

## **Changes to the HL1 Return**

This document contains the changes to the HL1 questions as agreed at the Homelessness Statistics User Group on 15<sup>th</sup> April 2013.

These changes come into force on the HL1 return from **00:01 on 1<sup>st</sup> July 2013**.

The reasons and underlying background to these changes can be found in the following document.

<http://www.scotland.gov.uk/Resource/0041/00418652.pdf>

Housing Access and Support Statistics  
Communities Analytical Services  
The Scottish Government  
18 April 2013

## 10. Ethnic Group of main applicant and partner?

### 10.1 Main applicant

#### Description

Main applicant's ethnic group as described by themselves.

#### Format

Numeric, two digits maximum.

#### Validation

Mandatory.

#### Responses

Old Label	New Label	Code
White: Scottish	White Scottish	1
White: Other British	Other British	2
White: Irish	Irish	3
	Gypsy / Traveller	17
	Polish	18
White: Other	Other white ethnic group	4
Mixed	Mixed or multiple ethnic group	13
Asian, Asian Scottish or Asian British: Pakistani	Pakistani, Pakistani Scottish or Pakistani British	9
Asian, Asian Scottish or Asian British: Indian	Indian, Indian Scottish or Indian British	8
Asian, Asian Scottish or Asian British: Bangladeshi	Bangladeshi, Bangladeshi Scottish or Bangladeshi British	10
Asian, Asian Scottish or Asian British: Chinese	Chinese, Chinese Scottish or Chinese British	11
Asian, Asian Scottish or Asian British: Other	Other Asian, Asian Scottish or Asian British	12
Black, Black Scottish or Black British: African	African, African Scottish or African British	5
	Other African	19
Black, Black Scottish or Black British: Caribbean	Caribbean, Caribbean Scottish or Caribbean British	6
	Black, Black Scottish or Black British	20
Black, Black Scottish or Black British: Other	Other Caribbean or Black	7
	Arab, Arab Scottish or Arab British	21
Other	Other ethnic group	14
Not Known	Not Known	15
Refused	Refused	16

These changes have been made to fit with the 2011 Census definitions of ethnicity.

## 10.2 Partner

### Description

Partner's ethnic group as described by themselves.

### Format

Numeric, two digits maximum.

### Validation

Mandatory if the main applicant's partner forms part of the applicant household.

### Responses

Old Label	New Label	Code
White: Scottish	White Scottish	1
White: Other British	Other British	2
White: Irish	Irish	3
	Gypsy / Traveller	17
	Polish	18
White: Other	Other white ethnic group	4
Mixed	Mixed or multiple ethnic group	13
Asian, Asian Scottish or Asian British: Pakistani	Pakistani, Pakistani Scottish or Pakistani British	9
Asian, Asian Scottish or Asian British: Indian	Indian, Indian Scottish or Indian British	8
Asian, Asian Scottish or Asian British: Bangladeshi	Bangladeshi, Bangladeshi Scottish or Bangladeshi British	10
Asian, Asian Scottish or Asian British: Chinese	Chinese, Chinese Scottish or Chinese British	11
Asian, Asian Scottish or Asian British: Other	Other Asian, Asian Scottish or Asian British	12
Black, Black Scottish or Black British: African	African, African Scottish or African British	5
	Other African	19
Black, Black Scottish or Black British: Caribbean	Caribbean, Caribbean Scottish or Caribbean British	6
	Black, Black Scottish or Black British	20
Black, Black Scottish or Black British: Other	Other Caribbean or Black	7
	Arab, Arab Scottish or Arab British	21
Other	Other ethnic group	14
Not Known	Not Known	15
Refused	Refused	16

These changes have been made to fit with the 2011 Census definitions of ethnicity.

**14a. From what type of property did the main applicant become homeless / threatened with homelessness?**

**Description**

The type of property from which the person became homeless / threatened with homelessness – not necessarily the most recent address, as applicants may have been temporarily staying with friends / family having already become homeless.

**Format**

Numeric, two digits

**Validation**

Mandatory for cases opened on or after 1 April 2007.

Own property - LA tenancy	1
Own property - RSL tenancy	2
Own property - private rented tenancy	3
Own property - tenancy secured through employment / tied house	4
Own property - owning / buying	5
Parental / family home / relatives	6
Friends / partners	7
Armed services accommodation	8
Prison	9
Hospital	10
Children's residential accommodation (looked after by the local authority)	11
Supported accommodation	12
Hostel (unsupported)	13
Bed & Breakfast	14
Caravan / mobile home	15
Long-term roofless	16
Long-term "sofa-surfing"	17
Other	18
Not known / refused	19
Own property - Shared ownership / Shared equity / LCHO	20
Lodger	21
Shared Property – Private Rented Sector	22
Shared Property – Local authority	23
Shared Property - RSL	24
("Own property" includes property owned / rented with a partner or friends, irrespective of whether they are part of the household applying, but not when staying in a property at a partner's or friend's invitation)	

This question has been standardised for comparability with the PREVENT1 return.

