with Principle 3 above reflect the definition of independent advocacy in the Mental Health Act (Care & Treatment) (Scotland) Act 2003 and differ from the standards used by the advocacy movement in the SIAA Principles and Standards.

- The remaining Principles and Standards i.e. Principles 1, 2 and 4 and the associated standards set out in Appendix 1 are consistent with the Principles and Standards given in the SIAA Principles and Standards.
Question 1: Are you content with the level of detail given in relation to the statutory responsibilities and that the information is clear?

Yes □ No X

If no, what additional information do you think should be included?

The introduction does not really specify if it is referring to general advocacy and not independent advocacy. There are many vulnerable people to whom “real” independent advocacy is vital and I am concerned that the guidance goes between describing “Independent advocacy” and “advocacy” and does not set out its meaning with regard to this. There seems to be a lack of consistency throughout the document. Clarity would be helpful on this point.

I am concerned that the definition of Principle 3 of the SIAA’s Principles and Standards and the definition in the Mental Health (Care and Treatment) Act 2003 legislation differs and I am gravely concerned that, with the introduction of Self Directed Support from April 2013, this could have significant implication to the wellbeing and safety of vulnerable adults.

I can understand that there may be a need for this to assist communities such as LGBT groups as “they are already familiar with and trust” a particular organisation (Section 8.5) but am extremely concerned that vulnerable groups could be taken advantage of in terms of service provisions.

I believe it is vital that, if an organisation is to be known as a provider of “Independent Advocacy” it can only be truly independent and free from conflict if it does not attempt to provide any other services.

“3.1 Independent advocacy cannot be controlled by a service provider”.
“3.2 Independent Advocacy and promoting independent advocacy are the only things that independent advocacy organisations do.”

Working with two different standards of service could result in miscommunication and misunderstanding. The SIAA’s Standards and Principles were devised following a broad consultation in 2007 with various stakeholders.

4. Section 10 covers commissioning of independent advocacy. This is a much shorter section than in the previous guide as it refers to the Guidance on the procedures for Procurement of Care and Support Services given in the joint Scottish Government and COSLA guidance issued in 2010 and available at: http://www.scotland.gov.uk/Resource/Doc/324602/0104497.pdf.
Question 2: Are you content that the level of detail given in Section 10 on the Commissioning of Independent Advocacy is appropriate?

Yes ☒ No ☐

If not, why not?

5. Both commissioners and the advocacy groups have a responsibility to ensure that the advocacy being provided is of good quality and is effective. Section 12 of the guide covers Monitoring and Evaluation and mostly reflects the arrangements currently set out in the 2010 guidance. However we understand that the cost of independent evaluations is high and is not always undertaken. In relation to this we are currently exploring a pilot for evaluation of advocacy projects with the SIAA. This will involve the recruitment of independent sessional evaluators to undertake evaluations based on the Principles and Standards within this guide over an 18 month period. SIAA will facilitate the appointment and training of the evaluators. The report of the evaluation will be prepared by the evaluators and will go to the commissioners and the advocacy group. The SIAA will be in a position to offer support to the advocacy group in the event that improvements are required. An evaluation of the pilot will be conducted prior to any decision on whether to proceed with this model. The evaluations will not be restricted to SIAA member organisations.

Question 3: Would you support a programme of evaluations based on the pilot model of evaluation set out at 5 above?

Yes ☒ No ☐

If not, why not?

6. Examples of situations that can potentially cause a conflict of interest which might impact on the person receiving the advocacy support, the advocate, the advocacy organisation or a service provider have been included at Appendix 2.

Question 4. Do you think it is useful to highlight situations (such as those given in Appendix 2) that commissioners should be mindful of in order that consideration is given to how these would be avoided/handled/resolved?
Are there any others you would add/remove?

We would welcome your thoughts on what the impact of each of these situations would be and also your views on what action should be taken to minimise conflict. We will consider the responses and add as part of the guidance.

I am really concerned that the need for an organisation which provides only “Independent Advocacy” services seems to be considered less and less important in this consultation and would urge the Government to consider this matter clearly. I do not believe anyone who has provided a welfare service other than just advocacy should be considered to provide independent support and advice. If the local government and NHS staff are not considered able to provide an impartial service then why would an organisation which was run with a necessity to be commercially viable, be able to provide a better, impartial service.

7. The layout of the guide has been changed to provide information and direct links to a list of relevant policy and guidance documents in Appendix 3.

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<th>Question 5: Do you find the information on additional reference material/useful links in Appendix 3 helpful?</th>
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<td><strong>Yes</strong> ☒ <strong>No</strong> ☐</td>
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Are there any others you would add?

N/A

Are there any you would remove?

N/A

General Comments

We would welcome any further general comments you may wish to offer here.
We are grateful for your response. Thank you.