## 16b. Reason for failing to maintain accommodation

### Description

The reasons the main applicant provides for why it was not possible to maintain the accommodation from which he or she became homeless.

10 variables: each records whether the specific reason was relevant.

16b.1. Financial difficulties / debt / unemployment

16b.2. Physical health reasons

16b.3. Mental health reasons

16b.4. Unmet need for support from housing / social work / health services

16b.5. Lack of support from friends / family

16b.6. Difficulties managing on own

16b.7. Drug / alcohol dependency

16b.8. Criminal / anti-social behaviour

16b.9. Not to do with applicant household (e.g. landlord selling property, fire, circumstances of other persons sharing previous property, harassment by others, etc.)

16b.10. Refused

### Format

**Numeric, one digit each.**

### Validation

All mandatory for cases opened on or after 1 April 2007.

If 16b.10 is 1 (refused), then all of 16b.1 to 16b.9 must be 0.

### Responses for 16b.1

No	0
Financial difficulties / debt / unemployment	1
Welfare Reform – Under occupancy penalty	2
Welfare Reform – Benefit Cap	3
Welfare Reform – Other	4

### Responses for 16b.2 to 16b.10

No 0

Yes 1

## 20b. Reasons for support needs

### Description

Reason support may need to be provided, as recorded by the homelessness officer.  
6 variables: each records whether the specific reason was relevant.

20b.1. Mental health problem

20b.2. Learning disability

20b.3. Physical disability

20b.4. Medical condition

20b.5. Drug or alcohol dependency

20b.6. Basic housing management / independent living skills/ **Housing Support**

### Format

Numeric, one digit each.

### Responses

No 0

Yes 1

### Validation

All mandatory for cases assessed on or after 1 April 2007.

If 20a.4 is 1 then 20b.1 must be 1.

If 20a.5 is 1 then 20b.2 must be 1.

If 20a.6 is 1 then 20b.3 must be 1.

If 20a.7 is 1 then 20b.4 must be 1.

## 22. Duty discharge action taken by authority

### Description

Action taken by local authority which the LA deems to constitute the discharge of its duty to the applicant, or the closure of the case (at least in terms of the HL1).

### Format

Numeric, two digits maximum.

### Validation

Mandatory after closure.

### Responses

Not applicable (answer to question 21 was NOT "Contact with applicant maintained until discharge of LA duty")	BLANK
Applicant offered LA / RSL Scottish Secure Tenancy within LA - Accommodation taken up	1
Applicant offered LA / RSL Scottish Secure Tenancy within LA - Accommodation not taken up	2
Applicant offered private sector Assured Tenancy - Accommodation taken up	3
Applicant offered private sector Assured Tenancy - Accommodation not taken up	4
Applicant offered temporary accommodation, advice and assistance - Accommodation taken up	5
Applicant offered temporary accommodation, advice and assistance - Accommodation not taken up	6
Applicant referred to other LA (under 87 Act)	7
Applicant offered advice and assistance only (including measures to retain previous accommodation)	8
None of the other responses	9
Applicant offered housing support in non-permanent accommodation under <b>regulation 4</b> of The Homeless Persons (Provision of Non-Permanent Accommodation) (Scotland) Regulations 2010– Accommodation taken up	10
Applicant offered housing support in non-permanent accommodation under <b>regulation 4</b> of The Homeless Persons (Provision of Non-Permanent Accommodation) (Scotland) Regulations 2010 - Accommodation not taken up	11
Applicant offered short Scottish Secure Tenancy (other than below) – Accommodation taken up	12
Applicant offered short Scottish Secure Tenancy (other than below) – Accommodation not taken up	13
Applicant offered short Scottish Secure Tenancy under paragraph 5A of schedule 6 of the 2001 Act – Accommodation taken up	14
Applicant offered short Scottish Secure Tenancy under paragraph 5A of schedule 6 of the 2001 Act – Accommodation not taken up	15

Applicant offered section 7 tenancy with support – Accommodation taken up	16
Applicant offered section 7 tenancy with support – Accommodation not taken up	17
Applicant offered Short Assured Tenancy under <b>regulation 5</b> of The Homeless Persons (Provision of Non-Permanent Accommodation) (Scotland) Regulations 2010– Accommodation taken up	18
Applicant offered Short Assured Tenancy under <b>regulation 5</b> of The Homeless Persons (Provision of Non-Permanent Accommodation) (Scotland) Regulations 2010 - Accommodation not taken up	19

Should be answered if, and only if, the answer to question 21 is 2.  
 If answer to question 17 is 1, then warning if answer is 5, 6, 8, 9 or 14-17  
 If answer to question 17 is 2, then warning if answer is 7-9  
 If answer to question 17 is 3, then warning if answer is 7-9 or 14-17  
 If answer to question 17 is 4, then warning if answer is 9 or 14-17  
 If answer to question 17 is 5, then warning if answer is 7 or 9  
 If answer to question 17 is 6, then warning if answer is 7, 9 or 14-17  
 If answer is 1, 12 or 14 then answer to question 23 must be 1 or 15.  
 If answer is 3 then answer to question 23 must be 5.  
 If answer is 18 then answer to question 23 must be 16.  
 If the answer 10 then question 23a must be 1, 4 or 6.

The Homeless Persons Interim Accommodation (Scotland) Regulations 2002 were revoked by regulation 6 of the Homeless Persons (Provision of Non-Permanent Accommodation) (Scotland) Regulations 2010, prompting the change in definition for options 10 and 11.



## 23. Accommodation following final discharge of duty or case closure

### Description

Accommodation occupied following the final discharge of duty or the closure of the case – this may have been arranged by the LA or by the applicant.

### Format

Numeric, two digits maximum.

Validation

Mandatory after closure if contact was maintained until discharge of duty

Returned to previous/present accommodation	10
<i>Moved to alternative accommodation</i>	
LA tenancy	1
RSL (Housing Association) tenancy	15
Private rented - assured tenancy	5
Private rented - short assured tenancy	16
Hostel - local authority	6
Hostel - RSL	7
Hostel - other	8
Women's refuge	17
Moved-in with friends/ relatives	11
<b>Residential care / nursing home</b>	<b>18</b>
<b>Supported accommodation or Support Housing</b>	<b>22</b>
Tenancy with voluntary organisation other than above	12
<b>Sheltered Accommodation</b>	<b>23</b>
<b>Home Ownership - Low Cost Home Ownership</b>	<b>19</b>
<b>Home Ownership - Shared Ownership</b>	<b>20</b>
<b>Home Ownership - Bought own home via other means</b>	<b>21</b>
<b>Shared Property - LA</b>	<b>24</b>
<b>Shared Property – RSL (Housing Association)</b>	<b>25</b>
<b>Shared Property - Private Rented Sector</b>	<b>26</b>
<b>Lodger</b>	<b>27</b>
<b>Prison</b>	<b>28</b>
<b>Hospital</b>	<b>29</b>
Other (known)	13
Not known	14

Must be answered if the answer to question 21 is 2 (can be answered if the answer to question 21 is 1, but not necessarily expected).

Should be 1 or 15 if answer to question 22 is 1, 12 or 14.

Should be 5 if answer to question 22 is 3.

This question has been standardised for comparability with the PREVENT1 return.

**23a. Assessment under Housing Support Regulations and whether support been provided?**

**Notes**

This covers support provided either during this homelessness application or with the rehousing outcome in question 23, or both. The regulations referred to in the title are the Housing Support Services (Homelessness)(Scotland) Regulations 2012.

**Format**

Numeric, one digit.

**Validation**

Mandatory after closure.

Response	Code
<i>Where a duty is owed under the regulations</i>	
<b>[0] No assessment</b> under Housing Support Services (Homelessness)(Scotland) Regulations 2012 - <b>no support provided</b>	0
<b>[1] No assessment</b> under Housing Support Services (Homelessness)(Scotland) Regulations 2012 - <b>support provided</b>	1
<b>[2] Assessment</b> carried out under Housing Support Services (Homelessness)(Scotland) Regulations 2012 - <b>no support required</b>	2
<b>[3] Assessment</b> carried out under Housing Support Services (Homelessness)(Scotland) Regulations 2012 - <b>support required but not provided</b>	3
<b>[4] Assessment</b> carried out under Housing Support Services (Homelessness)(Scotland) Regulations 2012 - <b>Support provided</b>	4
<i>Where a <b>no</b> duty is owed under the regulations</i>	
<b>No duty to assess</b> under the Housing Support Services (Homelessness)(Scotland) Regulations 2012 – <b>no support provided</b>	5
<b>No duty to assess</b> under the Housing Support Services (Homelessness)(Scotland) Regulations 2012 – <b>support provided</b>	6

## 23b. If support were provided, what were the identified needs?

### Description

If support were provided, the identified support needs.

4 variables: each records whether the type of support was relevant.

23b.1. Housing support

23b.2. Social or personal support

23b.3. Education or training

23b.4. Support for finding or maintaining employment

### Format

Numeric, one digit each.

Responses for 23b.1(Housing Support) are as follows:

Response	Code
No	0
Yes – Housing support which is <b>outside</b> the Housing Support Services (Homelessness) (Scotland) Regulations 2012	1
Yes – Housing support included <b>within</b> the Housing Support Services (Homelessness) (Scotland) Regulations 2012 only	2
Yes – Housing support which includes <b>both</b> that covered by the regulations but includes additional forms of housing support too.	3

Responses for 23b.2 to 23b.4 continue as:

No            0  
Yes            1

### Validation

If the answer to question 23a is 1,4 or 6 (i.e. some kind of support is provided with the re-housing outcome), then at least one of question 23b.1 to q23b.4 must have a non-zero response.

Question 23b.2 to 23b.4 are optional and may be answered if support were provided.